

# PREA Facility Audit Report: Final

**Name of Facility:** Cold Springs Community Corrections Alternative Program

**Facility Type:** Community Confinement

**Date Interim Report Submitted:** NA

**Date Final Report Submitted:** 06/11/2024

Auditor Certification	
The contents of this report are accurate to the best of my knowledge.	<input type="checkbox"/>
No conflict of interest exists with respect to my ability to conduct an audit of the agency under review.	<input type="checkbox"/>
I have not included in the final report any personally identifiable information (PII) about any inmate/resident/detainee or staff member, except where the names of administrative personnel are specifically requested in the report template.	<input type="checkbox"/>
<b>Auditor Full Name as Signed:</b> Lori M. Fadorick	<b>Date of Signature:</b> 06/11/2024

AUDITOR INFORMATION	
<b>Auditor name:</b>	Fadorick, Lori
<b>Email:</b>	lfadorick@gmail.com
<b>Start Date of On-Site Audit:</b>	04/23/2024
<b>End Date of On-Site Audit:</b>	04/23/2024

FACILITY INFORMATION	
<b>Facility name:</b>	Cold Springs Community Corrections Alternative Program
<b>Facility physical address:</b>	192 Spitler Circle , Greenville, Virginia - 24440
<b>Facility mailing address:</b>	

Primary Contact
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<b>Name:</b>	Shanda Hill
<b>Email Address:</b>	shanda.hill@vadoc.virginia.gov
<b>Telephone Number:</b>	(540) 569-3707

<b>Facility Director</b>	
<b>Name:</b>	Chadwick Dotson
<b>Email Address:</b>	chadwick.dotson@vadoc.virginia.gov
<b>Telephone Number:</b>	(804) 674-3000

<b>Facility PREA Compliance Manager</b>	
<b>Name:</b>	Joshua Nuckolls
<b>Email Address:</b>	joshua.nuckolls@vadoc.virginia.gov
<b>Telephone Number:</b>	O: (540) 569-3716

<b>Facility Health Service Administrator On-Site</b>	
<b>Name:</b>	Amorita Braxton
<b>Email Address:</b>	amorita.braxton@vadoc.virginia.gov
<b>Telephone Number:</b>	(540) 569-3710

<b>Facility Characteristics</b>	
<b>Designed facility capacity:</b>	150
<b>Current population of facility:</b>	61
<b>Average daily population for the past 12 months:</b>	58
<b>Has the facility been over capacity at any point in the past 12 months?</b>	No
<b>Which population(s) does the facility hold?</b>	Males

<b>Age range of population:</b>	22-63 years
<b>Facility security levels/resident custody levels:</b>	Community Corrections Alternative Program
<b>Number of staff currently employed at the facility who may have contact with residents:</b>	44
<b>Number of individual contractors who have contact with residents, currently authorized to enter the facility:</b>	20
<b>Number of volunteers who have contact with residents, currently authorized to enter the facility:</b>	5

<b>AGENCY INFORMATION</b>	
<b>Name of agency:</b>	Virginia Department of Corrections
<b>Governing authority or parent agency (if applicable):</b>	
<b>Physical Address:</b>	6900 Atmore Drive, Richmond, Virginia - 23225
<b>Mailing Address:</b>	P.O. Box 26963, Richmond, Virginia - 23261
<b>Telephone number:</b>	8046743000

<b>Agency Chief Executive Officer Information:</b>	
<b>Name:</b>	Chadwick Dotson
<b>Email Address:</b>	Chadwick.Dotson@vadoc.virginia.gov
<b>Telephone Number:</b>	804-887-8080

<b>Agency-Wide PREA Coordinator Information</b>			
<b>Name:</b>	Tammy Barbetto	<b>Email Address:</b>	tammy.barbetto@vadoc.virginia.gov

# Facility AUDIT FINDINGS

## Summary of Audit Findings

The OAS automatically populates the number and list of Standards exceeded, the number of Standards met, and the number and list of Standards not met.

Auditor Note: In general, no standards should be found to be "Not Applicable" or "NA." A compliance determination must be made for each standard. In rare instances where an auditor determines that a standard is not applicable, the auditor should select "Meets Standard" and include a comprehensive discussion as to why the standard is not applicable to the facility being audited.

### Number of standards exceeded:

6

- 115.211 - Zero tolerance of sexual abuse and sexual harassment; PREA coordinator
- 115.216 - Residents with disabilities and residents who are limited English proficient
- 115.217 - Hiring and promotion decisions
- 115.231 - Employee training
- 115.242 - Use of screening information
- 115.288 - Data review for corrective action

### Number of standards met:

35

### Number of standards not met:

0

## POST-AUDIT REPORTING INFORMATION

### GENERAL AUDIT INFORMATION

#### On-site Audit Dates

1. Start date of the onsite portion of the audit:	2024-04-23
2. End date of the onsite portion of the audit:	2024-04-23

#### Outreach

10. Did you attempt to communicate with community-based organization(s) or victim advocates who provide services to this facility and/or who may have insight into relevant conditions in the facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
a. Identify the community-based organization(s) or victim advocates with whom you communicated:	Action Alliance

### AUDITED FACILITY INFORMATION

14. Designated facility capacity:	150
15. Average daily population for the past 12 months:	58
16. Number of inmate/resident/detainee housing units:	1
17. Does the facility ever hold youthful inmates or youthful/juvenile detainees?	<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> Not Applicable for the facility type audited (i.e., Community Confinement Facility or Juvenile Facility)

**Audited Facility Population Characteristics on Day One of the Onsite Portion of the Audit**

**Inmates/Residents/Detainees Population Characteristics on Day One of the Onsite Portion of the Audit**

<b>36. Enter the total number of inmates/residents/detainees in the facility as of the first day of onsite portion of the audit:</b>	81
<b>38. Enter the total number of inmates/residents/detainees with a physical disability in the facility as of the first day of the onsite portion of the audit:</b>	3
<b>39. Enter the total number of inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) in the facility as of the first day of the onsite portion of the audit:</b>	1
<b>40. Enter the total number of inmates/residents/detainees who are Blind or have low vision (visually impaired) in the facility as of the first day of the onsite portion of the audit:</b>	0
<b>41. Enter the total number of inmates/residents/detainees who are Deaf or hard-of-hearing in the facility as of the first day of the onsite portion of the audit:</b>	0
<b>42. Enter the total number of inmates/residents/detainees who are Limited English Proficient (LEP) in the facility as of the first day of the onsite portion of the audit:</b>	0
<b>43. Enter the total number of inmates/residents/detainees who identify as lesbian, gay, or bisexual in the facility as of the first day of the onsite portion of the audit:</b>	3

<p><b>44. Enter the total number of inmates/residents/detainees who identify as transgender or intersex in the facility as of the first day of the onsite portion of the audit:</b></p>	<p>1</p>
<p><b>45. Enter the total number of inmates/residents/detainees who reported sexual abuse in the facility as of the first day of the onsite portion of the audit:</b></p>	<p>0</p>
<p><b>46. Enter the total number of inmates/residents/detainees who disclosed prior sexual victimization during risk screening in the facility as of the first day of the onsite portion of the audit:</b></p>	<p>1</p>
<p><b>47. Enter the total number of inmates/residents/detainees who were ever placed in segregated housing/isolation for risk of sexual victimization in the facility as of the first day of the onsite portion of the audit:</b></p>	<p>0</p>
<p><b>48. Provide any additional comments regarding the population characteristics of inmates/residents/detainees in the facility as of the first day of the onsite portion of the audit (e.g., groups not tracked, issues with identifying certain populations):</b></p>	<p>None</p>
<p><b>Staff, Volunteers, and Contractors Population Characteristics on Day One of the Onsite Portion of the Audit</b></p>	
<p><b>49. Enter the total number of STAFF, including both full- and part-time staff, employed by the facility as of the first day of the onsite portion of the audit:</b></p>	<p>44</p>
<p><b>50. Enter the total number of VOLUNTEERS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</b></p>	<p>5</p>

<p><b>51. Enter the total number of CONTRACTORS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</b></p>	<p>20</p>
<p><b>52. Provide any additional comments regarding the population characteristics of staff, volunteers, and contractors who were in the facility as of the first day of the onsite portion of the audit:</b></p>	<p>None</p>
<p><b>INTERVIEWS</b></p>	
<p><b>Inmate/Resident/Detainee Interviews</b></p>	
<p><b>Random Inmate/Resident/Detainee Interviews</b></p>	
<p><b>53. Enter the total number of RANDOM INMATES/RESIDENTS/DETAINEES who were interviewed:</b></p>	<p>11</p>
<p><b>54. Select which characteristics you considered when you selected RANDOM INMATE/RESIDENT/DETAINEE interviewees: (select all that apply)</b></p>	<p> <input checked="" type="checkbox"/> Age  <input checked="" type="checkbox"/> Race  <input checked="" type="checkbox"/> Ethnicity (e.g., Hispanic, Non-Hispanic)  <input checked="" type="checkbox"/> Length of time in the facility  <input checked="" type="checkbox"/> Housing assignment  <input type="checkbox"/> Gender  <input type="checkbox"/> Other  <input type="checkbox"/> None </p>
<p><b>55. How did you ensure your sample of RANDOM INMATE/RESIDENT/DETAINEE interviewees was geographically diverse?</b></p>	<p>Auditor reviewed roster and selected based upon the above factors. Probationers were randomly selected by choosing Probationers from each housing unit, as well as ensuring a representative sample based on gender, race, ethnicity and length of time in the facility.</p>



<p><b>56. Were you able to conduct the minimum number of random inmate/resident/detainee interviews?</b></p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p><b>57. Provide any additional comments regarding selecting or interviewing random inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</b></p>	<p>The Auditor began conducting Probationer interviews on day one of the on-site portion of the audit. Based upon the Probationer population on day one of the audit (81), the PREA Auditor Handbook required that the auditor interview a minimum of 16 Probationers, 8 random and 8 targeted. A total of 18 Probationer interviews were conducted. All interviews with Probationers occurred in a secure area to ensure privacy. Probationer interviews were conducted using the established DOJ interview protocols. If a randomly selected Probationer had refused to be interviewed, an additional Probationer from the same housing area would be selected in an attempt to get a cross section from the entire general population. There were no selected Probationers that refused.</p>
<p><b>Targeted Inmate/Resident/Detainee Interviews</b></p>	
<p><b>58. Enter the total number of TARGETED INMATES/RESIDENTS/DETAINEES who were interviewed:</b></p>	<p>7</p>
<p>As stated in the PREA Auditor Handbook, the breakdown of targeted interviews is intended to guide auditors in interviewing the appropriate cross-section of inmates/residents/detainees who are the most vulnerable to sexual abuse and sexual harassment. When completing questions regarding targeted inmate/resident/detainee interviews below, remember that an interview with one inmate/resident/detainee may satisfy multiple targeted interview requirements. These questions are asking about the number of interviews conducted using the targeted inmate/resident/detainee protocols. For example, if an auditor interviews an inmate who has a physical disability, is being held in segregated housing due to risk of sexual victimization, and disclosed prior sexual victimization, that interview would be included in the totals for each of those questions. Therefore, in most cases, the sum of all the following responses to the targeted inmate/resident/detainee interview categories will exceed the total number of targeted inmates/residents/detainees who were interviewed. If a particular targeted population is not applicable in the audited facility, enter "0".</p>	

<p><b>60. Enter the total number of interviews conducted with inmates/residents/detainees with a physical disability using the "Disabled and Limited English Proficient Inmates" protocol:</b></p>	<p>2</p>
<p><b>61. Enter the total number of interviews conducted with inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) using the "Disabled and Limited English Proficient Inmates" protocol:</b></p>	<p>1</p>
<p><b>62. Enter the total number of interviews conducted with inmates/residents/detainees who are Blind or have low vision (i.e., visually impaired) using the "Disabled and Limited English Proficient Inmates" protocol:</b></p>	<p>0</p>
<p><b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b></p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p><b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b></p>	<p>Based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other Probationers.</p>
<p><b>63. Enter the total number of interviews conducted with inmates/residents/detainees who are Deaf or hard-of-hearing using the "Disabled and Limited English Proficient Inmates" protocol:</b></p>	<p>0</p>

<p><b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b></p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p><b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b></p>	<p>Based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other Probationers.</p>
<p><b>64. Enter the total number of interviews conducted with inmates/residents/detainees who are Limited English Proficient (LEP) using the "Disabled and Limited English Proficient Inmates" protocol:</b></p>	<p>0</p>
<p><b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b></p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p><b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b></p>	<p>Based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other Probationers.</p>
<p><b>65. Enter the total number of interviews conducted with inmates/residents/detainees who identify as lesbian, gay, or bisexual using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</b></p>	<p>3</p>

<p><b>66. Enter the total number of interviews conducted with inmates/residents/detainees who identify as transgender or intersex using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</b></p>	<p>1</p>
<p><b>67. Enter the total number of interviews conducted with inmates/residents/detainees who reported sexual abuse in this facility using the "Inmates who Reported a Sexual Abuse" protocol:</b></p>	<p>0</p>
<p><b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b></p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p><b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b></p>	<p>Based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other Probationers.</p>
<p><b>68. Enter the total number of interviews conducted with inmates/residents/detainees who disclosed prior sexual victimization during risk screening using the "Inmates who Disclosed Sexual Victimization during Risk Screening" protocol:</b></p>	<p>1</p>
<p><b>69. Enter the total number of interviews conducted with inmates/residents/detainees who are or were ever placed in segregated housing/isolation for risk of sexual victimization using the "Inmates Placed in Segregated Housing (for Risk of Sexual Victimization/Who Allege to have Suffered Sexual Abuse)" protocol:</b></p>	<p>0</p>

<p><b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b></p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p><b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b></p>	<p>Based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other Probationers.</p>
<p><b>70. Provide any additional comments regarding selecting or interviewing targeted inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews):</b></p>	<p>None</p>
<p><b>Staff, Volunteer, and Contractor Interviews</b></p>	
<p><b>Random Staff Interviews</b></p>	
<p><b>71. Enter the total number of RANDOM STAFF who were interviewed:</b></p>	<p>12</p>
<p><b>72. Select which characteristics you considered when you selected RANDOM STAFF interviewees: (select all that apply)</b></p>	<p><input checked="" type="checkbox"/> Length of tenure in the facility</p> <p><input checked="" type="checkbox"/> Shift assignment</p> <p><input checked="" type="checkbox"/> Work assignment</p> <p><input checked="" type="checkbox"/> Rank (or equivalent)</p> <p><input type="checkbox"/> Other (e.g., gender, race, ethnicity, languages spoken)</p> <p><input type="checkbox"/> None</p>
<p><b>73. Were you able to conduct the minimum number of RANDOM STAFF interviews?</b></p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>

<p><b>74. Provide any additional comments regarding selecting or interviewing random staff (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</b></p>	<p>Random staff were selected from all shift assignments. There were no barriers to completing the random interviews.</p>
<p><b>Specialized Staff, Volunteers, and Contractor Interviews</b></p>	
<p>Staff in some facilities may be responsible for more than one of the specialized staff duties. Therefore, more than one interview protocol may apply to an interview with a single staff member and that information would satisfy multiple specialized staff interview requirements.</p>	
<p><b>75. Enter the total number of staff in a SPECIALIZED STAFF role who were interviewed (excluding volunteers and contractors):</b></p>	<p>17</p>
<p><b>76. Were you able to interview the Agency Head?</b></p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p><b>77. Were you able to interview the Warden/Facility Director/Superintendent or their designee?</b></p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p><b>78. Were you able to interview the PREA Coordinator?</b></p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p><b>79. Were you able to interview the PREA Compliance Manager?</b></p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if the agency is a single facility agency or is otherwise not required to have a PREA Compliance Manager per the Standards)</p>

**80. Select which SPECIALIZED STAFF roles were interviewed as part of this audit from the list below: (select all that apply)**

- Agency contract administrator
- Intermediate or higher-level facility staff responsible for conducting and documenting unannounced rounds to identify and deter staff sexual abuse and sexual harassment
- Line staff who supervise youthful inmates (if applicable)
- Education and program staff who work with youthful inmates (if applicable)
- Medical staff
- Mental health staff
- Non-medical staff involved in cross-gender strip or visual searches
- Administrative (human resources) staff
- Sexual Assault Forensic Examiner (SAFE) or Sexual Assault Nurse Examiner (SANE) staff
- Investigative staff responsible for conducting administrative investigations
- Investigative staff responsible for conducting criminal investigations
- Staff who perform screening for risk of victimization and abusiveness
- Staff who supervise inmates in segregated housing/residents in isolation
- Staff on the sexual abuse incident review team
- Designated staff member charged with monitoring retaliation
- First responders, both security and non-security staff
- Intake staff

	<input type="checkbox"/> Other
<b>81. Did you interview VOLUNTEERS who may have contact with inmates/residents/detainees in this facility?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No
<b>a. Enter the total number of VOLUNTEERS who were interviewed:</b>	2
<b>b. Select which specialized VOLUNTEER role(s) were interviewed as part of this audit from the list below: (select all that apply)</b>	<input type="checkbox"/> Education/programming <input type="checkbox"/> Medical/dental <input type="checkbox"/> Mental health/counseling <input checked="" type="checkbox"/> Religious <input type="checkbox"/> Other
<b>82. Did you interview CONTRACTORS who may have contact with inmates/residents/detainees in this facility?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No
<b>a. Enter the total number of CONTRACTORS who were interviewed:</b>	1
<b>b. Select which specialized CONTRACTOR role(s) were interviewed as part of this audit from the list below: (select all that apply)</b>	<input type="checkbox"/> Security/detention <input checked="" type="checkbox"/> Education/programming <input type="checkbox"/> Medical/dental <input type="checkbox"/> Food service <input type="checkbox"/> Maintenance/construction <input type="checkbox"/> Other
<b>83. Provide any additional comments regarding selecting or interviewing specialized staff.</b>	None



## SITE REVIEW AND DOCUMENTATION SAMPLING

### Site Review

PREA Standard 115.401 (h) states, "The auditor shall have access to, and shall observe, all areas of the audited facilities." In order to meet the requirements in this Standard, the site review portion of the onsite audit must include a thorough examination of the entire facility. The site review is not a casual tour of the facility. It is an active, inquiring process that includes talking with staff and inmates to determine whether, and the extent to which, the audited facility's practices demonstrate compliance with the Standards. Note: As you are conducting the site review, you must document your tests of critical functions, important information gathered through observations, and any issues identified with facility practices. The information you collect through the site review is a crucial part of the evidence you will analyze as part of your compliance determinations and will be needed to complete your audit report, including the Post-Audit Reporting Information.

<b>84. Did you have access to all areas of the facility?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No
<b>Was the site review an active, inquiring process that included the following:</b>	
<b>85. Observations of all facility practices in accordance with the site review component of the audit instrument (e.g., signage, supervision practices, cross-gender viewing and searches)?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No
<b>86. Tests of all critical functions in the facility in accordance with the site review component of the audit instrument (e.g., risk screening process, access to outside emotional support services, interpretation services)?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No
<b>87. Informal conversations with inmates/residents/detainees during the site review (encouraged, not required)?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No
<b>88. Informal conversations with staff during the site review (encouraged, not required)?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No

<p><b>89. Provide any additional comments regarding the site review (e.g., access to areas in the facility, observations, tests of critical functions, or informal conversations).</b></p>	<p>The Auditor had full, unimpeded access to all areas of the facility. During the review of the physical plant, the Auditor observed the facility layout, staff supervision of Probationers, security rounds, interaction between staff and Probationers, shower and toilet areas, placement of PREA posters, observation of availability of PREA information located adjacent to and in the Probationer housing areas, observation of communication, search procedures, and availability and access of medical and mental health services. The Auditor observed and made note of the video monitoring system and camera placement throughout the facility, including reviewing the monitors in the control room.</p>
<p><b>Documentation Sampling</b></p>	
<p>Where there is a collection of records to review-such as staff, contractor, and volunteer training records; background check records; supervisory rounds logs; risk screening and intake processing records; inmate education records; medical files; and investigative files-auditors must self-select for review a representative sample of each type of record.</p>	
<p><b>90. In addition to the proof documentation selected by the agency or facility and provided to you, did you also conduct an auditor-selected sampling of documentation?</b></p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>

**91. Provide any additional comments regarding selecting additional documentation (e.g., any documentation you oversampled, barriers to selecting additional documentation, etc.).**

The Auditor conducted a document review of employee and Probationer files, and a spot check of documents that were previously provided to the auditor along with the PAQ, including log books and other institutional forms. The Auditor reviewed a random sampling of personnel files to determine compliance related to standards on hiring and promotion and background check procedures for officers and contract staff. The auditor reviewed the annual PREA training rosters maintained by the training staff and cross referenced the staff files with the training rosters to ensure training was verified. The training coordinator explained the process for relaying the mandated PREA information to new hires, as well as the procedure for annual refresher training. Random Probationer case files (18) were reviewed to evaluate intake procedures, including screening and subsequent housing decisions, and verify Probationer PREA education. The Auditor requested additional supporting documentation to include: training records, randomly chosen Probationer medical records, randomly chosen Probationer classification records, volunteer records, contractor records, and staff personnel files including PREA disclosure forms for hiring and promotions. Investigative files for the previous 12 months were reviewed for compliance to applicable standards.

## **SEXUAL ABUSE AND SEXUAL HARASSMENT ALLEGATIONS AND INVESTIGATIONS IN THIS FACILITY**

### **Sexual Abuse and Sexual Harassment Allegations and Investigations Overview**

Remember the number of allegations should be based on a review of all sources of allegations (e.g., hotline, third-party, grievances) and should not be based solely on the number of investigations conducted. Note: For question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, or detainee sexual abuse allegations and investigations, as applicable to the facility type being audited.

**92. Total number of SEXUAL ABUSE allegations and investigations overview during the 12 months preceding the audit, by incident type:**

	# of sexual abuse allegations	# of criminal investigations	# of administrative investigations	# of allegations that had both criminal and administrative investigations
<b>Inmate-on-inmate sexual abuse</b>	0	0	0	0
<b>Staff-on-inmate sexual abuse</b>	0	0	0	0
<b>Total</b>	0	0	0	0

**93. Total number of SEXUAL HARASSMENT allegations and investigations overview during the 12 months preceding the audit, by incident type:**

	# of sexual harassment allegations	# of criminal investigations	# of administrative investigations	# of allegations that had both criminal and administrative investigations
<b>Inmate-on-inmate sexual harassment</b>	0	0	0	0
<b>Staff-on-inmate sexual harassment</b>	1	0	0	0
<b>Total</b>	1	0	0	0

## Sexual Abuse and Sexual Harassment Investigation Outcomes

### Sexual Abuse Investigation Outcomes

Note: these counts should reflect where the investigation is currently (i.e., if a criminal investigation was referred for prosecution and resulted in a conviction, that investigation outcome should only appear in the count for “convicted.”) Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual abuse investigation files, as applicable to the facility type being audited.

#### 94. Criminal SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
<b>Inmate-on-inmate sexual abuse</b>	0	0	0	0	0
<b>Staff-on-inmate sexual abuse</b>	0	0	0	0	0
<b>Total</b>	0	0	0	0	0

#### 95. Administrative SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

	Ongoing	Unfounded	Unsubstantiated	Substantiated
<b>Inmate-on-inmate sexual abuse</b>	0	0	0	0
<b>Staff-on-inmate sexual abuse</b>	0	0	0	0
<b>Total</b>	0	0	0	0

### Sexual Harassment Investigation Outcomes

Note: these counts should reflect where the investigation is currently. Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual harassment investigation files, as applicable to the facility type being audited.

**96. Criminal SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:**

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
<b>Inmate-on-inmate sexual harassment</b>	0	0	0	0	0
<b>Staff-on-inmate sexual harassment</b>	0	0	0	0	0
<b>Total</b>	0	0	0	0	0

**97. Administrative SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:**

	Ongoing	Unfounded	Unsubstantiated	Substantiated
<b>Inmate-on-inmate sexual harassment</b>	0	0	0	0
<b>Staff-on-inmate sexual harassment</b>	0	1	0	0
<b>Total</b>	0	1	0	0

**Sexual Abuse and Sexual Harassment Investigation Files Selected for Review**

**Sexual Abuse Investigation Files Selected for Review**

<b>98. Enter the total number of SEXUAL ABUSE investigation files reviewed/ sampled:</b>	0
<b>a. Explain why you were unable to review any sexual abuse investigation files:</b>	There were no sexual abuse allegations

<p><b>99. Did your selection of SEXUAL ABUSE investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</b></p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any sexual abuse investigation files)</p>
<p><b>Inmate-on-inmate sexual abuse investigation files</b></p>	
<p><b>100. Enter the total number of INMATE-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</b></p>	<p>0</p>
<p><b>101. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</b></p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)</p>
<p><b>102. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</b></p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)</p>
<p><b>Staff-on-inmate sexual abuse investigation files</b></p>	
<p><b>103. Enter the total number of STAFF-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</b></p>	<p>0</p>
<p><b>104. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</b></p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)</p>

<p><b>105. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</b></p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)</p>
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**Sexual Harassment Investigation Files Selected for Review**

<p><b>106. Enter the total number of SEXUAL HARASSMENT investigation files reviewed/sampled:</b></p>	<p>1</p>
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<p><b>107. Did your selection of SEXUAL HARASSMENT investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</b></p>	<p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any sexual harassment investigation files)</p>
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**Inmate-on-inmate sexual harassment investigation files**

<p><b>108. Enter the total number of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:</b></p>	<p>0</p>
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<p><b>109. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT files include criminal investigations?</b></p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)</p>
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<p><b>110. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?</b></p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)</p>
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<b>Staff-on-inmate sexual harassment investigation files</b>	
<b>111. Enter the total number of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:</b>	1
<b>112. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include criminal investigations?</b>	<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)
<b>113. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)
<b>114. Provide any additional comments regarding selecting and reviewing sexual abuse and sexual harassment investigation files.</b>	None
<b>SUPPORT STAFF INFORMATION</b>	
<b>DOJ-certified PREA Auditors Support Staff</b>	
<b>115. Did you receive assistance from any DOJ-CERTIFIED PREA AUDITORS at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.</b>	<input type="radio"/> Yes <input checked="" type="radio"/> No

**Non-certified Support Staff**

<p><b>116. Did you receive assistance from any NON-CERTIFIED SUPPORT STAFF at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.</b></p>	<p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p>
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**AUDITING ARRANGEMENTS AND COMPENSATION**

<p><b>121. Who paid you to conduct this audit?</b></p>	<p><input type="radio"/> The audited facility or its parent agency</p> <p><input type="radio"/> My state/territory or county government employer (if you audit as part of a consortium or circular auditing arrangement, select this option)</p> <p><input checked="" type="radio"/> A third-party auditing entity (e.g., accreditation body, consulting firm)</p> <p><input type="radio"/> Other</p>
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<p><b>Identify the name of the third-party auditing entity</b></p>	<p>AB Management and Consulting LLC</p>
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<b>Standards</b>	
<b>Auditor Overall Determination Definitions</b>	
<ul style="list-style-type: none"> <li>• Exceeds Standard (Substantially exceeds requirement of standard)</li>   <li>• Meets Standard (substantial compliance; complies in all material ways with the stand for the relevant review period)</li>   <li>• Does Not Meet Standard (requires corrective actions)</li> </ul>	
<b>Auditor Discussion Instructions</b>	
<p>Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.</p>	

<b>115.211</b>	<b>Zero tolerance of sexual abuse and sexual harassment; PREA coordinator</b>
	<b>Auditor Overall Determination:</b> Exceeds Standard
	<b>Auditor Discussion</b>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. VADOC OP - 038.3 Prisons Rape Elimination Act</li> <li>2. VADOC OP - 135.2 Rules of Conduct Governing Employees Relationships with Probationers</li> <li>3. Inter Office Memorandum</li> <li>4. VADOC Organizational Chart</li> <li>5. Organizational Chart</li> <li>6. VADOC Work Description and Performance Plan - PREA/ADA Analyst</li> <li>7. VADOC Work Description and Performance Plan - PREA/ADA Supervisor</li> <li>8. VADOC Work Description and Performance Plan - Institutional Operations Manager</li> <li>9. Staff Interviews</li> <li>10. Probationer Interviews</li> <li>11. Cold Springs CCAP Completed PAQ</li> </ol>

Findings:

The Auditor reviewed the VADOC Policies. The Department has a comprehensive PREA policy which clearly mandates a zero-tolerance policy on all forms of sexual abuse and harassment. The language in the policy provides definitions of prohibited behaviors in accordance with the standard and includes notice of sanctions for those who have been found to have participated in prohibited behaviors. The definitions contained in the policy are consistent and in compliance with PREA definitions. The policy details the agency's overall approach to preventing, detecting, and responding to sexual abuse and harassment. The culture of "zero tolerance" is apparent throughout Cold Springs CCAP as evidenced by informational posters prominent in all areas of the facility. Interactions and interviews with both Probationers and staff also reflect that staff and Probationers are aware of the zero-tolerance mandate and is taken seriously by the staff at all levels.

The agency's policy stipulates the Director has designated a PREA/ADA Supervisor as the statewide PREA Coordinator to work in the office of the Chief of Corrections Operations with sufficient time and authority to develop, implement, and oversee DOC efforts to comply with the Prison Rape Elimination Act standards in all DOC facilities. The VADOC has designated an upper-level staff as the agency-wide PREA Coordinator for the department. By virtue of her position, she has the authority to develop, implement and oversee the Department's efforts to comply with PREA standards. There are three Regional PREA Analysts that report directly to the PREA Coordinator. Cold Springs CCAP is in the Western Region of the state. The PREA/ADA Analyst is knowledgeable about the facility and requirements of the Prison Rape Elimination Act. She works closely with facility staff and acts as a liaison on PREA related matters.

There is a PREA Compliance Manager for each facility that reports to the PREA Analyst for their respective region. There appears to be an open line of communication between all levels of staff at the Department and facility levels. The PREA Coordinator and PREA Analysts are directly involved in the implementation efforts, as well as handling and reviewing individual Probationer issues for the agency.

The Cold Springs CCAP has designated an upper-level staff member as the PREA Compliance Manager. His position is Lieutenant and reports to the Chief of Security and Regional PREA Analyst on PREA related matters. A review of the organizational chart reflects this position in the organizational structure. The PCM reports that he has sufficient time and by virtue of his position, the authority to develop, implement and oversee the facility's efforts to comply with PREA standards. There appears to be an open line of communication between all levels of staff at the facility, including the PCM. The PCM is involved in the implementation efforts, as well as handling and reviewing individual Probationer issues at the facility level. The PREA Compliance Manager appears to understand the role and importance of the position and ensures that all facets of the Cold Springs CCAP PREA program are completed per policy and the PREA standards.

	<p>Work Description and Performance Plans reveal the agency has outlined PREA compliance related duties and responsibilities for the PREA Coordinator, Regional PREA/ADA Analysts, and PREA Compliance Managers. The plans specify the duties at each specific level and include the employee’s immediate supervisor. The established the chain of command allows each staff member in a PREA related role to take steps to improve and/or address PREA related compliance efforts and/or responses.</p> <p>Interviews with facility staff indicated that they were trained in and understood the zero-tolerance policy established by the Cold Springs CCAP and VADOC. They understand their role regarding prevention, detection and response procedures for PREA allegations. The agency trains all staff on an annual basis.</p> <p>In a targeted interview with the Superintendent, she stated that any allegations would be investigated immediately and she is kept in the loop on the progress of each allegation. All allegations are investigated thoroughly and each one is looked at on a case-by-case basis on its own merits. The Superintendent stated that the staff do their due diligence and follow thru with any allegations.</p> <p>After a review, the Auditor determined the facility exceeds the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.212</b>	<b>Contracting with other entities for the confinement of residents</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. Memo</li> <li>3. Interviews with Staff including the following: <ol style="list-style-type: none"> <li>a. PREA Coordinator</li> <li>b. Contract Monitor</li> </ol> </li> <li>4. OP Policy - 038.3 Prison Rape Elimination Act, OP 940.1, Community Residential Programs</li> <li>5. VADOC OP Policy 260.1 Procurement of Goods and Services</li> <li>6. Contracts</li> <li>7. Contract Renewals</li> <li>8. Quarterly Facility Site Visits Report</li> <li>9. Lawrenceville Correctional Center Audit Report</li> <li>10. Community Residential Programs</li> </ol> <p>Findings:</p>

DOC Policy is written in compliance with the standard and requires confinement of Inmates in any new contract or contract renewal include the entity's obligation to adopt and comply with PREA standards. The VADOC policy requires contracts include a provision for contract monitoring to ensure the contract facility is complying with the PREA standards. Policy does not allow the DOC to enter a contract with an entity that fails to comply with PREA standards except in emergency situations.

The VADOC has included language in all contracts (Master Agreements) to ensure that all contracted facilities comply with provisions of PREA. The Auditor reviewed the contract between the VADOC and GEO Corrections & Detention, LLC, which was entered into in March 2013. There have been contract extensions and renewals since 2013. Each included provisions for the GEO Group to adopt and comply with the Prison Rape Elimination Act standards. There is a provision in the contract that allows the VADOC to monitor GEO's compliance with PREA standards. Per memo from the PREA Coordinator, VADOC has employed a Private Prison Liaison Officer, who monitors the contract at the private prison to ensure the performance is in accordance with departmental policy and procedures, mandates and legal requirements. The Private Prison Liaison Officer prepares a monthly report of her operational findings concerning Lawrenceville Correctional Center's compliance with the contract requirements. This report is shared with Regional and Facility Administrators as well as the PREA Unit. She receives the PREA Sexual Abuse Hotline Referral emails pertaining to Lawrenceville Correctional Center from the Statewide PREA Hotline Coordinator and she serves as a contact person for the PREA Unit. She also receives a master list, quarterly, of all PREA allegations pertaining to Lawrenceville Correctional Center from the Eastern Region PREA/ADA Analyst. The PREA Unit shares concerns and issues relating to Lawrenceville's PREA compliance with Liaison Officer, who investigates the matters and reports her findings back to the PREA Unit.

The Virginia Department of Corrections contracts for confinement of its Probationers with GEO Corrections & Detention, LLC. The GEO Group operates a private prison in Lawrenceville, Virginia. The auditor reviewed the PREA Audit report for Lawrenceville Correctional Center, which was submitted in August 2022. The Lawrenceville Correctional Center is in compliance with the PREA standards.

The Virginia Department of Corrections houses Probationers in local and regional jails across the state. The Code of Virginia allows for the confinement of VADOC Probationers in those facilities. There is no contract or written agreement, however each facility housing VADOC Probationers is required comply with the PREA standards.

Cold Springs CCAP does not house Probationers contracted by other entities or contract with other entities to house Cold Springs CCAP Probationers. Any contracts for confinement of DOC Inmates/Probationers is done at the agency level.

After a review, the Auditor determined the facility meets the requirements of the standard.

	Corrective Action: None
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<b>115.213</b>	<b>Supervision and monitoring</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. VADOC OP 401.2 Security Staffing Assignments</li> <li>2. VADOC OP 401.3 Administrative Duty Coverage</li> <li>3. VADOC OP 401.1 Development and Maintenance of Post Orders</li> <li>4. Post Audit</li> <li>5. Annual Staffing Review</li> <li>6. Post Assignment Rosters</li> <li>7. Post Logbooks</li> <li>8. Cold Springs CCAP Completed PAQ</li> </ol> <p>Interviews with the following:</p> <ul style="list-style-type: none"> <li>• PCM</li> <li>• Superintendent</li> <li>• Random Staff</li> <li>• Supervisors Responsible for Conducting Unannounced Rounds</li> </ul> <p>Observation of the following:</p> <ul style="list-style-type: none"> <li>• Observation of unannounced rounds by supervisors as well as auditors during the site review</li> <li>• Observation of supervisors documenting rounds in the daily logbooks on the duty post during the site review</li> </ul> <p>Findings:</p> <p>VADOC policy states that by January 31st of each calendar year, each facility shall assess, determine, and document whether adjustments are needed to the facility staffing plan. The policy states the Warden/Superintendent shall identify on each post assignment schedule all critical posts that must be filled on each shift. The Cold Springs CCAP staffing plan addresses all required elements of the standard. The staffing plan addresses staffing in each area, staffing ratios, programming, facility layout, composition of the Probationer population, video monitoring and other relevant factors. The most recent review of the staffing analysis for Cold Springs CCAP was completed on January 8, 2024. The facility staffing is based upon a multi-faceted formula to determine the number of staff needed for essential positions. The Auditor reviewed Cold Springs CCAP's post audit completed June 2021, which indicates there are 34 authorized FTE positions for security. Daily Roster staffing decisions are made to ensure that all posts impacting Probationer supervision are manned in accordance with the approved post audit. The following</p>

reasons have been identified as the five most common reasons for deviations from the Staffing Plan: Call-ins, Mandated training, Short Term Disability, Time adjustments, scheduled off for accumulated live time, providing staff to assist other facilities.

Cold Springs CCAP current Post Audit dated June 2, 2021, has a required FTE of 34.33, with an authorized FTE of 34. Day Shifts' minimum requirement is 7 per break, and Night Shifts' minimum requirement is 4 per break. The allotted FTE is designed to cover Security Operations in the following areas:

1. Dormitory style housing unit with a bed capacity for 150 probationers. Population at the time of the onsite review was 81 and 54 at the time of the staffing review.
2. Supervision of Feeding of the probationer population.
3. Perimeter Security, Entrance, and Exits of the Facility, and transportation needs.
4. Support Operations for Key/Tool/Armory/ Property Control.
5. Supervision of probationers in support units that deliver treatment programs, educational, religious programs, and medical services.
6. Supervision of probationer recreation periods.
7. Supervision of probationer visitation.

At the time of the staffing plan review, Cold Springs CCAP had 6 correctional staff vacancies. It was noted that the staffing team that met and discussed the staffing plan feels that the current Post Audit for Cold Springs CCAP is appropriate for the institution. In order to cover necessary posts, the facility will use voluntary and mandated overtime.

The average daily population since the last PREA Audit is 55. Per the PAQ, the staffing plan is predicated on a population of 150. The auditor reviewed the facility's current staffing plan as well as the most recent staffing plan review. In that review, they have documented that they have considered all the elements from standard 115.13 (a) (1-15) as part of the review. During a targeted interview with the Superintendent and the Chief of Security, the auditor verified that they review the annual staffing plan and are a part of the review meeting. They closely monitors staffing and any post closures. The Major verified that if there were an instance where the facility did not comply with their staffing plan, that instance would be notated, including the reason for the shortage and the actions taken.

According to staff and the PAQ, there were instances where they were out of compliance with the staffing plan due to staffing shortages. They regularly do camera reviews and assess areas that may need additional coverage.

Cold Springs CCAP uses video monitoring and has 28 cameras around the facility. These cameras are in the following areas: East dormitory, West dormitory, recreation patio, recreation yard, Spectrum programming areas, visitation room, dining area, and dorm control. Viewing capability is available for probation and Shift Commanders in the Shift Commanders office. Limited departmental viewing capability is available based on need. The staffing review indicated recommendations for additional cameras in the food service dry storage, the kitchen bake shop, the kitchen hallway, and the main kitchen.



The auditor reviewed the most recent annual review, and the facility's review was in compliance with the elements of 115.13(a). In addition, during the on-site review, the auditor reviewed the deployment of CCTV monitoring. The facility has a camera surveillance system used in conjunction with staffing. The most recent review of the staffing plan indicated the video monitoring system and placement of cameras were reviewed. There are 28 cameras, which are accessible from multiple locations in the facility.

In accordance with the provisions of the staffing plan, Cold Springs CCAP, in collaboration with the PREA Coordinator and PREA Analyst, reviewed the staffing plan to see whether adjustments are needed to: (a) the staffing plan, (b) the deployment of monitoring technology, or (c) the allocation of facility/agency resources to commit to the staffing plan to ensure compliance with the staffing plan. This was documented on the staffing plan review, and signed and acknowledged by the Warden, PREA Analyst and PREA Coordinator.

The staffing plan appears satisfactory in the agency's efforts to provide protection against sexual abuse and harassment. Adequate staffing was considered to ensure safety for the facility's current and potential population of specialized Probationers that require more intensive or specialized staffing, including any LGBTI Probationers, Probationers with medical or mental health needs, disabled Probationers, and limited English proficient populations. The facility has female officers available to assist with any Gender Dysphoric Probationers as well as LGBTI Probationers. Homeland Language Services and Purple Communications are utilized for translation services when necessary for English proficient (Homeland) and deaf/hard-of-hearing (Purple) Probationers. All staff are trained annually regarding interactions with special populations, such as disabled Probationers, limited English proficient populations, Probationers with medical or mental health needs, and LGBTI Probationers.

The Auditor observed cameras in the facility. There appeared to be open communication between staff and Probationers. The Auditor observed formal and informal interactions between staff and Probationers.

In the PAQ, the agency reports that they conduct unannounced rounds on all shifts. A review of the VADOC policies indicated that policy requires that supervisors will conduct and document unannounced rounds each shift, and that there is a prohibition against staff alerting other staff of the rounds. During the pre-audit phase, the facility provided the auditor a sample of documentation of unannounced rounds for each shift. This documentation sampling verified that unannounced rounds were conducted during all shifts. During the on-site portion of the audit, the auditor reviewed logbooks that verified that unannounced rounds were recorded daily and documented by the supervisors.

The Auditor conducted formal interviews with staff and supervisors from various shifts. Staff stated that supervisors do regularly conduct unannounced rounds throughout the facility. Supervisors stated they are required to make at least one unannounced round in all facility areas and on each shift. Higher-level supervisors

	<p>are required to conduct one unannounced round covering each facility area during a one-week period. In order to prevent staff from alerting other staff when they are making unannounced rounds, the Auditor was informed supervisors do not conduct their rounds by any specific pattern. Supervisors stated they conduct their rounds at different times and do not take the same route when touring the facility. It is clear through observation that supervisors and administrators are conducting unannounced rounds. Interviews with supervisors, as well as line staff indicate that the rounds are unannounced and random.</p> <p>A targeted interview with the Superintendent revealed that while additional cameras have been recommended, she feels as if the camera coverage is sufficient and they are used in the overall management plan for the facility. The Superintendent stated that they ensure that all critical posts are covered and staff work voluntary and draft overtime if needed to supplement the shift strength.</p> <p>After a review, the Auditor determined that the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.215</b>	<b>Limits to cross-gender viewing and searches</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 445.4, 801.1, 401.2, 401.1, 350.2, 801.1, 720.2</li> <li>3. Logbooks</li> <li>4. Lesson Plan for Searches</li> <li>5. Memo</li> <li>6. Training Rosters</li> <li>7. Post Orders</li> </ol> <p>Interviews with the following:</p> <ul style="list-style-type: none"> <li>• Training staff</li> <li>• Random Staff</li> <li>• Medical Staff</li> <li>• Random Probationers</li> </ul> <p>Observation of the following:</p> <ul style="list-style-type: none"> <li>• Observation of Probationer housing area</li> <li>• Observation of CCTV coverage of housing areas and individual protective cells</li> <li>• Observation of staff announcing the presence of opposite gender staff during site review</li> </ul>

Findings:

The VADOC policies are written in accordance with the standards and prohibits cross-gender strip searches and cross-gender visual body cavity searches except in exigent circumstances or when performed by a medical professional. When body cavity searches are performed by medical professionals at least one security staff member of the same sex as the Probationer is required to be present. Policy prohibits cross-gender pat-down searches of female Probationers by male security staff except in exigent circumstances. Staff may not deny female Probationers access to regularly available programming or other out of cell opportunities in order to comply with this standard. Before conducting any cross-gender search, approval must be obtained from the Shift Commander with notification to the Administrative Duty Officer and the Regional PREA Analyst. Policy requires searching staff to complete and submit an Internal Incident Report after conducting a cross-gender search of an Probationer. The VADOC permits female security staff to conduct cross-gender pat-down searches of male Probationers. Policy requires all cross-gender searches be documented. Staff are required to conduct cross gender frisk searches and searches of transgender and intersex Probationers in a professional and respectful manner and in the least intrusive manner possible consistent with security needs.

The Cold Springs CCAP holds male Probationers.

The agency does not conduct cross-gender strip or cross-gender visual body cavity searches of Probationers. VADOC policy states that strip searches of Probationers and CCAP probationers/parolees by DOC employees of the opposite gender from the Probationer or probationer/parolee or the gender indicated on their approved Strip Search Deviation Request may only be conducted when there is an immediate threat to the safe, secure, orderly operation of the facility and there is no other available alternative. Prior to conducting the search, the Shift Commander must approve the search and will be responsible to notify the ADO and the Regional PREA Analyst. Interviews with both staff and a transgender Probationer indicate that transgender females can request female security staff to search them. This is indicated on the form, which is completed at the time of intake to the facility.

Interviews with facility staff, including medical personnel indicate operational practice is consistent with this policy. The facility reports in the PAQ and verified through staff interviews that no cross-gender strip searches or visual body cavity exams have occurred. The auditor observed the areas where strip searches occur and found them to be adequate in providing privacy from viewing by female staff or incidental viewing by anyone not performing the strip search.

VADOC Operating Procedure states that Probationers are able to shower, change clothes and perform bodily functions without nonmedical staff of the opposite gender viewing their breasts, buttocks or genitalia, except in exigent circumstances or incidental to routine cell checks. The toilet and shower areas are adequately private. A review of CCTV coverage in common areas, bathroom areas and individual protective cells revealed that the cameras were pointed away from toilet

areas or covered. Probationer interviews revealed that the Probationers felt as if they have sufficient privacy to change and shower without female staff being able to view them undressed. Cold Springs CCAP is in compliance with the provisions of the standard.

The VADOC Operating Procedure states that staff of the opposite gender shall announce their presence when entering a Probationer housing unit as described in institution post orders or written guidelines. There are multiple safeguards in place to ensure that this is occurring. There are announcements made regularly and this is logged in the logbook. Probationers stated that announcements are being made on a consistent basis when female staff enter the housing units. Staff interviews also indicate the Probationers' privacy from being viewed by opposite gender staff is protected. Current procedures in place at Cold Springs CCAP afford Probationers appropriate privacy while still affording staff the ability to appropriately monitor safety and security. Cameras are placed appropriately so that shower and toilet areas are not in direct view. The auditor observed all areas in the facility where Probationers may be in a state of undress and concluded that these areas are sufficiently private to prevent viewing by female staff.

VADOC policy prohibits searching or physically examining a transgender or intersex Probationer for the sole purpose of determining the Probationer's genital status.

According to targeted interviews with medical staff and review of logs during the on-site portion of the audit, no Probationer has been examined for the purpose of determining gender status. During staff interviews, staff were clear in their understanding and were able to articulate that they could determine this information other ways, including asking the Probationer. Staff are typically aware when they are receiving a transgender Probationer. Per staff, there have been no transgender or intersex searches performed for the sole purpose of determining genital status by the facility at Cold Springs CCAP.

Per VADOC policy, security staff shall be trained on how to conduct cross-gender frisk searches, and searches of transgender and intersex Probationers in a professional and respectful manner, in the least intrusive manner possible. These searches shall be consistent with security needs and should circumstances allow, staff should consult with a transgender or intersex Probationer before conducting a search to determine the Probationer's preference in the gender of the officer conducting the search. Routine strip searches or visual body cavity searches will occur in authorized areas and searches based on reasonable suspicion require the Warden's authorization. The Auditor reviewed the training records for Cold Springs CCAP and found that all staff are trained in accordance with the policy.

The search procedure training outline indicates the following: Pat-down searches of cross-gender, transgender and intersex Probationers shall be conducted in a professional and respectful manner and in the least intrusive manner possible, consistent with security needs at any time whether or not criteria for reasonable belief exists. Female corrections officers should conduct all frisk searches of transgender and intersex Probationers unless urgent circumstances are present and documentable. Exceptions to this requirement should be referred to the facility

Treatment Team. A transgender or intersex Probationer shall not be searched or physically examined for the sole purpose of determining the Probationer's genital status. If the Probationer's genital status is unknown, it may be determined through conversation with the Probationer, a review of the medical record, or if necessary, by learning that information as a part of a broader medical examination conducted in private by a medical practitioner. Strip searches of Probationers by opposite gender staff may be conducted when there is an immediate threat to the safe, secure, orderly operation of the facility and there is no other available alternative.

Transgender and intersex Probationers expressing a preference regarding the sex of the correctional staff conducting the strip search should request consideration of their preference in writing to the facility Treatment Team for review (Strip Search Deviation Form). Approval must be obtained from the Shift Commander prior to conducting the search with notification to the Administrative Duty Officer and the Regional PREA Analyst. An Internal Incident Report must be submitted in accordance with Operating Procedure 038.1, Reporting Serious or Unusual Incidents.

One Corrections Officer and one other DOC employee both of whom are of the same gender as the Probationer or of the gender indicated on the approved Strip Search Deviation Request will accompany the Probationer into an appropriate area where privacy can be ensured. Interviews with officers confirmed these practices.

During the pre-audit portion of the audit, the auditor reviewed the training presentation that is provided to all employees regarding how to conduct cross-gender pat down searches as well as how to properly search transgendered and intersex Probationers in accordance with this standard. According to the PAQ, 100% of all employees hired in the last 12 months received the required training. The Training staff also provided training rosters for facility staff. During the on-site document review of employee files, the auditor verified the documents in the employee files provided during the pre-audit phase. VADOC policies require all staff to be trained on how to conduct searches, including those of transgender and intersex Probationers. Staff indicated that they are trained to do cross-gender searches at the academy and were able to articulate to the Auditor how they would accomplish a search of a transgender Probationer. A targeted interview with the training coordinator indicates officers are trained on how to do searches of transgender and intersex Probationers during their initial training, as well as during in-service. The Auditor reviewed the training outline and found it to be in compliance with the standard. The training coordinator provided the auditor with a printout of all completed in-service for the previous year (2023).

During the random staff interviews, employees recalled being provided training on how to perform cross-gender pat down searches, as well as how to search transgendered or intersex Probationers. Interviews indicate that the officers understand how to conduct cross-gender searches and searches of transgender and intersex Probationers in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs. Transgender Probationers can request a "deviation form" in order to be searched by a male officer. Interviews with 1 transgender Probationer confirm these practices. Showers are made available to transgender Probationers separate from other Probationers, if

	<p>requested.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.216</b>	<b>Residents with disabilities and residents who are limited English proficient</b>
	<p><b>Auditor Overall Determination:</b> Exceeds Standard</p> <p><b>Auditor Discussion</b></p> <p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 038.3</li> <li>3. Forms and pamphlets</li> <li>4. Contract Purple Communications, Inc.</li> <li>5. Probationer handbook</li> <li>6. Employee training rosters for the past 12 months</li> <li>7. PREA Training Video in English and Spanish and with subtitles</li> <li>8. Agreement with commercial interpreter service</li> <li>9. Homeland Language Services Contract</li> </ol> <p>Interviews with the following:</p> <ul style="list-style-type: none"> <li>• PREA Compliance Manager</li> <li>• Random Staff</li> <li>• Classification Staff</li> <li>• Intake Staff</li> <li>• Probationers who have disabilities</li> </ul> <p>Observation of the following:</p> <ul style="list-style-type: none"> <li>• Observation of posted information in facility</li> </ul> <p>Findings:</p> <p>The Cold Springs CCAP, in accordance with VADOC Operating Procedures takes appropriate steps to ensure that Probationers with disabilities, including those who are deaf, blind or have intellectual limitations have an equal opportunity to participate and benefit from all aspects of the facility’s efforts to prevent, detect and respond to sexual abuse and harassment. VADOC Operating Procedure is written in accordance with the standard and indicates that during intake, Probationers determined to have disabilities will have accommodations made to ensure that materials are received in a format or through a method that ensures effective communication. Interviews with the PCM and facility staff indicate that</p>

Cold Springs CCAP ensures that any Probationers with significant disabilities that required any special accommodations would be identified at intake and referred to the PCM/ADA Coordinator. Staff would ensure the Probationer was able to fully participate and benefit from all aspects of the facility's efforts to prevent and/or respond to sexual abuse and harassment. Staff are typically aware if they are receiving a Probationer with special needs and would make accommodations as necessary. The agency's Zero Tolerance for Sexual Abuse and Sexual Harassment handbook for Probationers is distributed to each Probationer upon arrival at the facility. Due to the classification of the facility, Probationers with significant disabilities would likely not be placed at the facility.

Interviews with staff, including supervisory staff and intake officers confirm that they have a process in place to ensure that all Probationers, regardless of disability would have equal access to PREA information. The Auditor observed PREA informational posters throughout the facility, in visible locations in both English and Spanish. Spanish is the prevalent non-English language in the area. During interviews with staff responsible for intake and classification, they ensured that Probationers with disabilities were provided access to the PREA program. Staff indicated that any situations requiring accommodations would be handled on a case-by-case basis.

The staff are generally aware of the availability of interpretive services for LEP Probationers. The facility has the PREA brochure in a variety of formats, including braille, large print, and information for deaf or hard of hearing. Staff will read the PREA information provided during Intake for Probationers who are blind or have low vision or who cannot otherwise read or understand the information. The PREA video is both audible and closed captioned for those who may be deaf or blind. If Cold Springs CCAP receives a Probationer with an intellectual or cognitive disability, this is handled on a case-by-case basis. A staff member conducts an individual session with the Probationer to ensure the Probationer receives and understands the agency's PREA information and will make a referral to Psychology staff if necessary. The VADOC has a current contract with Purple Language Services to provide Sign Language services to hearing impaired Probationers.

VADOC Operating Procedure indicates that Probationers who are limited English proficient have access to all aspects of the facility's efforts to prevent, detect and respond to sexual abuse and harassment, including providing interpreters. The Auditor determined through staff interviews and a review of the contract that the Cold Springs CCAP has interpreters available for limited English proficient Probationers using a telephone-based interpreter service, Homeland Language Services.

During the on-site portion of the audit, the Auditor was able to speak with one Probationer identified as having a cognitive disability, and two Probationers identified as having a physical disability. During the targeted interviews, the Probationers were able to answer the auditor's questions and were aware of PREA. The Probationers indicated they had been provided PREA education and knew how to report instances of sexual abuse and harassment.

	<p>Cold Springs CCAP offers the PREA Education video with closed-captioning. Staff can also communicate with hearing impaired or deaf Probationers through written communication. The Purple machine is also available to provide sign language to deaf or hearing-impaired Probationers.</p> <p>The VADOC Operating Procedure prohibits the use of Probationer interpreters except in instances where a significant delay could compromise the Probationer’s safety. Interviews with staff indicate that Probationers are not and would not be used as interpreters. During the random staff interviews, no staff member said it was appropriate to use a Probationer interpreter when responding to allegations of Probationer sexual abuse due to confidentiality issues. According to the targeted interview with the PCM and a memo in the file, as well as the PAQ, there were no instances of the use of a Probationer interpreter even in exigent circumstances.</p> <p>The facility has the PREA related information and handouts in a multitude of formats. Probationers are required to sign the Preventing Sexual Abuse and Assault Training acknowledgement form for verification of receipt of the Probationer handbook and PREA education. The Auditor reviewed examples of these forms in both English and Spanish.</p> <p>Staff complete a training on “Understanding and Interacting With Deaf and Hard of Hearing Inmates,” which is in addition to all annual required training. The auditor was provided certificates of training for staff on this topic.</p> <p>After a review, the Auditor determined the facility exceeds the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.217</b>	<b>Hiring and promotion decisions</b>
	<b>Auditor Overall Determination:</b> Exceeds Standard
	<b>Auditor Discussion</b>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 102.2, 260.1, 135.1, 102.3</li> <li>3. Hiring Background Packet</li> <li>4. Background Check on All Employees</li> <li>5. Review of recently promoted employee files from the past 12 months</li> <li>6. Reviews of randomly selected employee files</li> <li>7. Review of randomly selected volunteer files</li> <li>8. Background Information on Contract Employees hired within the last 12 months</li> <li>9. Employment application</li> <li>10. VCIN Transaction Report</li> </ol>



11. Background verification

12. Interviews with PREA Coordinator, Investigator and Human Resources

Findings:

The Cold Springs CCAP does not hire any staff that has engaged in sexual abuse or harassment as stipulated in the standard. The language in the policy is written consistently with that in the standard. The Auditor reviewed the background packet and interview questions used by the VADOC and Cold Springs CCAP and found that they are asking these questions during the interview process to determine if they are hiring anyone who has engaged in prohibited conduct. All applicants apply for any positions online and included on the application is three required PREA questions in accordance with the standard. If any of those questions are answered with a "yes," the system will automatically disqualify the application. Cold Springs CCAP conducts a VCIN check, and if the applicant is selected for employment, the file will be forwarded to the background investigation unit in Richmond. Staff indicated that the background investigator thoroughly vets any prospective employee and asks directly about previous misconduct as required by the standard. The document review conducted by the auditor during the pre-audit phase and on-site, as well as interviews with the PREA Compliance Manager, Warden and Human Resources Manager confirmed that they have complied with this policy and no employee with such a history has been hired during the audit period.

Per the PAQ, In the past 12 months there have been 7 persons hired who may have contact with residents who have had criminal background record checks.

Cold Springs CCAP will consider any instances of sexual harassment in determining whether to hire or promote anyone, or enlist the services of contractors who may have contact with Probationers. A targeted interview with Human Resources stated that instances of sexual harassment would be a factor when making decisions about hiring and promotion. Every employee and contractor undergo a background check and is not offered employment if there is disqualifying information discovered.

There is a written policy that requires inquiry into a promotional candidate's history of sexual abuse or harassment. Documentation reviewed supports compliance with the standard in accordance with agency policy. During the on-site portion of the audit, the Auditor reviewed files of employees that were hired in the last 12 months. All the employees' files contained background checks and pre-employment questionnaires where employees were asked the questions regarding past conduct and their answers were verified by a background investigation. Cold Springs CCAP had no promotions during the audit period. Per Human Resources, the same process is followed for promotions, including completion of the application, VCIN and background investigation. The acknowledgement was completed for employees who had participated in the promotional process. Human Resources stated that employees are asked this information annually on the PREA disclosure form.

VADOC Operating Procedure requires inquiry into the background of potential contract employees regarding previous incidents of sexual assault or harassment. Consistent with agency policy, all employees and contractors must have a criminal

background record check prior to employment. Staff at the background investigation unit at DOC headquarters complete criminal background checks for all prospective applicants and contractors, prior to being offered employment. Verification of the background check is sent to the Human Resource staff at Cold Springs CCAP when completed. Human Resource staff verified this information in interviews discussing the background process. The auditor reviewed examples both during the pre-audit phase and during the onsite file review. A review of personnel records by the Auditor found that all contractors and volunteers have had a background investigation and answered the PREA related questions as required by the standard.

The Human Resource Manager stated that the process is essentially the same for contract employees with respect to background checks and ensuring compliance with the standard. Per the PAQ, criminal background record checks were conducted on 20 contract staff who might have contact with Probationers. The auditor reviewed documentation of background checks for contract staff.

Human Resources stated that if a prospective applicant previously worked at another correctional institutional, they make every effort to contact the facility for information on the employee's work history and any potential issues, including allegations of sexual assault or harassment, including resignation during a pending investigation. This is done by the background investigative unit and this information would be included in the background report.

In accordance with the standard, VADOC Operating Procedure requires background checks be conducted on facility staff and contract staff a minimum of every five years. Cold Springs CCAP does five-year background checks in accordance with the standard. Documentation of five-year background checks was provided by the facility and reviewed by the auditor. There is a spreadsheet maintained by the Human Resources Department at the facility, listing all employees. This list includes date, pre-employment check date, five-year background date and any promotional process dates when background checks were completed. Targeted interviews with facility administrators revealed that an employee engaging in any type of misconduct such as listed in the standard would not be retained.

The Cold Springs CCAP asks applicants and contractors directly about misconduct as described in the standard using a Self-Declaration form during the application process. These forms are maintained in their respective personnel files. The Auditor reviewed random files and verified these forms are being completed. Interviews with staff indicated that the forms are being completed as required by the standard and agency policy. VADOC Operating Procedure stipulates a continuing affirmative duty to disclose any PREA related misconduct. All current and new staff are trained on the PREA policy, as well as annual refresher training. Training records verifying that employees acknowledge that they have read and understand the policy were reviewed by the auditor.

In accordance with the standard, policy stipulates that material omissions regarding such conduct, or the provision of materially false information shall be grounds for

	<p>termination. Interviews with staff verified that the Cold Springs CCAP would terminate employees for engaging in inappropriate behavior with Probationers, upon learning of such misconduct.</p> <p>The Cold Springs CCAP uses a disclosure/acknowledgement form that asks the required questions of applicants to determine prior prohibited conduct. The hiring process includes requiring the investigator to make his/her best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse.</p> <p>After a review, the Auditor determined the facility exceeds the requirements of the standard.</p> <p>Corrective Action: None</p>
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115.218	Upgrades to facilities and technology
	<p><b>Auditor Overall Determination:</b> Meets Standard</p> <p><b>Auditor Discussion</b></p> <p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC OP 801.1</li> <li>3. Schematic of facility</li> <li>4. Interviews with staff</li> <li>5. Observation of camera placement and footage</li> <li>6. Interviews with Superintendent and Chief of Security</li> </ol> <p>Findings:</p> <p>The facility has not acquired a new facility or made a substantial expansion to existing facilities since the last PREA audit.</p> <p>Currently at Cold Springs CCAP, 28 cameras are utilized through the facility. Administrative staff have access to utilize and view cameras. There have been no cameras installed during the last 12 months.</p> <p>The cameras are used to monitor Probationer movement within the facility; it is also used in investigative cases, where staff must review incidents that have been alleged to occur. In reviewing the video monitoring capabilities at the institution, the following areas were recommended to have cameras installed to allow for better monitoring of staff, Probationers, and activities:</p> <p>The food service dry storage, the kitchen bake shop, the kitchen hallway, and the main kitchen.</p>

	<p>A targeted interview with the Major and PCM indicates that the camera coverage is sufficient to protect Probationers from sexual abuse. They stated that Cold Springs CCAP is always evaluating the camera coverage in the facility and will make recommendations as needed to increase the coverage and eliminate any potential blind spots. In addition to the cameras, there are a lot of mirrors throughout the facility.</p> <p>Per interview with the Major and PCM, when installing or updating a video monitoring system, electronic surveillance system, or other monitoring technology, Cold Springs CCAP considers how such technology may enhance Cold Springs CCAP's ability to protect Probationers from sexual abuse. The Major indicated that Cold Springs CCAP reviews the cameras routinely to ensure they are operational. The auditor reviewed camera placement during the on-site review, as well as camera monitors and views of areas in the facility, and a listing of all cameras.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.221</b>	<b>Evidence protocol and forensic medical examinations</b>
	<p><b>Auditor Overall Determination:</b> Meets Standard</p> <hr/> <p><b>Auditor Discussion</b></p> <p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 030.4, 720.7, 038.3, 730.2, 030.1</li> <li>3. SIU Investigative Matrix</li> <li>4. MOU with Action Alliance</li> <li>5. Memo</li> <li>6. Review of incident logs</li> </ol> <p>Interviews with the following:</p> <ul style="list-style-type: none"> <li>• PCM</li> <li>• Investigator</li> <li>• Superintendent</li> <li>• Medical personnel</li> </ul> <p>Findings:</p> <p>VADOC is responsible for both administrative and criminal investigations. The agency follows a uniform protocol for investigating allegations of sexual abuse that maximizes the possibility of collecting usable evidence and trains facility staff who may be first responders in this protocol. A review of the agency's policies and</p>

procedures on evidence protocol indicated the agency has included the elements of this standard in its policies and procedures. Interviews with Cold Springs CCAP staff indicate that they are trained and familiar with the evidence protocol and what to do if they are the first responder to a sexual assault.

Cold Springs CCAP trained investigators conduct administrative investigations. All allegations of sexual abuse and sexual harassment that appear criminal in nature are reported to the Special Investigations Unit (SIU) for investigation. Facility staff are required to preserve any crime scene until the SIU Investigator arrives to collect or process physical evidence from the scene. According to interviews with random staff, there are investigators trained to conduct sexual assault investigations. In addition, the PREA Compliance Manager would be notified. A targeted interview with one of the facility investigators indicated that in the instance of an allegation referred to the SIU, the facility would conduct a simultaneous investigation and maintain communication. The auditor completed a targeted interview with the SIU investigator. He stated that any cases involving staff or that are or could be criminal in nature are referred to SIU for investigation.

The Cold Springs CCAP does not hold youthful Probationers.

VADOC Operating Procedure stipulates that all victims of sexual abuse shall be offered a forensic medical exam, without financial cost including prophylactic testing/treatment for suspected STIs. These exams would be performed off-site at Augusta Health Hospital. Examinations will be conducted by qualified SANE/SAFE experts in accordance with the guidelines of the National Protocol for Sexual Assault Medical Forensic Examinations from the Department of Justice. Persons performing these exams will be Registered Nurses licensed by their respective State Board of Nursing and possess training and/or certification in the Sexual Assault Nurse Examination or a Physician with training specific to the sexual assault medical forensic examination. The availability of these services was confirmed by the Auditor with the Medical staff, as well as the SIU Investigator. They indicated that there was a SANE/SAFE nurse available 24 hours per day and 7 days per week and there would be no charge to the victim for this exam. Medical staff at the facility do not conduct forensic examinations. This was confirmed by the HSA and PREA Compliance Manager.

The Cold Springs CCAP reported on the PAQ, and verified by staff, that there have been no forensic exams conducted during the past 12 months.

VADOC Operating Procedure indicates they will make a victim advocate from a rape crisis center available to an Probationer victim of sexual assault upon request. The Cold Springs CCAP, through VADOC has an MOU with Virginia Sexual and Domestic Violence Action Alliance to provide services to the facility. They are available to serve as a victim advocate to victims of sexual assault at the Cold Springs CCAP. The MOU was provided to the Auditor for review. As stipulated in the MOU, Action Alliance is available to provide an advocate to accompany and support the victim through the forensic exam process, if requested and shall provide any needed or requested emotional support or crisis intervention services. VADOC Operating

	<p>Procedure stipulates these services are available. The auditor conducted a telephone interview with an advocate at Action Alliance and verified the availability of these services. The advocate stated that all advocates have had PREA training and are screened to ensure they do not have a history of perpetrating sexual violence.</p> <p>The MOU with Action Alliance covers VADOC facilities and provides a statewide toll-free Hotline for reporting sexual abuse or assault, to victims who desire an external method of reporting. In accordance with the Action Alliance confidentiality and release information policies, the calls are confidential. If the victim agrees to the release of information, Action Alliance will immediately forward any report of sexual abuse or assault to the Regional PREA/ADA Analyst and maintain a record of calls from VADOC victims. They provide confidential crisis intervention and emotional support services related to all sexual abuse or assault to the victims.</p> <p>Targeted interviews with the PREA Coordinator, PREA Analyst, and Investigator also confirmed that the MOU was in place. The MOU is a renewal of a previous one and is effective April 18, 2023 for one year with an option to renew. There have been no requests for an advocate at Cold Springs CCAP during this review period.</p> <p>The VADOC has standardized this process across the state. All suspected criminal PREA allegations are referred to SIU, receiving guidance from them to ensure all allegations are handled appropriately. In addition, the VADOC has a statewide contract and MOU with Action Alliance to ensure that advocacy services are available to all Probationer victims of sexual assault.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.222</b>	<b>Policies to ensure referrals of allegations for investigations</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 038.3, 030.4</li> <li>3. Investigations Matrix</li> <li>4. Review all investigative files for allegations of sexual abuse or harassment for the past 12 months</li> <li>5. Website</li> </ol> <p>Interviews with the following:</p>

- PREA Coordinator
- PCM
- Investigative Staff
- Random Probationers

Findings:

The VADOC Operating Procedure is written in accordance with the standard and requires that an investigation is completed for all allegations of sexual abuse and harassment. Policy also dictates that allegations are referred for a criminal investigation, if warranted. The PREA Compliance Manager, supervisors and Investigators work very closely together to ensure that all allegations of sexual abuse and harassment are investigated promptly and thoroughly. If a Probationer alleges a sexual assault or sexual harassment has taken place, the staff member will notify the supervisor, who will take the initial report and refer it to one of the investigators for further action. The Investigator coordinates with the PCM and supervisors to determine the course of action. The Superintendent and PREA Analyst would also be notified. The SIU conducts all criminal investigations for the Cold Springs CCAP and the VADOC and will be notified by the Investigator if there is suspected potential criminal charges. The SIU agent is a certified law enforcement officer and has the legal authority to arrest and place criminal charges on persons at the institution. During a targeted interview with the agency SIU Investigator, he explained the investigative process and indicated that he works closely with the facility investigators. The facility investigators will do much of the preliminary work and consult with SIU to determine next steps.

If the SIU Investigator determines there may be insufficient evidence for prosecution, it is referred to the facility Investigator for an administrative investigation. If a case appears to be prosecutable, the SIU will consult with the Commonwealth's Attorney on prosecutorial efforts. The investigator stated that the Commonwealth Attorney will look at each case on its own merits and decide what action to take.

The VADOC Operating Procedure is posted on the website under the PREA section.

Targeted interviews with the PREA Analyst, Investigator, PREA Compliance Manager and Superintendent verified that all allegations of sexual abuse or harassment are investigated promptly and thoroughly. They described the process for investigations, which is a collaborative approach. According to the interviews, once an allegation is received, it is referred for investigation based upon the type of allegation. In the case of a sexual abuse allegation, the first responders and supervisory personnel would initially take action to separate the alleged victim and perpetrator and takes steps to preserve any evidence. The on-duty supervisor would brief the PCM and depending on the situation, initiate a call to the SIU to begin a criminal investigation. All reports of sexual abuse or harassment are evaluated by the first responders and supervisors in coordination with the PCM and a determination is made whether to initiate a criminal investigation. If there is no exigency and no evidence that a crime has occurred, the facility initiates an

	<p>administrative investigation. The incident is investigated and if during the investigation, it is determined that there is evidence to support a crime was committed, the investigator will consult with the SIU as necessary. If there is no evidence that a crime was committed, then the investigation is completed as an administrative investigation by the facility investigator.</p> <p>Interviews with staff indicate they are aware of their responsibility to investigate every allegation, refer the allegation if it involves criminal behavior and notify the PREA Compliance Manager of all allegations. The VADOC Regional PREA Analyst and PREA Coordinator maintain oversight of facility investigations.</p> <p>The Cold Springs CCAP reports there has been 1 allegation of sexual abuse or harassment in the past 12 months. A review of the investigative file indicates that the allegation was promptly and thoroughly investigated in accordance with both VADOC policy and the provisions of the standards. There have been no allegations in the past 12 months that warranted referral for criminal investigation. In accordance with the standard, Cold Springs CCAP would refer criminal allegations of sexual abuse and sexual harassment to the SIU office who maintains the legal authority to conduct criminal investigations in the facility. The one allegation in the past 12 months was an allegation of sexual harassment.</p> <p>VADOC Operating Procedure requires that all sexual assault allegations that involve evidence of criminal behavior be referred for criminal prosecution. Documentation of such is contained in the investigative reports.</p> <p>The auditor reviewed the VADOC website and the agency policy is posted and publicly available. During an interview with the facility investigator, he verified that investigations that revealed criminal behavior would be referred to the SIU Investigator and subsequently to the Commonwealth Attorney for prosecution.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.231</b>	<b>Employee training</b>
	<b>Auditor Overall Determination:</b> Exceeds Standard
	<b>Auditor Discussion</b>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 102.6, 350.2</li> <li>3. 2022 and 2023 Annual Training</li> <li>4. New Hire PREA Training</li> </ol>



5. PREA Lesson Plan
6. Review of Training Files
7. PREA and ADA News
8. Interviews with Random Staff, PREA Coordinator, PCM, and Training Coordinator

Findings:

The VADOC Operating Procedure is written in accordance with the standard and includes all required topics and elements of the standard. In accordance with the standard the DOC will train all employees who may have contact with Probationers on:

- a. Its zero-tolerance policy for sexual abuse and sexual harassment
- b. How to fulfill their responsibilities under DOC sexual abuse and sexual harassment prevention, detection, reporting, and response procedures
- c. The Probationers' right to be free from sexual abuse and sexual harassment
- d. The right of Probationers and employees to be free from retaliation for reporting sexual abuse and sexual harassment
- e. The dynamics of sexual abuse and sexual harassment in confinement
- f. The common reactions of sexual abuse and sexual harassment victims
- g. How to detect and respond to signs of threatened and actual sexual abuse
- h. How to avoid inappropriate relationships with Probationers
- i. How to communicate effectively and professionally with Probationers, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming Probationers
- j. How to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities

Policy requires that all employees, contractors, and volunteers who have contact with Probationers receive training. According to the policy, mental health and medical personnel receive specialized training. The training is tailored for both male and female Probationers. Employees who are reassigned from facilities housing the opposite gender are given additional training.

The facility provides PREA training each year to all employees to ensure they remain up to date on the VADOC policies and procedures regarding sexual abuse and harassment. Each employee completes this training annually during the required In-Service Training. In addition to taking a quiz on the information, each employee signs a verification acknowledging they have received and understand the information.

The Auditor reviewed the training curriculum and verified it included all information and each element required by the standard. The Auditor reviewed the training rosters to verify and ensure all employees are receiving the training. During the pre-audit period, the Auditor reviewed the training documentation submitted by the facility. In addition, during the on-site portion of the audit, the auditor verified the training of staff, which includes contractors, by reviewing the training logs for all employees who had received training for the previous and current year, as well as individual training files. Each employee also signs a PREA Acknowledgment indicating their receipt of and understanding of the PREA training, which is

	<p>maintained in their file.</p> <p>The statewide PREA Coordinator distributes a monthly PREA Newsletter to all VADOC personnel to enhance PREA knowledge. New staff are given PREA training during their orientation, before assuming their duties. All new staff take a quiz and sign a verification acknowledging they have received the information. This information is on Day 1 of their institutional orientation. During interviews with the PCM and Training staff, they confirmed that no employee is permitted to have contact with Probationers prior to receiving PREA training during orientation.</p> <p>The Auditor reviewed the following rosters: PREA In-service for 2022 and 2023 and the Basic Correctional Officer (BCO) Training for 2022 and 2023. The facility reported that there are 44 staff currently employed at the facility who may have contact with Probationers. The Auditor reviewed Cold Springs CCAP training records for the last 12 months to verify all staff had been provided annual in-service training and a signed PREA Training Acknowledgement form was on file for each staff member.</p> <p>Based upon an interview with the training coordinator, all active employees at Cold Springs CCAP have completed the required training. The auditor was provided with and reviewed copies of the agency's PREA curriculum, training logs, and training acknowledgement forms. The training curriculum meets all requirements of the standard. Random staff interviews indicate staff have received and understand the training received.</p> <p>The Auditor conducted formal and informal interviews with random and specialized staff. All staff interviewed indicated that they had received training and were able to articulate information from the training. During the staff interviews, all employees recalled having annual PREA training. Many staff also stated that PREA related topics are often discussed in roll-call and they will frequently get PREA informational emails from the PREA Coordinator. Staff appear to understand their responsibilities regarding the standards. The staff are appropriately trained, and all documentation is maintained accordingly.</p> <p>PREA training is conducted on an annual basis during in-service, versus every two years as required by the standard.</p> <p>After a review, the Auditor determined the facility exceeds the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.232</b>	<b>Volunteer and contractor training</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>

Evidence Relied upon to make Compliance Determination:

1. Cold Springs CCAP Completed PAQ
2. VADOC Operating Procedure 102.6, 350.2, 027.1, 038.3
3. Annual Training
4. Contractor/Volunteer Training Form
5. Contractor/Volunteer Outline
6. Guide to Maintaining Boundaries
7. Contractor training with log
8. Volunteer training with log
9. Review of Training Files
10. Volunteer orientation
11. Action Alliance PREA Training

Interviews with the following:

- PCM
- Contract Staff
- Training Coordinator

Findings:

The VADOC Operating Procedure is written in accordance with the standard and requires that all volunteers and contractors who have contact with Probationers have been trained on their responsibilities under the agency's policies and procedures regarding sexual abuse and sexual harassment prevention, detection, and response. Cold Springs CCAP ensures that all staff receive training regarding PREA. This training is required to be completed in person prior to contact with any Probationers. The facility provides PREA training annually to each contract employee to ensure they remain up to date on the VADOC policies and procedures regarding sexual abuse and harassment.

A directive for the VADOC states that the level and type of training provided to volunteers and contract staff shall be based on the services they provide and the level of contact they have with Probationers. Contractor/volunteer job functions with require Probationer contact receive the full training on responsibilities to prevent, detect, monitor, and report allegations and incidents of sexual abuse and sexual harassment of Probationers. This training is the same that is provided for all new hires in VADOC facilities. The contractor/volunteer shall sign certifying their understanding of the training material. Volunteers and contractors with less constant contact with Probationers are required to participate in a one-time training which includes PREA.

In accordance with VADOC policies and directives, contract staff complete the same training as the Cold Springs CCAP staff and signs a PREA Acknowledgment indicating their receipt of and understanding of the PREA training. Per an interview with the Training Coordinator, all staff, including contractors receive annual training on PREA.

The Auditor reviewed the training curriculum and verified it included all information

required by the standard. The Auditor reviewed the training rosters, as well as random training files to verify and ensure all contracted employees are receiving the training. New contractors and volunteers are given PREA training during their orientation before assuming their duties and sign a verification acknowledging they have received the information. During the document review, the auditor was able to verify that the contractors who had been trained were required to sign an acknowledgement that they had received and understood the PREA training. The auditor reviewed the files of newly hired contract employees and verified that the signed training acknowledgement form is retained in their files. In addition, during targeted interviews with Human Resource staff, they verified that training acknowledgements were retained in the files, which is a standardized process for VADOC.

The Auditor conducted formal interviews with contracted staff. During targeted interviews with contract staff members, each of the interviewees told the auditor that they recalled having the PREA training and knew of the Cold Springs CCAP's zero-tolerance policy against sexual abuse and harassment. In addition, they could articulate what to do if an Probationer reported to them. When asked what would be the consequence if they violated the PREA policy, they stated they would be terminated and removed from the facility. The contract staff were knowledgeable regarding the PREA information they had received. Staff appear to understand their responsibilities regarding the standards. The Cold Springs CCAP is providing training in accordance with the standard. The documentation is maintained accordingly.

The auditor spoke with 2 volunteers for Cold Springs CCAP. They stated that they had received PREA training and had received a refresher every year, as well as signed an acknowledgement. They indicated they are aware of the zero-tolerance policy and states they would immediately notify an officer if a Probationer were to report a PREA incident. They also stated an awareness of the duty to report any PREA related information immediately.

The auditor reviewed the training curriculum for volunteers and found that the information provided meets the requirements of the standard. All volunteer files reviewed contained confirmation of PREA training and included the Volunteer Confidentiality and Policy Agreement Training Certification verifying receipt and understanding of PREA training. The auditor interviewed the staff member responsible for the volunteer training and maintenance of the files. The staff member stated that the orientation process for volunteers included a video and PowerPoint and each volunteer signs an acknowledgement.

The facility reports on the PAQ that there are 47 volunteers and contractors, who may have contact with Probationers, who have been trained in agency's policies and procedures regarding sexual abuse and sexual harassment prevention, detection, and response

Volunteers and contractors all receive PREA training. The contract staff receive the same training as the facility staff.

	<p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.233</b>	<b>Resident education</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 038.3, 940.4</li> <li>3. Review of Probationer training materials</li> <li>4. Review of Probationer training documentation</li> <li>5. Probationer Handbook</li> <li>6. Sampling of Probationer files comparing intake date, the date of initial screenings, and the date of comprehensive screening</li> <li>7. Probationer Brochure and acknowledgement</li> <li>8. Logs of Completion of Probationers provided Comprehensive Education</li> </ol> <p>Interviews with the following:</p> <ul style="list-style-type: none"> <li>• PCM</li> <li>• Random Probationers</li> <li>• Intake Staff</li> </ul> <p>Observations of the Following:</p> <ul style="list-style-type: none"> <li>• PREA informational Posters throughout the facility in Probationer housing and common areas</li> <li>• Probationer Intake Process</li> </ul> <p>Findings:</p> <p>The VADOC Operating Procedure is written in accordance with the standard. In accordance with policy, Probationers receive information regarding the facility and agency's zero tolerance policy. This information, in the form of a brochure, along with the Probationer handbook and informal posters, provides Probationers with information regarding sexual abuse and assault, the agency's zero tolerance policy and how to report incidents of sexual abuse or harassment. Policy states that on the day of arrival the probationer/parolee will receive an initial intake PREA training, utilizing the Preventing Sexual Abuse &amp; Sexual Assault - Trainer Outline (Intake) and will sign the Acknowledgement of Preventing Sexual Abuse and Sexual Assault Training.</p> <p>The Cold Springs CCAP PAQ reported that during the last year 146 Probationers were</p>

committed to the facility and given PREA information at the time of intake, in accordance with the standard. Targeted interviews with multiple staff indicated that this information is communicated to the Probationers verbally and in writing upon arrival at the facility.

Probationers will receive a PREA brochure upon intake that advises the Probationer of their right to be free from sexual abuse and sexual harassment, and various ways to report. Staff verify that Probationers understand the information and would identify any Probationers that may need an accommodation to fully participate in the PREA program at Cold Springs CCAP. Probationers will sign an acknowledgement of receipt that is maintained in their file. The brochure contains information about the zero-tolerance policy and reporting information. Of those, Probationers were at the facility for 30 days or more and given the comprehensive PREA education.

The auditor observed PREA signage in all facility locations, and notification of the agency's zero tolerance policy. Staff told the auditor that they explained the agency's zero tolerance policy regarding sexual abuse and harassment, and they explain to the newly committed Probationers that they could report any instances of abuse or harassment to staff and/or use the Probationer telephone system to report abuse to the listed hotline. The PREA brochure information is explained to the Probationers upon arrival at the facility. The auditor was not able to observe the intake process for a new arrival. However, the staff was thorough in explaining the process to the auditor.

Interviews with intake staff verified that Probationers, including any transferred from another facility, are given the same PREA orientation. Further questioning revealed that Probationers who were LEP would be provided the orientation using a language telephone interpreter service or a Spanish speaking staff would be utilized, if available. For Probationers that are visually impaired, a staff member would read the information to the Probationer. The video also has printed subtitles for the hearing impaired. Staff would assist any other disabled or impaired Probationers that needed assistance, such as intellectually limited Probationers. Information in multiple formats was available throughout the facility. Targeted interviews with staff indicated that the facility will make needed accommodations for identified Probationers with disabilities. The Auditor observed PREA informational posters in all Probationer housing areas, intake, and public areas. The posters were very prevalent in all areas of the facility.

The auditor interviewed 2 Probationers identified as having a physical disability, and one Probationer identified as having a cognitive disability. The Probationers all stated that they understood the information that has been provided to them. The Probationers stated that they had been given the PREA information and understood the zero-tolerance policy and had an awareness of PREA.

Probationer interviews revealed that most all Probationers remembered receiving information about the agency's zero tolerance policy and how to make a report of sexual abuse. All Probationers interviewed stated they are aware of PREA and how to report.

Within 10 days of arrival, the probationer/parolee must receive the comprehensive PREA training. The comprehensive education is accomplished through the use of the PREA education video. The video is shown during the Probationer's comprehensive facility orientation. Staff is available to answer any questions the Probationers may have. This is documented on the Probationer orientation, as well as the comprehensive PREA Education Acknowledgement Form, both of which are kept in the Probationer record to verify receipt of the training. Probationer interviews indicated that they were receiving the training.

The auditor reviewed the Probationer files for all 18 Probationers that were interviewed. Documentation reviewed showed that they had received the comprehensive education within the 30-day timeframe as required by the standard. During the pre-audit phase, the auditor also reviewed documentation of numerous Probationer PREA acknowledgment forms for education provided.

The files contained documentation of the initial Probationer PREA orientation and receipt of the brochure at the time of admission, as well as the comprehensive education. This verified what the interviews revealed, what was required by policy and what was reported in the submitted PAQ. Interviews with staff and Probationers verified that Probationers are receiving the initial and comprehensive PREA training as required.

All current Probationers have received PREA training. Probationer interviews indicate that the majority remember receiving information upon arrival and viewing the orientation video. They have an awareness of PREA information and how to report.

As required by the standard, policy provides for education in formats accessible to all Probationers. There are Spanish versions of all materials. For Probationers that are visually impaired, a staff member would read the information to the Probationer. The information is also available in Braille, in both English and Spanish. In addition, the Purple machine is available for signing for the hard of hearing and deaf Probationers. As indicated in the policy, all other special needs would be handled in coordination with the PCM or Unit Manager on a case-by-case basis.

Information in multiple formats was available throughout the facility. The Auditor observed PREA informational posters in all Probationer housing areas, intake, and medical. The Probationer handbook is available and provided to all Probationers.

Probationers receive a PREA Brochure and reporting information upon arrival to Cold Springs CCAP. The PREA brochure and education is available in large print, braille, and Spanish with the capability of translating to other languages as needed.

After a review, the Auditor determined that the facility meets the requirements of the standard.

Corrective Action: None

<b>115.234</b>	<b>Specialized training: Investigations</b>
	<p data-bbox="280 188 981 224"><b>Auditor Overall Determination:</b> Meets Standard</p> <hr/> <p data-bbox="280 264 564 300"><b>Auditor Discussion</b></p> <hr/> <p data-bbox="280 340 1102 376">Evidence Relied upon to make Compliance Determination:</p> <ol data-bbox="280 412 1037 779" style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 030.4, 350.2</li> <li>3. Review of Training Materials</li> <li>4. Review of Training Documentation</li> <li>5. Review Training Curriculum for Specialized Training</li> <li>6. Review of Training Certificates for Investigators</li> <li>7. Investigations Matrix</li> <li>8. Review of investigative files</li> <li>8. Interviews with PCM &amp; Investigative Staff</li> </ol> <p data-bbox="280 815 408 851">Findings:</p> <p data-bbox="280 887 1477 1299">Agency policy is written in accordance with the standard. VADOC conducts both administrative and criminal investigations and requires all investigators receive specialized training. Cold Springs CCAP have two staff members who has received the specialized training and there are 22 SIU staff who have been trained to conduct sexual abuse investigations in a confinement setting. The Auditor reviewed the investigations matrix, which dictates whether the allegation will be handled by agency investigators or SIU. The SIU Agents conduct all criminal investigations, in addition to all administrative investigations where criminal charges could possibly be determined and any cases involving staff. SIU Investigators are sworn law enforcement officers for the VADOC and have arresting authority.</p> <p data-bbox="280 1335 1477 1702">The institution Investigators and the SIU agent assigned for Cold Springs CCAP have completed the National Institution of Corrections Training “Conducting Sexual Abuse Investigations in a Confinement Setting,” which certifies them to conduct investigations for alleged sexual abuse and harassment. The training included all mandated aspects of the standard, including Miranda and Garrity, evidence collection in a correctional setting, as well as the required evidentiary standards for administrative findings. In addition, facility investigators complete a training entitled PREA Specialized Training: Investigating Sexual Abuse in Confinement Settings. The Auditor verified the training for the investigators.</p> <p data-bbox="280 1738 1477 2069">The Auditor interviewed the SIU agent assigned to Cold Springs CCAP, as well as one of the institutional investigators. They were all able to articulate the aspects of the training received and appeared knowledgeable in the training, as well as conducting sexual assault investigations. The facility investigator stated that, if in the course of the investigation, it appeared that the conduct was criminal in nature and there could be criminal charges involved, the allegation would be forwarded to the SIU, who will consult with the Commonwealth Attorney regarding any potential charges.</p>



	<p>The Auditor reviewed the training records for the investigators and verified that they had received the specialized training. In addition, the investigators complete periodic refresher training for which the auditor viewed documentation.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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115.235	Specialized training: Medical and mental health care
	<p><b>Auditor Overall Determination:</b> Meets Standard</p>
	<p><b>Auditor Discussion</b></p>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 701.1, 102.6</li> <li>3. Review of Training Materials</li> <li>4. Review of Training Documentation</li> <li>5. Interviews with Training Coordinator and Medical Staff</li> </ol> <p>Findings:</p> <p>VADOC Operating Procedure requires that all staff members receive PREA training in accordance with standard 115.31. Further, the policy requires that all part- and full-time mental health and medical staff members receive additional specialized training. The policy requires that the mental health and medical staff receive additional specialized training on how to detect and assess signs of sexual abuse and harassment, how to preserve physical evidence, how to respond effectively to victims of sexual abuse and harassment and to whom to report allegations or suspicions of sexual abuse or harassment.</p> <p>The Cold Springs CCAP employs a mixture of state employees and contract medical and mental health providers. Per the HSA, all medical and mental health employees are required to complete all training required by VADOC in accordance with policy.</p> <p>All the medical and mental health staff received the specialized training as evidenced by documentation provided by the staff and reviewed by the auditor.</p> <p>Medical staff complete the course “Medical Health Care for Sexual Assault Victims in a Confinement Setting” through the NIC. Mental health staff complete the course Behavioral Health Care for Sexual Assault Victims in a Confinement Setting” through NIC.</p> <p>During the on-site portion of the audit, the auditor reviewed the training logs provided by the staff and verified that all the current employees had received the required training. During targeted interviews with the HSA and other medical and</p>

	<p>mental health staff, they stated they received PREA training upon orientation. In addition to the annual PREA training required by the VADOC, all medical and mental health staff complete additional training related to healthcare and PREA.</p> <p>Per the PAQ, there are 3 medical and mental health care practitioners who work regularly at this facility who received the training required by VADOC Operating Procedure.</p> <p>Targeted interviews with the training coordinator and HSA verified that every employee is required to participate in PREA training in accordance with 115.31 and that training is documented. In addition, medical and mental health staff receive specialized training that covers all aspects of the standard. The auditor verified this training had been completed. The HSA maintains documentation of training for all contract medical and mental health staff.</p> <p>The medical staff at Cold Springs CCAP do not perform forensic medical examinations for victims of sexual assault. Forensic medical exams are conducted at Augusta Health Hospital.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.241</b>	<b>Screening for risk of victimization and abusiveness</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 940.4, 730.2</li> <li>3. Review of Risk Assessments</li> <li>4. 30 Day Reassessment Logs</li> <li>5. Sampling of Random Probationer Files</li> </ol> <p>Interviews with the following:</p> <ul style="list-style-type: none"> <li>• PREA Coordinator</li> <li>• Random Probationers</li> <li>• PCM</li> <li>• Case Manager</li> </ul> <p>Observations of the Following:</p> <ul style="list-style-type: none"> <li>• Probationer Intake Process</li> </ul> <p>Findings:</p>

According to VADOC Operating Procedure, all Probationers shall be assessed upon their admission to the facility and reassessed no later than 30 days after admission to the facility. The policy is written in accordance with the standard and includes all the required elements. The auditor spoke with multiple staff who explained the initial intake process. Upon arrival at the facility, Probationers are informed of their right to be free from sexual abuse and harassment as well as the agency's zero-tolerance for sexual abuse and harassment and how to report instances of sexual abuse or harassment. Interviews with various staff verified that within 72 hours of admission, all Probationers are screened for risk of sexual abuse victimization and the potential for predatory behavior. This is typically done by the counselor on the same day as arrival. The assessment is conducted using the electronic VaCORIS software system during the Probationers' initial arrival at Cold Springs CCAP. During interviews with random Probationers, most all remember being asked some PREA related questions during their admission process.

All Probationers are assessed during an intake screening and upon transfer to another facility for risk of being sexually abused by other Probationers or sexually abusive toward other Probationers. Intake screenings take place within 72 hours of arrival at Cold Springs CCAP. The facility uses an objective screening instrument that is standardized for VADOC. The intake screening considers, at a minimum, the following criteria to assess Probationers for risk of sexual victimization: (1) Whether the Probationer has a mental, physical, or developmental disability; (2) The age of the Probationer; (3) The physical build of the Probationer; (4) Whether the Probationer has previously been incarcerated; (5) Whether the Probationer's criminal history is exclusively nonviolent; (6) Whether the Probationer has prior convictions for sex offenses against an adult or child; (7) Whether the Probationer is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming; (8) Whether the Probationer has previously experienced sexual victimization; and (9) The Probationer's own perception of vulnerability. The VADOC does not hold Probationers solely for civil immigration purposes. The initial screening considers prior acts of sexual abuse, prior convictions for violent offenses, and history of prior institutional violence or sexual abuse, as known to Cold Springs CCAP, in assessing Probationers for risk of being sexually abusive. According to the PAQ and VADOC Operating Procedure, the PREA screening instrument shall include the required elements. Upon review of the screening instrument, the auditor determined that the screening instrument included all the required elements in accordance with the standard.

According to the PAQ, 146 Probationers entering the facility (either through intake or transfer) within the past 12 months whose length of stay in the facility was for 72 hours or more and who were screened for risk of sexual victimization or risk of sexually abusing other Probationers within 72 hours of their entry into the facility.

A Probationer's risk level is reassessed when warranted due to a referral, request, incident of sexual abuse, or receipt of additional information that bears on the Probationer's risk of sexual victimization or abusiveness. The PCM stated that a reassessment is completed any time there is an incident and/or based on a referral from a staff member. Interviews with additional staff also indicated that a

Probationer's risk level is reassessed based upon a request, referral or incident of sexual assault.

Probationers are asked their sexual orientation, in addition to the reviewing staff's perception. Within 30 days from the Probationer's arrival at Cold Springs CCAP, staff reassesses all Probationers' risk of victimization or abusiveness based upon any additional, relevant information received by Cold Springs CCAP since the intake screening. This is done on a PREA Reassessment form and by policy is completed between 14 and 21 days after the Probationer's arrival at the facility. Staff meet with the Probationer and document the reassessment in the facility notes section in VACORIS. Probationers are not disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked. According to the PAQ, 146 Probationers entering the facility (either through intake or transfer) within the past 12 months whose length of stay in the facility was for 30 days or more and who were reassessed for their risk of sexual victimization or of being sexually abusive within 30 days after their arrival at the facility based upon any additional, relevant information received since intake.

Cold Springs CCAP has implemented appropriate controls on the dissemination within Cold Springs CCAP of responses to questions asked pursuant to this standard to ensure that sensitive information is not exploited to the Probationer's detriment by staff or other Probationers. Access in the VACORIS is limited. Most staff cannot see the Probationer's responses on the Screening Assessment. Only authorized supervisory staff and those who perform housing, bed, work, education, and programming assignments can access the PREA Assessment. Correctional Officers can see an alert on the screen that identifies a Probationer classified as HRSV or HRSA to prevent them making housing or work assignments that places the Probationer at risk of victimization or abusiveness. Due to the classification of the facility, it is unlikely that inmates that are identified as HRSV or HRSA would be housed at the facility.

The Auditor interviewed staff who complete the screenings. The staff indicated that the risk screening is completed within 72 hours and the PREA risk assessment completed at the previous facility is reviewed. The screenings are completed in the electronic records system. There is limited access to the PREA risk assessment.

This screening is used for housing and program decisions and referrals. The auditor reviewed this information and verified it is maintained electronically with limited access. The auditor was provided a copy of and reviewed the screening form.

Targeted interviews with staff, as well as the PREA Coordinator and PCM verified that risk assessments are performed within 72 hours of intake. The questions are asked and the answers are recorded by the staff on the risk assessment form in VACORIS.

There are areas on the form that allows for the inclusion of additional details related to the question, if additional data needs to be documented.

The auditor reviewed the Probationer files for all Probationers interviewed, and looked at their intake records and risk screenings to compare the admission date and the date of admission screening. The documentation reviewed indicated that

	<p>Probationers at Cold Springs CCAP are receiving risk screenings within 72 hours of intake.</p> <p>The PCM, Counselor and PREA Coordinator confirmed that 30-day reassessments are being completed on Probationers. The auditor reviewed Probationer files of initial PREA risk assessments. The auditor also reviewed the selected Probationer files to determine if 30-day re-assessments had been completed. The selected Probationer files indicated that they had received a reassessment within the required timeframe. The counselor that completes the assessments is completing a face-to-face meeting with the Probationers. The Probationer and staff interviews confirm this, as most of the Probationers remember being asked the questions again and/or having a follow-up meeting within 30 days of arrival.</p> <p>VADOC Operating Procedure stipulates that no Probationer shall be disciplined for refusing to answer or disclose information in response the risk assessment questions. According to targeted interviews with the staff, there have been no instances of Probationers being disciplined for refusing to answer screening questions.</p> <p>The Auditor reviewed Probationer files and determined that the initial risk assessments are being completed within 72 hours as required and the 30-day reassessments are being completed on a consistent basis.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective action: None</p>
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<b>115.242</b>	<b>Use of screening information</b>
	<b>Auditor Overall Determination:</b> Exceeds Standard
	<b>Auditor Discussion</b>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 038.3, 940.4, 425.4, 730.2</li> <li>3. Review of Screenings</li> <li>4. Alert Log</li> </ol> <p>Interviews with the following:</p> <ul style="list-style-type: none"> <li>• PCM</li> <li>• Classification Staff</li> <li>• Probationers identified as HRSV, Transgender, Gay or Bisexual</li> </ul> <p>Observation of the following:</p> <ul style="list-style-type: none"> <li>• Site review of Probationer housing units</li> </ul>

Findings:

The VADOC Operating Procedure requires that screening information from the PREA risk assessment is used in making housing, bed work, education, and programming assignments. The counselor completes a risk assessment screening upon the Probationer's arrival to the facility. The risk assessment is part of a standardized questionnaire in CORIS called the Classification Assessment. Per the PCM, this tool assists in identifying Probationer at heightened risk of sexual victimization (HRSV) and Probationers at heightened risk of being sexually abusive (HRSA). The Classification Assessment is typically completed within a few hours of arrival at Cold Springs CCAP but later than 72 hours after intake or transfer.

The counselor ensures information is entered in the VACORIS system so Probationers identified at risk of victimization are not placed in a work, program, or education assignment with those identified as potential abusers. Counselors consider a Probationer's own perceptions of their safety when making classification decisions. The screening tool includes sections for the counselor to document his/her own perceptions of the Probationer. Classification staff use this information to make recommendations on housing, bed, work, program assignments and referrals with the goal of keeping separate those Probationers at high risk of being sexually victimized from those at high risk of being sexually abusive. Classification records indicate facility staff make individualized considerations to ensure each Probationer is housed safely in the facility. Targeted interviews with staff verify these practices.

Per the PCM, the HRSV and HRSA alerts are visible to designated staff on the Probationer's profile. Records Staff review each Probationer's alerts, information, and history and makes a compatibility assessment prior to and when making housing decisions. Targeted interviews with staff confirms these practices. HRSV and HRSA Probationers will not be placed in close proximity to each other. A report can be run in CORIS to show all Probationers with these alerts and their housing assignments to ensure this is in effect. Due to the nature of the facility, it is unlikely that anyone identified as HRSV (High Risk of Sexual Victimization) or HRSA (High Risk of Sexual Abusiveness) would be placed at Cold Springs CCAP. At the time of the onsite review, there were no Probationers at the facility identified as HRSV or HRSA. Per the PAQ and documentation reviewed by the auditor, there was no one identified as HRSV or HRSA housed at Cold Springs CCAP during the audit period.

When a Probationer is determined to be high risk for victimization or high risk for abusiveness, it is the responsibility of the staff member conducting the screening to enter the results and make appropriate referrals.

The Work Program Assignment Reviewer is responsible for approving Probationer work assignments. The HRSV and HRSA alerts are checked by the Work PAR and the Institutional Program Manager (IPM) and consider this when making job and program assignments. HRSV and HRSA designated Probationers will not be placed in the same work assignment or program without constant staff supervision. School assignments are also reviewed; however, accommodations can be made by

switching classes so as not to keep any Probationer from receiving education services. It is the responsibility of the staff to check each Probationer being placed in a job that has been determined as an area where there should not be victims and abusers working together. All program and education areas are staffed when in operation. All areas/rooms in the kitchen are monitored by staff and/or cameras. Work supervisors would be notified of any potential conflicts.

VADOC Operating Procedure requires that the agency will consider housing for transgender or intersex Probationers on a case-by-case basis to ensure the health and safety of the Probationer and take into consideration any potential management or security problems. The policy requires that a transgender or intersex Probationer's own view about their own safety shall be given serious consideration and that all transgender or intersex Probationers are given the opportunity to shower separately from other Probationers. Per memo from the PREA Coordinator, VADOC utilizes a Classification Assessment, which is an individualized, objective risk assessment used to screen all Probationers and CCAP probationers/parolees for potential vulnerabilities or tendencies to act out with sexually aggressive or other violent behavior. The Classification Assessments are conducted at intake, upon transfer, and as needed, with careful thought given to the transgender or intersex Probationer's gender identity (whether they self-identify as either male or female). When determining the housing assignment for a transgender or intersex Probationer, consideration is given to the Probationer's security threat level, criminal and disciplinary history, current gender expression, medical and mental health information, vulnerability to sexual victimization, and likelihood of perpetrating abuse. Facility specific factors, including Probationer populations, staffing patterns, and physical layouts are also considered.

During the site tour, the auditor reviewed all Probationer housing units.

At the time of the onsite review, Cold Springs CCAP had 1 Probationer identified as transgender or with a gender dysphoria diagnosis. During the targeted interviews, the transgender Probationer was interviewed. The Probationers indicated that they were able to shower separately by request during count. Interviews with facility administration corroborate these practices are enforced.

The policy stipulates that LGBTI Probationers will not be placed in a dedicated facility, unit, or wing solely based on such identification or status, unless the placement is established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting such Probationers. Staff are aware of their responsibilities should they receive a transgender Probationer regarding this standard. Interviews with facility staff indicate that placement of any transgender or intersex Probationers is made on a case-by-case basis. Agency policy stipulates that placement and programming assignments for transgender Probationers will be reassessed at least twice a year to review any threats to safety and a transgender Probationer's views with respect to his or her safety will be given serious consideration. The counselor meets with each transgender Probationer bi-annually to ensure there are no issues and assess the Probationer's perception of their safety. This is documented in VACORIS. A Probationer that identifies as transgender is

monitored at the facility level by the assigned case manager, unit administrator, IPM, PCM and mental health staff. The auditor reviewed completed bi-annual housing/program reviews and found that these reviews are in person and solicit input from the Probationer. Interviews with the transgender Probationer indicate that they recalled the housing/program reviews taking place.

In addition, these Probationers are monitored at the state level and discussed and reassessed at meetings which include facility and state level staff. The Virginia Department of Corrections' Gender Dysphoria Committee conducts meetings quarterly, and more frequently, if needed. The Gender Dysphoria Committee makes all specialized decisions when providing Probationers and CCAP probationers/parolees who are transgender, intersex or diagnosed with gender dysphoria with specific individual accommodations. If a transgender or intersex Probationer or CCAP probationer/parolee would like to be considered for housing in the gender in which they identify, the Probationer would notify the facility's mental health staff. A clinical update would be provided and the Probationer's security threat level, criminal and disciplinary history, current gender expression, medical and mental health information, vulnerability to sexual victimization, and likelihood of perpetrating abuse reviewed. A final review would be conducted by the Gender Dysphoria Steering Committee and a recommendation for appropriate housing would be referred to the Director.

LGBTI Probationers are not placed in dedicated housing areas. Interviews with staff confirm this practice would not occur. The auditor conducted informal discussions with Probationers during the site review and no Probationer mentioned being housed according to their sexual preference or identity. The auditor conducted targeted interviews with staff. The auditor was informed that Probationers' housing was based upon objective finding and LGBTI Probationers were not placed in dedicated units. Targeted interviews with LGBTI Probationers verified that the Cold Springs CCAP does not place Probationers in dedicated housing units. A review of the roster indicated that identified LGBTI Probationers are in different units, buildings, wings, and bed areas throughout the facility. Cold Springs CCAP was not under a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender or intersex Probationers.

Cold Springs CCAP has demonstrated significant accommodations to ensure the needs of transgender Probationer are met, including designating a bathroom solely for their use.

After a review, the Auditor determined the facility exceeds the requirements of the standard.

Corrective Action: None

<b>115.251</b>	<b>Resident reporting</b>
	<b>Auditor Overall Determination:</b> Meets Standard



## Auditor Discussion

Evidence Relied upon to make Compliance Determination:

1. Cold Springs CCAP Completed PAQ
2. VADOC Operating Procedure 038.3, 940.4, 803.3, 801.6
3. Zero Tolerance Brochure
4. Probationer Handbook
5. Probationer Orientation
6. Site Review
7. Action Alliance MOU
8. VADOC Website
9. Hotline Information

Interviews with the following:

- PREA Coordinator
- PCM
- Superintendent
- Random Staff
- Random Probationers

Observation of the following:

- Observation of informal interactions between staff and Probationers
- Observation of Probationers using the telephone system
- Observation of Information Posters inside the housing units, adjacent to telephone and in the booking area

Findings:

The VADOC Operating Procedure designates multiple mechanisms for the internal reporting of sexual abuse and harassment, retaliation by other Probationers or staff for reporting, as well as mechanisms for reporting conditions that may have contributed to the alleged abuse. Policy is written in accordance with the standard. The auditor reviewed the Probationer handbook and found that Probationers are informed that they may report instances of abuse or harassment by reporting to staff members, both verbally and in writing, as well as by using the Probationer telephone system to make a report to the PREA hotline. There are multiple internal ways for Probationers to privately report PREA related incidents, including verbally to any staff member, a written note submitted to staff, anonymous reports within or external to DOC, and third-party reports. This information is received by Probationers at intake in both written and verbal form, contained in the Probationer handbook and on informational posters in all Probationer housing areas, intake, and various other locations throughout the facility. Operational practice at Cold Springs CCAP is consistent with the VADOC Operating Procedure. Informational posters are prevalent and prominent in all areas of the facility.

Probationers can also use the Probationer Grievance Procedure to report an allegation of sexual abuse or harassment. Probationers are not required to resolve an incident of sexual abuse or sexual harassment with staff or submit the grievance

to the staff member who is the subject of their sexual abuse or sexual harassment allegation. Grievances regarding sexual abuse or sexual harassment will not be referred to the staff member who is the subject of the grievance and a Probationer will not be charged for filing a grievance regarding sexual abuse or sexual harassment unless it is determined that it was filed in bad faith.

During random staff interviews, staff stated that Probationers could make a PREA report to any staff member, write a note, have a friend or family member report for them, or call the hotline. During the site review, the auditor observed reporting information adjacent to all Probationer telephones. Random Probationer interviews revealed that they feel that the staff at Cold Springs CCAP would take any report seriously and act immediately, regardless of the source of the information. Probationer interviews also revealed that the Probationers are aware of the reporting methods available to them.

The VADOC does not hold Probationers solely for civil immigration purposes.

Staff interviews revealed that they are aware of their responsibilities regarding reporting, and would accept and immediately act on any information received, regardless of the source. All staff that were interviewed acknowledged their duty to report any PREA related information. Information on how to report on behalf of a Probationer is listed on the agency website. Staff indicated they would accept and act on third-party reports, including from another Probationer. Verbal reports are required to be promptly documented on an Internal Incident Report.

VADOC Operating Procedure provides a requirement that Probationers have the option of reporting incidents of sexual abuse to a public or private entity that is not part of the agency. Probationers can report outside the Cold Springs CCAP, by phone, using the established hotline. This information is in the Probationer handbook, posted by the phones and on the brochure the Probationers receive at intake. During the site review, the auditor observed PREA informational posters and placards adjacent to the Probationer telephones with the Hotline information where reports can be taken and referred for investigation. This reporting option prompts the Probationer to either leave a message, or they have the option to speak with an advocate from Action Alliance. Most all Probationers interviewed were aware of this as a potential reporting method, indicating the Probationers are receiving this information.

The auditor reviewed the allegations for the previous 12 months and found that there was 1 allegation that was reported at a local jail. Interviews indicate that Probationers are aware of the various reporting methods.

The Auditor verified the availability of the hotline by making a test call to the external hotline. The report was immediately received for the external call and logged. The auditor received documentation of this report the same day from the PREA Analyst. During a targeted interview with a victim advocate from Action Alliance, she verified the availability hotline and their ability to take reports. She stated all the advocates are PREA trained.

	<p>Policy and the Probationer handbook stipulate that 3rd party reports of sexual abuse or harassment will be accepted verbally or in writing. Random Probationer and staff interviews revealed that the staff and Probationers are aware that third party reports will be accepted and treated just like any other reports, with an investigation started immediately.</p> <p>Targeted interviews with multiple staff verified that there are numerous ways to make PREA complaints by both staff and Probationers, including the use of the Probationer phone system, anonymous letters, as well as third party reporting by family and friends. The auditor reviewed the investigative file for the one allegation of sexual misconduct within the last year.</p> <p>Policy requires that all staff accept reports of sexual abuse or harassment both verbally and in writing and that those reports shall be documented in writing by staff and responded to immediately. During targeted interviews with staff, the staff indicated that if an Probationer reported an allegation of sexual abuse or harassment, they would notify their supervisor of such an allegation and immediately intervene by separating the victim and alleged perpetrator. Each staff member stated that they would act without delay. They would accept a verbal complaint and would be required to make a written report of the incident.</p> <p>During Probationer interviews, the Probationers were asked if they knew that they could make a verbal report of an incident of sexual harassment. All the Probationers stated that they knew that they could report to any staff member. Many Probationers stated that the staff were very approachable and feels as though the staff take PREA related matters seriously.</p> <p>Staff may privately report sexual abuse or harassment of Probationers either verbally or in writing to their supervisors, investigative staff, or Superintendent directly. Staff can also report sexual abuse or harassment through the established hotline. Staff members are informed of this provision during PREA training. Staff interviews revealed that they are aware they can go directly to facility administration, including the PCM to report sexual abuse and harassment of Probationers. Most all staff that were randomly interviewed answered that they would report any such incident to their supervisor. Staff interviews revealed they are also generally aware of the availability of the hotline for their use. The PREA Coordinator's office distributes a monthly staff newsletter informing them of PREA related information.</p> <p>After a review, the Auditor determined that the facility meets the requirements of the standard</p> <p>Corrective Action: None</p>
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<b>115.252</b>	<b>Exhaustion of administrative remedies</b>
	<b>Auditor Overall Determination:</b> Meets Standard

## Auditor Discussion

Evidence Relied upon to make Compliance Determination:

1. Cold Springs CCAP Completed PAQ
2. VADOC Operating Policy 038.3, 940.4
3. Probationer Handbook
3. Staff Interviews

Findings:

Agency policy is written in accordance with the standard. Policy allows a Probationer to submit a grievance regarding an allegation of sexual abuse at any time, regardless of when the incident is alleged to have occurred. Policy allows a Probationer to submit a grievance alleging sexual abuse without submitting it to the staff member who is the subject of the complaint. There are provisions in the policy to allow for third-parties, including fellow Probationers to assist Probationers in filing grievances related to sexual abuse and assault. This procedure also discusses how to file emergency grievances related to sexual abuse. If a Probationer files an emergency grievance with the institution and believes he is under a substantial risk of imminent sexual abuse, an expedited response is required.

The grievance procedures are outlined in the Probationer handbook, with a section specific to the grievance procedure for sexual abuse and harassment. Random Probationer interviews indicated they are aware of the grievance process and that they can utilize the process to report a PREA allegation. None of the Probationers interviewed by the Auditor had filed a grievance alleging an imminent risk of sexual abuse or an allegation of sexual abuse. Grievance boxes were observed accessible to the Probationer population and staff stated the receptacles are checked daily.

A targeted interview with the facility investigator revealed that all allegations, including ones submitted through the grievance process are immediately referred for investigation.

Agency policy establishes procedures for filing an emergency grievance alleging that a Probationer is subject to a substantial risk of imminent sexual abuse and requires a response within 48 hours. The PAQ reports there were no emergency grievances submitted alleging a substantial risk of imminent sexual abuse.

Per the PAQ, the facility had no grievances filed that alleged sexual abuse during the previous 12 months. A review of the investigative file indicated that an investigation was initiated immediately and the reports were handled in compliance with agency policy, regardless of the source of the information.

The agency policy reflects that there is no discipline for a Probationer for filing a grievance alleging sexual abuse except where the agency demonstrates that the Probationer filed the grievance in bad faith. There were zero instances of this in the previous 12 months.

After a review, the Auditor determined the facility meets the requirements of the

	<p>standard.</p> <p>Corrective Action: None</p>
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<b>115.253</b>	<b>Resident access to outside confidential support services</b>
	<p><b>Auditor Overall Determination:</b> Meets Standard</p>
	<p><b>Auditor Discussion</b></p>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 038.3</li> <li>3. Probationer Handbook and DOC Website</li> <li>4. Hotline Information</li> <li>5. Sexual Assault brochure</li> <li>6. MOU with Action Alliance</li> </ol> <p>Interviews with the following:</p> <ol style="list-style-type: none"> <li>a. PCM</li> <li>b. Random Probationers</li> <li>c. Random and Targeted Staff</li> <li>d. Mental Health and Medical Staff</li> </ol> <p>Observations of the Following:</p> <ol style="list-style-type: none"> <li>a. PREA informational Posters throughout the facility and public areas</li> </ol> <p>Findings:</p> <p>VADOC Operating Procedure is written in accordance with the standard. The facility provides Probationers with access to local, state, or national victim advocacy or rape crisis organizations, including toll-free hotline numbers. The policy requires reasonable communications between Probationers and those organizations and agencies, in as confidential manner as possible. The Cold Springs CCAP informs Probationers of the extent to which these will be monitored prior to giving them access. There have been no requests for confidential support services during this audit period. Staff interviews indicate they are aware of their obligations under this standard.</p> <p>The auditor reviewed the Cold Springs CCAP handbook, which included information regarding the availability of outside confidential support services for victims of sexual abuse and harassment. During the site review, the auditor viewed information that notifies Probationers of the availability of a third-party reporting hotline, in both Spanish and English. The Probationers are informed that, "Calls to the outside advocate are confidential and DOC does not have access to the recording." Services through Action Alliance can be accessed through the free</p>

hotline, or by writing a letter. Probationers can report through the hotline using Option #1 or speak with an advocate for supportive services using Option #2.

Policy requires that Probationers and staff are allowed to report sexual abuse or harassment confidentially and requires that medical and mental health personnel inform Probationers of their limits of confidentiality. Targeted interviews with medical and mental health reveal they are aware of their obligations to inform the Probationers of the limits of confidentiality. The auditor reviewed documentation that verified this is being relayed to the Probationers.

Probationers are informed of the services available at intake. Cold Springs CCAP provides all Probationers information regarding victim advocacy services upon intake (same day) and during orientation. The information is provided in written form and provided to the Probationer verbally. Probationers are also made aware of the 24/7 crisis line that is available to them as part of the victim advocate service. Probationer interviews indicated that some of the Probationers are aware of the outside services that are available to them. Most Probationers interviewed indicated they knew they could ask to speak to mental health for counseling services if they needed.

The information is listed in the brochure that is provided to the Probationers, as well as the Probationer handbook. During the site review, the auditor observed mail drop boxes in various locations. An interview with mailroom staff revealed that outgoing mail is not opened or searched (without documented cause) and there are no restrictions on Probationers sending mail to external reporting entities, outside emotional support services, and/or legal mail.

The Cold Springs CCAP through the DOC has an MOU with the Virginia Sexual and Domestic Violence Action Alliance (VSDVAA) which stipulates they agree to provide a Hotline with contact information, Social Services and Victim advocates, which also includes participation in forensic exams, investigations and may also include follow-up visits or communications. The Auditor was provided a copy of the MOU and verified the agreement for services. The auditor verified the availability of services with Action Alliance staff, as well as facility psychology staff. The Auditor also placed a test call to the hotline from the facility to verify this was a viable method for the Probationers to utilize.

There have been no Probationers detained solely for civil or immigration purposes.

After a review, the Auditor determined the facility meets the requirements of the standard.

Corrective Action: None

<b>115.254</b>	<b>Third party reporting</b>
	<b>Auditor Overall Determination:</b> Meets Standard

**Auditor Discussion**

Evidence Relied upon to make Compliance Determination:

1. Cold Springs CCAP Completed PAQ
2. VADOC Operating Procedure 038.3
3. Probationer Handbook
4. VADOC Website
5. Third party reporting form
6. Visitation posters
7. Staff Interviews
8. Probationer Interviews

Findings:

The VADOC Operating Procedure is written in accordance with the standards, stipulating that all third-party reports will be accepted and investigated. The Cold Springs CCAP publicly provides a method for the receipt of third-party reports of sexual abuse or harassment through the VADOC website. The Auditor reviewed the DOC website. The website has information on its PREA page that contains information about PREA and their responsibilities for criminal and administrative investigations. It also contains contact and reporting information should any one wish to report an incident of sexual abuse or harassment on behalf of a Probationer. The third-party reporting form is in Spanish and English. In addition, there is an email established for taking third-party reports. The auditor also observed posters in the visitation areas listing a phone number to call for third-party reporting.

Cold Springs CCAP's Probationer Handbook, which is provided during the intake process includes a section with PREA information that informs Probationers that they can report sexual abuse and sexual harassment by calling the confidential reporting hotline and anyone on their behalf at the facility can report. They are also provided the agency's Zero Tolerance pamphlet upon arrival. The brochure informs Probationers they may ask a family member or friend to report an allegation for them.

Staff interviews reveal that they are aware of their obligation to accept and immediately act on any third-party reports received. Staff, including supervisors, indicate they will accept a third-party report from a family member, friend, or another Probationer. They would document the report and inform their supervisor and the report would be handled the same as any other allegation or report and investigated thoroughly. This was also verified by the facility investigator and the SIU Investigator.

Probationers are provided this information at intake and Probationer interviews indicate that they are aware that family or friends or other Probationers can call or write and report an incident of sexual abuse on their behalf. Most all Probationers stated that they felt that staff would take any third-party report seriously and initiate an investigation.

	<p>The auditor reviewed the investigative file for the one allegation of sexual harassment in the past 12 months and found that an investigation was initiated promptly and in accordance with VADOC Operating Procedure. This allegation was received from another correctional facility.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.261</b>	<b>Staff and agency reporting duties</b>
	<p><b>Auditor Overall Determination:</b> Meets Standard</p> <hr/> <p><b>Auditor Discussion</b></p> <p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 038.1, 038.3, 730.2, 801.6</li> <li>3. Review of investigative file</li> </ol> <p>Interviews with the following:</p> <ul style="list-style-type: none"> <li>• Investigative staff</li> <li>• Superintendent</li> <li>• Random Staff</li> <li>• Medical and Mental Health Staff</li> </ul> <p>Findings:</p> <p>VADOC Operating Procedure is written in accordance with the standard and requires all staff, contractors, and volunteers to immediately report any knowledge, suspicion or information related to sexual abuse or harassment to a supervisor. Agency policy requires all staff to report immediately any knowledge, suspicion or information related to retaliation, staff neglect or a violation of duties which may have contributed to sexual abuse or sexual harassment. Staff are required to report information they receive regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the VADOC.</p> <p>During the site review, all staff members interviewed were asked if they were required by policy to report any instances or suspicions of sexual abuse or harassment. All the staff members responded unequivocally that they were required to report any such instances immediately. The auditor also informally asked the same question of contracted staff, and they stated that they would report any instance of sexual abuse or harassment immediately to security staff.</p> <p>Interviews with staff indicate they are very clear regarding their duties and responsibilities about reporting PREA related information, including anonymous and</p>



third-party reports. During random staff interviews, all the staff members stated that they were required by policy to report any instance of sexual abuse or harassment or retaliation for making reports. They were also asked if that included alleged behavior by staff or contractors or volunteers. All staff members who were interviewed said that they were obligated to report any such allegations or suspicions, no matter who it involved. Staff articulated their understanding that they are required to report any information immediately and document such in a written report. An interview with a facility volunteer confirms their understanding of their obligation to immediately report any PREA related information.

Policy requires confidentiality of all information of sexual abuse or harassment beyond what is required to be shared as a part of the reporting, treatment, or investigation. During the random staff interviews, staff were asked about their requirement for maintaining confidentiality. The staff understand the need to keep the information limited to those that need to know to preserve the integrity of the investigation. All the interviewed staff stated that details related to either Probationer allegations or staff allegations should remain confidential and they would only discuss details with supervisors and investigators. Targeted interviews with the PREA Analyst, Investigators and PCM verified that all investigative files are maintained with limited access.

Policy requires that all medical and mental health personnel inform Probationers of the mandatory reporting requirements and limits of confidentiality to victims of sexual abuse. Interviews with medical and mental health staff indicate they are aware of their mandatory reporting requirements and comply with the mandate to disclose the limits of their confidentiality. Medical and mental health staff are aware of their responsibilities to report information, knowledge, or suspicions of sexual abuse, sexual harassment, retaliation, staff neglect or violations of responsibilities which may have contributed to an incident. The auditor viewed documentation that shows that medical and mental health staff discuss limits of confidentiality with the Probationers. Mental health staff stated that Probationers are informed about limits of confidentiality and informed consent and acknowledge this at the initiation of mental health services, typically multiple times, as well as sign an acknowledgement of this which is retained in their file.

Targeted interviews with the PCM, as well as random staff interviews verified that all allegations of sexual abuse or harassment received from a third party are referred for investigation and immediately acted upon.

The VADOC policy requires all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports be immediately reported to the facility designated investigator who will notify the PREA/ADA Analyst of the allegation. All allegations of sexual abuse and harassment at Cold Springs CCAP are reported to the on-duty supervisor, who initiates an investigation. The reporting officer and supervisor create a report, and this report is forwarded to the investigator for review and further action. In addition, the PCM is notified through the chain of command.

The Auditor conducted a formal interview with the facility investigator, who

	<p>indicated that all allegations are immediately reported and investigated. There was one allegation of sexual harassment for the previous 12 months. The Auditor reviewed the investigative file and determined that it was investigated as required by the standard. This allegation was reported by another facility. There were no allegations reported at Cold Springs CCAP during the audit period.</p> <p>The Auditor reviewed agency training curriculum for staff, volunteers and contractors, which includes reporting of sexual abuse and sexual harassment allegations. All staff are required to read the agency's policies and sign an acknowledgement on an annual basis. The auditor verified through training records that all staff, contractors, and volunteers had received training and read the policies how to report sexual abuse and sexual harassment information. Staff interviews verified that all Cold Springs CCAP staff had received training and were well aware of their obligations to immediately report all allegations of sexual assault and harassment.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.262</b>	<b>Agency protection duties</b>
	<p><b>Auditor Overall Determination:</b> Meets Standard</p> <p><b>Auditor Discussion</b></p> <p>Evidence Relied upon to make Compliance Determination:</p> <p>Evidence Reviewed:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 038.3, 940.4, 730.2</li> </ol> <p>Interviews with the following:</p> <ul style="list-style-type: none"> <li>• PCM</li> <li>• Superintendent</li> <li>• Random Staff</li> <li>• Random Probationers</li> </ul> <p>Findings:</p> <p>VADOC Operating Procedure is written in compliance with the standard and requires that whenever there is a report that there is an incident of sexual abuse or harassment, the victim should be immediately protected. Policy requires a staff member, volunteer or contractor to immediately notify their supervisor or the Officer-in-Charge when learning a Probationer is subject to a substantial risk of imminent sexual abuse. The Officer-in-Charge is required to take immediate action</p>

to ensure the protection of the Probationer. The agency's policy defines "Keep Separate" as, "A classification action whereby an Probationer is not to be housed at a specific location, or with access to specific DOC staff, or Probationer; 'Keep Separate' determination is not required but may be based on: the Probationer is subject to a substantial risk of sexual abuse from a specific, identified Probationer."

Random interviews with staff, both security and non-security, indicate they are clear about their duty to act immediately if a Probationer is at risk of imminent sexual abuse. Staff were able to articulate the steps they would take and act immediately to protect the Probationer. Staff indicated they would immediately remove the Probationer from the situation, keep them separate and safe, and find an alternate place for them to stay or be housed pending an investigation or further action. Staff stated they would ensure the Probationer was kept safe, away from the potential threat and in their site at all times. An initial investigation would be completed by the supervisor. Classification staff would also be notified. Targeted interviews with the Superintendent and the PCM confirmed that it is the policy of Cold Springs CCAP to respond without delay when Probationers are potentially at risk for sexual abuse or any other types of serious risk.

Policy dictates that when the facility learns that a Probationer is subject to a substantial risk of imminent sexual abuse, it takes immediate action to protect the Probationer. The Superintendent, PCM and security supervisors interviewed by the Auditor were knowledgeable of their responsibility for the protection of Probationers identified as being at imminent risk of sexual abuse. Options include relocating the Probationer to a different housing unit at the facility or transferring the Probationer to another facility. These actions would be determined on a case-by-case basis and with the best interest of the Probationer and their safety in mind.

Mental Health staff shall immediately consult with the Superintendent or designee and recommend housing interventions or other immediate action to protect an Probationer when it is determined the Probationer is subject to a substantial risk of imminent sexual abuse, or is considered at risk for additional sexual victimization.

Cold Springs CCAP reports in the PAQ that there have been no determinations made that an Probationer was at substantial risk of imminent sexual abuse. The PCM confirmed that Cold Springs CCAP did not have any Probationers determined by the facility to be subject to a substantial risk of imminent sexual abuse requiring immediate action during this audit period. All Probationers that report an allegation are immediately separated from the alleged abuser and kept in staff sight at all times until the alleged abuser is secured. If the report is made to staff other than an officer, security staff would be notified immediately. The staff member that the Probationer reported the allegation to would remain with the Probationer and ensure their safety until security staff responded.

During the Probationer interviews, the auditor asked each Probationer if they felt safe in the facility. Most Probationers stated they felt safe in the facility and were confident that staff would promptly address any issues.

The Auditor randomly reviewed files and talked with staff, both formally and

	<p>informally, and found no evidence that an Probationer was determined to be at imminent risk of sexual abuse. There have been no incidents that required action with regard to this standard.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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115.263	Reporting to other confinement facilities
	<p><b>Auditor Overall Determination:</b> Meets Standard</p> <hr/> <p><b>Auditor Discussion</b></p> <p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 038.3, 030.4</li> <li>3. Unit Head Notification</li> </ol> <p>Interviews with the following:</p> <ul style="list-style-type: none"> <li>• PCM</li> <li>• Superintendent</li> </ul> <p>Findings:</p> <p>The VADOC’s policy is written in accordance with the standard and requires that if the Superintendent or his/her designee receives an allegation regarding an incident of sexual abuse that occurred at another facility, he/she must make notification as soon as possible, but no later than 72 hours after receiving the allegation. The agency requires the Superintendent document the notification. A facility head or agency office that receives a notification is responsible for ensuring the allegation is investigated in accordance with the Prison Rape Elimination Act standards and agency policy.</p> <p>During this review period, the facility reported receiving no notifications from a Probationer alleging sexual abuse while incarcerated at another facility that needed to be reported. According to targeted interviews with the Superintendent and PCM, if they receive such a notice, they would immediately report the allegation to the Superintendent or Administrator of the other facility and document such a notice. The Superintendent stated that upon receiving an allegation that a Probationer was assaulted at another facility, she would call the Superintendent/Administrator at the facility where the alleged assault occurred, followed by an email to the Superintendent or Administrator to complete and document the notification process. The Superintendent stated she has up to 72 hours of receiving the information to make the notification, but typically would make the notification as soon as she</p>

	<p>receives it. Both the Superintendent and PCM stated they would make a notification regardless if the allegation occurred at a VADOC facility. The Superintendent and PCM confirmed their understanding of their affirmative requirement to report allegations in accordance with the standard.</p> <p>Cold Springs CCAP requires that if the Superintendent or designee receives notice that a previously incarcerated Probationer makes an allegation of sexual abuse that occurred at the Cold Springs CCAP, it would be investigated in accordance with the standards. The Cold Springs CCAP reported there has been one report from another facility that a Probationer claimed he was sexually abused while housed at Cold Springs CCAP within this audit cycle. In the event such allegation is received, the Superintendent shall notify the facility investigator, who will ensure that an investigation is immediately initiated, and notify the Regional PREA Analyst. Interviews with the Superintendent and PCM confirm the staff are aware of their obligation to fully investigate allegations received from other facilities. The Auditor reviewed the documentation for this allegation, including the Superintendent notification, investigative report and notification to the Probationer. The allegation was handled in accordance with the standard and VADOC policy.</p> <p>Further, interviews with the staff revealed that staff is keenly aware of their obligations with regard to reporting, and there is a universal understanding and commitment to immediately report any allegations of sexual abuse or harassment, which increases the probability that abuse will be detected, reported and investigated.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.264</b>	<b>Staff first responder duties</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 038.3, 030.4</li> <li>3. PREA Checklist</li> <li>4. Review of investigative files</li> <li>5. Interviews with Random Staff, PCM, Investigator</li> </ol> <p>Findings:</p> <p>The VADOC Operating Procedure is written in accordance with the standard and</p>

indicates actions staff should take in the event of learning a Probationer has been sexually assaulted. Policy requires that when a Probationer reports an incident of sexual abuse, the responding staff member: Separate the alleged victim and alleged abuser to ensure the victim's safety; Notify the Shift Commander and preserve and protect any evidence; If the abuse allegedly occurred within a time period that would allow the collection of evidence, request the victim not take any actions that would destroy any evidence; and take action to prevent the alleged abuser from destroying evidence. The requirements of the first security staff member to respond to the report of sexual abuse are outlined in the Cold Springs CCAP Sexual Assault Response Checklist.

The Cold Springs CCAP Coordinated Response Plan requires security first responders to do the following:

1. Secure the area and separate the alleged victim and abuser and contact the Shift Commander immediately.
2. Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence.
3. If the abuse occurred within a time period that still allows for the collection of physical evidence, request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating.
4. If the abuse occurred within a time period that still allows for the collection of physical evidence, ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating.
5. Escort and secure the alleged victim to the medical department and only allow necessary contact. If the Unit Nurse is not on duty at the time a report of sexual assault or sexual abuse is made, security staff first responders shall take preliminary steps to protect the victim and shall immediately notify the Unit Nurse.

The Auditor conducted formal and informal interviews with staff first responders.

Security first responders were asked to explain the steps they would take following an alleged sexual abuse reported to them. All staff interviewed said that they would notify their supervisor after separating the Probationers and wait for further instructions. The staff were able to appropriately describe their response procedures and the steps they would take, including separating the alleged perpetrator and victim and securing the scene and any potential evidence. The Auditor was informed the scene would be preserved and remain so until the assigned Investigator arrived to process the scene. A targeted interview with the Investigator indicated that once the initial steps were done and the scene was secure, SIU would be notified, depending on the nature of the investigation.

The Cold Springs CCAP Coordinated Response plan outlines the requirements for security supervisor first responders. The Shift Commander and/or Institutional Investigator will immediately question the victim to determine the suspect or suspects; where and when the sexual assault occurred; and if facts warrant further investigation. While all available information must be gathered and confirmed, medical assessment and physical evidence must not be delayed pending an

investigation of the incident.

1. Immediately contact the Special Investigations Unit.
2. Ensure that photographs are taken to document any physical evidence such as torn clothing, bruises, abrasions, etc.
3. If there is indication of sexual assault, make arrangements to have the alleged victim taken to a hospital for further treatment, examination, documentation, collection of forensic evidence (PERK kit to be taken by transportation officers), and testing for sexually transmitted diseases.
4. Ensure referral for counseling and mental health service needs if warranted.
5. Ensure that upon return from the hospital emergency room, the victim is interviewed for protective custody needs.
6. Ensure follow up medical treatment or mental health services needs are arranged.
7. Notify the Western Region PREA Analyst.
8. The supervisor will prepare an Internal Investigation Report on VACORIS of the event from beginning to end.
9. The Institutional Investigator will prepare an investigative report for the Superintendent and Special Investigative Unit to determine whether street charges are warranted.
10. The Institutional Investigator will keep the Superintendent and Western Region PREA Analyst advised of future events and the pursuit and conclusion of street charges if applicable.

The Auditor conducted interviews with supervisory staff. The Auditor asked what the supervisor response and role would be following a report of sexual assault. The supervisor stated that they would ensure the alleged victim and alleged abuser were removed from the area and kept separately in the facility. The crime scene would be secured and a staff member posted to ensure no one entered the scene. The alleged victim would be taken to medical for treatment of any emergent needs and transported to Augusta Health Hospital for a forensic exam, if needed. The PCM would also be informed. The supervisor stated the Investigator(s) would be the only ones allowed in the crime scene to process the evidence.

Policy requires that if the first responder is not a security staff member, the staff immediately notify a security staff member. There were no instances during the audit period where a non-security staff member acted as a first responder to an allegation of sexual abuse. The Auditor conducted formal interviews with non-security personnel. Staff were asked what actions they would take following an alleged sexual abuse reported to them. Staff indicated they would ensure the victim remains with them and immediately inform an officer or supervisor. They would also request the victim not take actions to destroy evidence.

There were no allegations of sexual abuse during this audit period. At the time of the audit there were no Probationers at the facility who had made an allegation of sexual abuse.

Medical personnel interviewed stated they would first ensure a victim's emergency medical needs are met. They stated they would request the victim not to use the

	<p>restroom, shower, or take any other actions which could destroy evidence. Medical staff informed the auditor they would immediately notify a supervisor if they were the first person to be notified of an alleged sexual abuse. Victims would be transported off-site for a forensic exam, if needed.</p> <p>The Agency uses a standardized Sexual Assault Response Checklist to document staff actions in response to a sexual abuse incident.</p> <p>Training records indicate that all staff, contractors and volunteers have been trained to appropriately respond to incidents of sexual abuse.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.265</b>	<b>Coordinated response</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 038.3</li> <li>3. Cold Springs CCAP Sexual Assault Checklist</li> <li>4. PREA Response Plan</li> <li>4. Interview with PCM, Investigator, Medical Staff and Superintendent</li> </ol> <p>Findings:</p> <p>The VADOC policy requires each agency develop a written plan to coordinate actions taken in response to an incident of sexual abuse, among staff first responders, medical and mental health practitioners, investigators, and facility leadership. A Sexual Assault Response Checklist has been created which supplements facility Coordinated Response Plans and outlines staff duties in response to a sexual assault incident.</p> <p>The Auditor reviewed the plans for Cold Springs CCAP. The facility has a coordinated facility plan to address actions in response to an incident of sexual abuse among facility staff, including first responders, supervisory staff, medical, investigative staff and administrators. Interviews with multiple staff indicate that they understand their duties in responding to allegations of sexual assault and are knowledgeable in their role and the response actions they should take. The Cold Springs CCAP has a PREA Response Plan listing actions to be taken by staff for each type of sexual assault allegation to ensure that all aspects of the response are covered and nothing is missed. Many of the facility staff involved in responding to</p>



	<p>incidents of sexual abuse are also a part of the incident review team. The Cold Springs CCAP plan was updated on March 1, 2021.</p> <p>The agency has created a Sexual Assault Response Checklist that documents staff actions following an incident of sexual abuse. The Sexual Assault Response Checklist requires the staff to include the date and time each action listed was taken.</p> <p>There were no allegations of sexual assault during the audit period.</p> <p>There were no Probationers incarcerated at the time of the audit who had filed an allegation of sexual abuse. Random Probationer interviews reveal that they feel that the staff at Cold Springs CCAP take all PREA related matters seriously.</p> <p>There have been no instances of reported sexual assault during this review period that required the first responder to preserve or collect physical evidence.</p> <p>The auditor interviewed the Superintendent, the designated investigator, medical staff, mental health staff, security supervisors and the PCM, who all described the facility's coordinated response in the case of an allegation of sexual abuse or harassment. The response begins with the allegation and first responder action to protect the victim, secure the crime scene and protect any potential evidence. The initial investigation begins with the first responders and supervisors and then the facility investigators. Depending on the nature of the allegation, the investigation will either begin as administrative or criminal. In the case of a criminal investigation, the victim is treated in accordance with policy and provided a forensic exam and ancillary services, as well as offered advocacy services. The remainder of the investigation is dictated by the nature of the allegation. Regardless, all investigations are completed and a finding is assigned. It may be referred for criminal prosecution or handled administratively and could require medical and mental health services and monitoring for retaliation and notice to the victim about the outcome of the investigation.</p> <p>All staff at Cold Springs CCAP that the auditor spoke with appear to be well-versed in their role and responsibilities in responding to allegations of sexual assault.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.266</b>	<b>Preservation of ability to protect residents from contact with abusers</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>

	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. Memo</li> </ol> <p>Interviews with the following:</p> <ul style="list-style-type: none"> <li>• PREA Coordinator</li> </ul> <p>Findings:</p> <p>The VADOC has not entered into any agreement that limits the agency's ability to remove alleged staff sexual abusers from contact with Probationers pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted.</p> <p>The Code of Virginia prohibits entering into a collective bargaining agreement. Virginia Code 40.1-57.2 stipulates, "No state, county, municipal, or like governmental officer, agent or governing body is vested with or possesses any authority to recognize any labor union or other employee association as a bargaining agent of any public officers or employees, or to collectively bargain or enter into any collective bargaining contract with any such union or association or its agents with respect to any matter relating to them or their employment or service."</p> <p>The Virginia Department of Corrections does not have any collective bargaining power therefore this standard is non-applicable.</p> <p>Per memo and interview with the PREA Coordinator, the auditor verified that there is not a collective bargaining agreement in place.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.267</b>	<b>Agency protection against retaliation</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 038.3, 135.1, 135.2</li> </ol> <p>Interviews with the following:</p> <ul style="list-style-type: none"> <li>• PCM</li> <li>• Superintendent</li> </ul>

Findings:

The VADOC's policy is written in accordance with the standard and states retaliation by or against any party, staff or Probationer, involved in a complaint or report of sexual abuse or sexual harassment shall be strictly prohibited. Retaliation in and of itself, shall be grounds for disciplinary action and will be investigated. Policy requires staff and Probationers who report allegations of sexual abuse or harassment are protected from retaliation for making such reports. Policy and memo from the facility indicates that the PCM is designated as the staff who will be responsible for monitoring retaliation for a minimum period of 90 days. Monitoring will also include periodic status checks. Policy states monitoring shall occur beyond ninety (90) days if the initial monitoring indicates a continuing need and monitoring shall cease if the investigation determines that the allegation is unfounded.

VADOC policy requires retaliation monitoring of any other individual who cooperates with an investigation and expresses a fear of retaliation and requires the Facility Unit Head take appropriate measures to protect the individual against retaliation. Employees who express fear of retaliation for reporting or cooperating with investigations into sexual abuse or sexual harassment are referred to the Employee Assistance Program for emotional support services.

The Auditor conducted a formal interview with the staff member responsible for monitoring retaliation. When monitoring retaliation, he reviews disciplinary charges, housing or programming changes, incident reports, and any other actions related to the Probationer, including documents maintained in the Probationer's file and his electronic record. He stated that anytime anything changes he will look at those actions. The person responsible for monitoring retaliation will make referrals to medical and mental health as needed. The monitoring will also include periodic status checks and notations made on the Retaliation Monitoring Form. There is a standardized form, one used for Probationers and one used for staff.

The PCM stated the monitoring period would be a minimum of 90 days, and longer if necessary. He stated that he would meet with the Probationer as necessary. In the event the Probationer cannot be protected at the facility, the staff can and will recommend a transfer.

In the case of an Probationer being retaliated on by staff, the administration would discuss staff assignments with the supervisor to ensure the staff member is not placed in an area where the Probationer is housed. The Probationer can also be transferred, if need be, at the request of staff.

Administrative staff have the authority to move Probationers around the facility or to request transfers to other facilities, or take other protective measures to assure Probationers are not retaliated against.

In addition, the Superintendent has the authority and would intervene in any way necessary to protect employees from retaliation if they reported incidents of sexual abuse or harassment.

	<p>If any other individual who cooperates with an investigation expresses a fear of retaliation, the PCM will ensure that appropriate measures are taken to protect that individual against retaliation.</p> <p>No reports of sexual abuse were reported during the audit year period. Therefore, there are no examples of retaliation monitoring. Staff interviews confirmed their knowledge of the requirements for protection from retaliation for both Probationers and staff members. The agency has prepared forms that include checklists that would assure and verify compliance with the necessary elements of the standard.</p> <p>The facility reported there were no incidents of retaliation in the last 12 months.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.271</b>	<b>Criminal and administrative agency investigations</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 030.4, 038.3, COV 53.1-10</li> <li>3. Review of Investigative file</li> <li>4. Interviews with Staff</li> <li>5. Documentation of Investigator Training</li> <li>6. Certificates of Completion for Facility Investigators</li> <li>7. Training Curricula for Investigative Training specific to Corrections</li> </ol> <p>Findings:</p> <p>The VADOC Operating Procedure is written in accordance with the standard and states that all investigations into allegations of sexual abuse and sexual harassment will be done promptly, thoroughly, and objectively for all allegations, including third party and anonymous reports. Policy requires that the agency conduct both administrative and criminal investigations of sexual abuse and harassment. The policy requires that investigations are responded to promptly. The Cold Springs CCAP investigates all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports. The policy requires administrative investigations to include efforts to determine whether staff actions or failure to act contributed to an act of sexual abuse. Investigative reports are required to include a description of physical evidence, testimonial evidence, the reason behind credibility assessments, and investigative facts and findings. Credibility</p>

assessments are conducted as part of the investigative process with the institutional investigators and the SIU agents, and the assessments are conducted on all involved parties in the investigation.

VADOC and Cold Springs CCAP conducts both administrative and criminal investigations in accordance with agency policy. The agency has an Investigative Matrix that outlines when the facility and Special Investigations Unit investigate allegations. The matrix specifies the facility Investigator conducts initial investigations of PREA, fraternization and harassment allegations. The matrix dictates investigations started at the facility that are confirmed PREA allegations will be referred to the SIU. The Special Investigations Unit is required to conduct investigations of confirmed PREA allegations, confirmed fraternization and sexual assault.

If the Cold Springs CCAP Investigator determines that there may be a criminal element to the allegation of sexual abuse, they will forward the case to the State SIU investigator, who is a sworn law enforcement officer with arrest powers. The SIU investigator will continue the investigation. There are currently 22 SIU agents in the state. The SIU Investigator assigned to Cold Springs CCAP also covers two other DOC facilities.

The auditor reviewed the investigative report for the one allegation of sexual harassment during the past 12 months. The report contained the required elements as dictated by the standard. As evidenced by the investigative report, all allegations are investigated promptly, thoroughly, and objectively. The report format and checklists are standardized throughout the DOC which provides for consistency in the investigative process. Review and oversight for all allegations is completed through the Regional PREA Analyst and the PREA Coordinator's office.

If at any time during the investigation, it appears the charges are criminal in nature, the investigation will be referred to the SIU. The facility is required to maintain written investigative reports for as long as the alleged abuser is incarcerated or employed by the Cold Springs CCAP, plus an additional 5 years in accordance with DOC records retention schedules and policy. Policy prohibits the termination of an investigation if a Probationer is released or a staff member is terminated or terminates employment.

If the SIU investigates an allegation of sexual abuse, the facility investigator serves as a liaison and would keep facility administrators informed of the progress of the investigation. The facility investigator and SIU agent typically work together and share information. There have been no allegations of sexual abuse referred to the SIU for investigation during the review period.

At the time of the on-site audit, Cold Springs CCAP employs and provided training records for 2 facility staff members who have received specialized training to conduct sexual abuse investigations in confinement facilities. The auditor was provided training curricula and training certificates of designated investigators. The auditor reviewed and verified that each of the facility investigators had proof of receiving the specialized training required by the standard. Each investigator had

received specialized training to conduct sexual abuse investigations in confinement settings. Targeted interviews with one facility investigator and the assigned SIU agent verified they are available to respond immediately, if necessary.

The Auditor conducted a formal interview with one of the facility's designated PREA Investigators, as well as the assigned SIU agent. The Auditor asked the Investigators to describe the process when investigating an allegation of sexual misconduct. They stated they interview the victim, alleged perpetrator, Probationer witnesses, and staff witnesses, if applicable. They will respond to and review the scene, and preserve any evidence, if necessary. In accordance with the standard, they will gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data. They review criminal histories on all Probationers involved, disciplinary history, incident reports, and classification actions. The investigator will review prior reports and complaints of sexual abuse involving the suspected perpetrator. The investigator reviews video footage if applicable, telephone recordings, staff logs, and any other relevant items which could be considered evidence to support the determination. They will keep the PCM and facility administration advised of the progress of investigation. If at any point during the investigation the facility investigator determines there could be potential criminal charges involved, the investigation would be reviewed and forwarded to the SIU. The SIU Investigator will contact the Commonwealth Attorney for referral and consultation as warranted. The Investigators stated they begin the investigation immediately after receiving an allegation.

All investigative files are maintained electronically in the VACORIS system with limited access. Investigative files are maintained for a minimum of five years after the abuser has been released or a staff abuser is no longer employed. In accordance with VDOC policy, a Probationer who alleges sexual abuse shall not be required to submit to a polygraph examination or other truth-telling device as a condition to proceed with the sexual abuse investigation. This was verified by the SIU agent. He indicated that he has had victims request a polygraph, but they would not be used for victims.

If an allegation is reported anonymously, the Investigators stated the investigation would be handled the same as any other investigation. Investigative staff indicate they would continue the investigation even if a Probationer is released or a staff member terminates employment during the investigation. The SIU investigator stated that he will complete each investigation all the thru, regardless if any of the involved parties leave employment or are released or transferred.

The Cold Springs CCAP has had 1 incident that required investigation during the review period. The auditor reviewed the investigative report. A review of the investigative file indicates that the investigators are conducting the investigations in accordance with the standard. The report shows evidence that the investigator is gathering evidence, interviewing witnesses, victims, perpetrators, and conducting the investigation promptly. The report indicates that investigators look at each allegation on its own merits and assess the credibility of an alleged victim, suspect,

	<p>or witness on an individual basis and not based on that individual’s status as Probationer or staff. The investigations appear to be conducted promptly, thoroughly, and objectively.</p> <p>Targeted interviews with a facility investigator and the SIU Agent assigned to Cold Springs CCAP indicate that the investigators appear knowledgeable in conducting sexual abuse and sexual harassment investigations in accordance with the elements of the standard. The Cold Springs CCAP facility investigators and SIU investigator have received specialized training in conducting sexual abuse investigations in confinement settings.</p> <p>All information related to PREA investigations is forwarded to the Regional PREA/ADA Analyst for data compiling. Electronic data is securely maintained on servers accessible to the investigators and the PREA/ADA Analysts. Each has a unique username and password. The investigative files are maintained in a secure, locked area with limited access.</p> <p>There have been no allegations referred for criminal investigation during the previous 12 months.</p> <p>There were no Probationers who made an allegation of sexual abuse within the previous 12 months housed at Cold Springs CCAP.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.272</b>	<b>Evidentiary standard for administrative investigations</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 135.2, 038.3, 940.4</li> <li>3. Memo</li> <li>4. Review of Investigative files for the past 12 months</li> </ol> <p>Interviews with the following:</p> <ul style="list-style-type: none"> <li>• PCM</li> <li>• Investigative Staff</li> </ul> <p>Findings:</p> <p>The VADOC’s policy is written in compliance with the requirements of the standard</p>

	<p>and imposes no standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated. It was confirmed through multiple staff interviews that Cold Springs CCAP imposes no standard higher than preponderance of the evidence in making determinations. This is discussed in the investigator training, which all designated investigators have completed.</p> <p>A formal interview with one of the designated Investigators and the SIU agent for Cold Springs CCAP confirmed that the staff responsible for administrative adjudication of investigations is aware of the requirements of the evidentiary standard. The facility investigator was able to articulate what preponderance meant and how he arrives at the basis for her determinations.</p> <p>There have been no allegations of sexual abuse and one allegation of sexual harassment within the last 12 months for which the auditor reviewed investigative files. A review of the investigative file indicates that the investigations are being conducted in accordance with the standard.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.273</b>	<b>Reporting to residents</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 038.3, 030.4</li> <li>3. Review of investigative files and notification to Probationer</li> </ol> <p>Interviews with the following:</p> <ul style="list-style-type: none"> <li>• PCM</li> <li>• Investigator</li> </ul> <p>Findings:</p> <p>The VADOC Operating Procedure is written in accordance with the standard and requires a Probationer be notified when a sexual abuse allegation has been determined to be substantiated, unsubstantiated, or unfounded following an investigation. When a staff member has committed sexual abuse against a Probationer, unless the determination is unfounded, the PREA Compliance Manager or investigator shall inform the Probationer whenever: the allegation has been determined to be unfounded; the allegation has been determined to be</p>



unsubstantiated; the staff member is no longer posted within the Probationer's unit; the staff member is no longer employed at the facility; the DOC learns that the staff member has been indicted on a charge related to sexual abuse within the facility; or the DOC learns that the staff member has been convicted on a charge related to sexual abuse within the facility.

When a Probationer has alleged sexual abuse by another Probationer, the PREA Compliance Manager or investigator is required to inform the Probationer whenever: the allegation has been determined to be unfounded; the allegation has been determined to be unsubstantiated; the DOC learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility; or the DOC learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility.

Policy dictates that the Probationer will be notified by the Investigator or the PCM. The auditor conducted targeted interviews with the PCM and Investigator. The agency is responsible for both administrative and criminal investigations. There have been no allegations referred to the SIU during this audit period.

The PCM indicated that Probationers are informed of the results of an investigation at the conclusion of the investigation. Notification is provided to the Probationer through a memo from the PREA Compliance Manager. Per policy, the PCM or Investigator must document notifications and will send the notifications to the Probationer in the same manner as legal correspondence. The notification is processed as legal mail and documented on the Incoming Legal Correspondence Log. The Probationer is given a copy of the memo by the mailroom staff distributing the Legal Mail. The Probationer will be asked to sign and date the mail log as verification that they did receive the notification.

During the past 12 months, there have been no allegations of sexual abuse.

There were no Probationers who made an allegation of sexual abuse within the previous 12 months housed at Cold Springs CCAP.

Outside criminal investigations are conducted by SIU in conjunction with the facility administrative investigations. The SIU communicate with the facility and send any relevant updates relating to criminal charges/convictions. There were no allegations investigated by the SIU during the past 12 months.

Interviews with a facility investigator and PCM confirmed their knowledge of their affirmative requirement to report investigative findings of sexual abuse to Probationers in custody.

After a review, the Auditor determined the facility meets the requirements of the standard.

Corrective Action: None

<b>115.276</b>	<b>Disciplinary sanctions for staff</b>
	<p data-bbox="280 188 983 224"><b>Auditor Overall Determination:</b> Meets Standard</p> <hr/> <p data-bbox="280 264 564 300"><b>Auditor Discussion</b></p> <p data-bbox="280 340 1104 376">Evidence Relied upon to make Compliance Determination:</p> <ol data-bbox="280 412 906 568" style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 135.2, 135.1</li> <li>3. Investigative file</li> <li>4. Interviews with Staff</li> </ol> <p data-bbox="280 609 408 645">Findings:</p> <p data-bbox="280 680 1484 797">The VADOC PREA and disciplinary policies were reviewed and are in compliance with the requirements of the standard. Staff is subject to disciplinary sanctions up to and including termination for violating the sexual abuse or sexual harassment policies.</p> <p data-bbox="280 806 1484 1384">Policy requires that staff found responsible for sexual abuse of a Probationer shall be terminated from employment. Employees who are found to have violated agency policy related to sexual abuse and harassment, but not actually engaging in sexual abuse shall be disciplined in a manner commensurate with the nature and circumstances or the acts, as well as the previous disciplinary history of the staff and comparable to offenses by other staff with similar disciplinary histories. In accordance with policy, the VADOC notifies law enforcement agencies and relevant licensing bodies when criminal violations of sexual abuse or sexual harassment are committed by staff. Any terminations or resignations by staff who would have been terminated if not for their resignation are reported, unless that activity was clearly not criminal. The agency's policy requires staff who are terminated or resign in lieu of termination for violating sexual abuse or sexual harassment policies are notified of the agency's responsibility to report such violations to licensing bodies and/or law enforcement agencies.</p> <p data-bbox="280 1420 1484 1581">During a targeted interview with the Superintendent, she stated that the agency and the facility has a zero-tolerance policy on any allegations of sexual misconduct, including if staff members are involved. The presumptive discipline for violating this policy is termination.</p> <p data-bbox="280 1617 1484 1989">Any allegations of sexual assault or harassment involving staff or potential criminal charges would be investigated by the Special Investigations Unit. The Auditor conducted a formal interview with the Special Investigations Unit Investigator for Cold Springs CCAP. Each SIU Agent has the legal authority to place criminal charges against a staff member who engages in sexual abuse or a criminal act of sexual harassment. The SIU investigator informed the Auditor he notifies the Commonwealth's Attorney following such an incident if the act was clearly criminal. He stated that the Commonwealth Attorney will review and look at each case on its own merits.</p> <p data-bbox="280 2024 1445 2060">Interviews with facility staff and administrators verified that staff are aware of the</p>

	<p>disciplinary sanctions for violating the agency's sexual abuse policies and consider a violation of the PREA policy to be of sufficient seriousness to warrant termination and prosecution in accordance with the law. In both formal and informal staff interviews, the staff were aware that the agency has a zero-tolerance policy regarding sexual abuse and any such incidents would be investigated and reported to the appropriate agency for prosecution, if necessary.</p> <p>The Auditor interviewed the Superintendent regarding the facility's staff disciplinary policy. She indicated that if a staff member is terminated for violating the facility's sexual assault and harassment policy, and if the conduct is criminal in nature, it would be referred by SIU for criminal prosecution. The SIU agent verified this practice. If an employee under investigation resigns before the investigation is complete, or resigns in lieu of termination, that does not terminate the investigation or the possibility of prosecution if the conduct is criminal in nature. The facility would still refer the case for prosecution when a staff member terminates employment that would have otherwise been terminated for committing a criminal act of sexual abuse or sexual harassment. The facility reports violations of sexual abuse to the local law enforcement agency and relevant licensing bodies. The Superintendent has the authority to discipline staff, including suspension and termination.</p> <p>The Auditor reviewed the investigative file for the one allegation of sexual harassment involving staff. The allegation was unfounded.</p> <p>There were no employees at Cold Springs CCAP who were terminated or received disciplinary sanctions during the audit period.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.277</b>	<b>Corrective action for contractors and volunteers</b>
	<p><b>Auditor Overall Determination:</b> Meets Standard</p> <hr/> <p><b>Auditor Discussion</b></p> <p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 027.1, 135.2</li> <li>3. Memo</li> <li>4. Interviews with Staff</li> </ol> <p>Findings:</p> <p>The VADOC PREA and disciplinary policies were reviewed and are in compliance with</p>

the requirements of the standard. Policy stipulates that contractors and volunteers who violate the sexual abuse or sexual harassment policies are prohibited from having contact with Probationers and will have their security clearance for the DOC and Cold Springs CCAP revoked. The disciplinary sanctions for volunteers or contractors are like those of the disciplinary sanctions for staff members. Policy states if there is an investigation and the individual is determined to have committed acts of sexual abuse or sexual harassment, the case will be referred for criminal prosecution and to any relevant licensing bodies. Additionally, the Agency will take measures to prevent contact from the volunteer or contractor with any Probationer within the VADOC system.

Cold Springs CCAP reported that in the past 12 months, there have been no instances where volunteers or contractors have engaged in sexual abuse or harassment. Staff verified during targeted interviews that there had been no instances of sexual abuse or harassment by contractors or volunteers in the past 12 months. The auditor reviewed the investigative file for the previous 12 months, which corroborated this information.

Targeted interviews with contract staff members verified that they consider a violation of the PREA policy to be of sufficient seriousness to warrant termination from the facility. The contract staff were aware that the agency has a zero-tolerance policy regarding sexual abuse and any such incidents would be investigated and reported to the appropriate agency for prosecution, if necessary.

The Auditor conducted an interview with two volunteers. The Volunteers stated they had received training on PREA and were aware of the agency's zero tolerance policy.

Volunteers and contractor staff are made aware of the VADOC sexual abuse and sexual harassment policies during their initial training and orientation prior to providing services in the facility. Each volunteer and contractor attend training and signs an acknowledgement of same, which is retained in their file. The facility provides each volunteer and contractor "A Guide to Maintaining Appropriate Boundaries with Probationers Brochure" during their orientation. All volunteers and contractors are required to review the agency's policies and procedures related to sexual abuse and sexual harassment and sign the acknowledgment after doing so. The Auditor verified through training records that volunteers and contractors at Cold Springs CCAP had received training and reviewed the policies.

The Auditor interviewed facility administration regarding the disciplinary policy regarding contract staff and volunteers. Facility administration indicated that contractors and volunteers who violate the sexual abuse or sexual harassment policies will have their security clearance revoked immediately. Contract staff would most likely be terminated by the contract employer. If the conduct is criminal in nature, it will be referred to SIU investigators, and the Commonwealth Attorney's office for possible prosecution, as well as reported to any relevant licensing bodies.

After a review, the Auditor determined the facility meets the requirements of the standard.

	Corrective Action: None
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<b>115.278</b>	<b>Disciplinary sanctions for residents</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 038.3, 861.1, 940.4, 820.1</li> <li>3. Probationer Handbook</li> <li>4. Review of Investigative Files</li> <li>5. Review of Classification Records</li> <li>6. Interviews with Staff</li> </ol> <p>Findings:</p> <p>The VADOC Operating Procedure directs that Probationers are not permitted to engage in non-coercive sexual contact and may be disciplined for such behavior. Policy dictates that staff is prohibited from disciplining a Probationer who makes a report of sexual abuse in good faith and based on a reasonable belief the incident occurred, even if the investigation does not establish sufficient evidence to substantiate the allegation. If it is determined that the Probationer did commit sexual abuse in the correctional setting, they will be subject to disciplinary sanctions commensurate with the level of the infraction, and other disciplinary sanctions of others with the same or similar infractions.</p> <p>Cold Springs CCAP prohibits sexual activity between Probationers. Probationers found to have participated in sexual activity are internally disciplined for such activity. If the sexual activity between Probationers is found to be consensual, staff will not consider the sexual activity as an act of sexual abuse. Instances of sexual activity between Probationers, if reported to be consensual, are still investigated and each case is taken at face value. The Auditor interviewed the Hearings Officer and asked about disciplinary hearings for allegations of sexual assault and harassment, as well as for sexual activity between Probationers. The hearings officer stated that Intel officers will investigate, write a report, and refer any disciplinary charges. The officer indicated that he will look at the report, evidence and witness statements to make a determination.</p> <p>VADOC Operating Procedure states Probationers are subject to formal disciplinary action following an administrative finding that they engaged in Probationer-on-Probationer sexual abuse. According to the submitted PAQ, there have been no substantiated instances of Probationer-on-Probationer sexual abuse. Any substantiated reports of Probationer-on-Probationer abuse would result in a</p>

disciplinary charge for the perpetrator. There have been no criminal findings of guilt for Probationer-on-Probationer sexual abuse in this review period. The auditor reviewed the investigative file for the one allegation of sexual misconduct within the last 12 months and verified this information.

According to policy, disciplinary action for Probationers is proportional to the abuse committed as well as the history of sanctions for similar offenses by other Probationers with similar histories.

Agency policy requires that staff consider whether a Probationer's mental health contributed to their behavior before determining their disciplinary sanctions. The hearings officer stated that they ensure that the Probationers understand the process and depending on the mental health code of the Probationers involved, psychology has to approve the charges to move forward.

There are psychology staff available to provide mental health services to the Probationers at Cold Springs CCAP. Psychology staff provide an array of services, including programming, supportive counseling and crisis intervention. Mental health staff are on call for emergent needs and can transfer Probationers if they need more in-depth mental health treatment. Any decision to offer counseling or therapy to Probationers and the initiation of any such counseling or therapy for individuals who have committed sexual offenses would be done at the discretion of the mental health staff in conjunction with a treatment plan for the Probationer.

Psychology staff stated that they would provide services to Probationer perpetrators, if requested. However, due to the nature of the facility, it is highly unlikely that Probationer perpetrators would be housed at the facility.

Agency policy stipulates that Probationers will not be disciplined for sexual contact with staff unless it is substantiated that the staff did not consent. There were no substantiated instances of Probationer on staff sexual assault during the audit period.

Agency policy prohibits disciplining Probationers who make allegations in good faith with a reasonable belief that prohibited conduct occurred. Interviews with staff and Probationers confirm that Cold Springs CCAP is adhering to the provisions of the standard. Prior to placing disciplinary charges on a Probationer for filing an allegation made in bad faith, the facility is required to submit the information to the Regional PREA/ADA Analyst for review and approval.

The Auditor reviewed investigative files, classification files, Probationer records and interviewed staff, including a targeted interview with the PCM. There is no evidence to suggest a Probationer received a disciplinary charge for making an allegation of sexual abuse or sexual harassment in good faith.

Interviews with staff and Probationers confirmed their knowledge of the policy regarding Probationers engaging in non-coerced sexual activity. Furthermore, the staff and Probationers were aware that the agency has an internal disciplinary process for Probationers who engage in sexually abusive behavior against other Probationers and knew that they could be disciplined for sexual abuse. The staff

	<p>stated that there is a thorough investigation into all disciplinary reports.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.282</b>	<b>Access to emergency medical and mental health services</b>
	<p><b>Auditor Overall Determination:</b> Meets Standard</p> <hr/> <p><b>Auditor Discussion</b></p> <p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 720.7, 730.2</li> <li>3. Shift Supervisor Checklist</li> <li>4. Interviews with Staff, including the following: <ol style="list-style-type: none"> <li>a. PCM</li> <li>b. Investigator</li> <li>c. Medical Staff</li> <li>d. Random Security Staff</li> </ol> </li> <li>5. Interviews with Probationers</li> </ol> <p>Findings:</p> <p>The VADOC Operating Procedure is written in compliance with the standard and states that all Probationer victims of sexual abuse will receive timely, unimpeded access to emergency medical treatment and crisis intervention services. The security staff first responders are responsible for immediately notifying the appropriate medical and mental health practitioners in case of an incident. Interviews with medical staff confirm that victims of sexual abuse would receive timely, unimpeded access to these services. Medical staff provide coverage Monday through Friday, 7-3:30, However, staff are on-call and available 24 hours per day, seven days a week. The staff are aware of their responsibilities regarding protection of the victim and evidence in the case of a report of sexual assault. In addition, medical and mental health staff are available 24 hours per day in the case of emergency and/or for crisis intervention services. This was confirmed by the PCM and medical staff. Psychology staff will initiate contact with the victim and provide evaluation and treatment as appropriate. The Psychology Staff will complete a Sexual Assault Assessment and recommend subsequent services as indicated.</p> <p>For services that are outside the scope of their experience, the victim can be treated at the local emergency department. Forensic exams are conducted off-site at Augusta Health Hospital by qualified forensic nurse examiners. An advocate from Action Alliance is available at the request of the victim to provide emotional support</p>

services, and accompany the Probationer to the hospital, if requested. The auditor verified the availability of both services. The Auditor reviewed the MOU with the Virginia Sexual and Domestic Violence Action Alliance, which stipulates the VSDVAA agrees to maintain a Statewide Hotline that provides confidential crisis intervention and emotional support services related to sexual abuse or assault victims. They also agree to provide an advocate if requested by the victim, during a forensic examination and investigation. The Auditor conducted a telephone interview with a victim advocate from Action Alliance. The victim advocate verified and explained the crisis intervention services offered to Probationer victims of sexual abuse.

There were no documented allegations of sexual abuse requiring emergency medical or mental health services during the review period. Interviews with facility staff indicate their awareness of the provisions of the standard and their responsibilities if there is a report of sexual abuse.

Interviews with security staff indicated that they are aware of their responsibilities with respect to protecting an Probationer that reports sexual assault and ensuring that they get immediate medical treatment. Each staff member informed the Auditor that they would take immediate steps to ensure victims are protected and receive emergency medical care in the event needed. Security staff would immediately notify their supervisor and medical personnel following an incident of sexual abuse. Security supervisors ensure the safety of the Probationer following a sexual abuse incident by separating them from the alleged abuser and ensure they get immediate medical treatment.

VADOC Operating Procedure states that all Probationer victims of sexual abuse will be offered information and access to emergency contraception and sexually transmitted infections prophylaxis in accordance with professionally accepted standards of care, where medically appropriate. Medical staff was interviewed and confirmed the fact that they knew that they had an affirmative responsibility to provide care without regard to the ability of the victim pay for services or identify the alleged abuser, and the requirement to make a provision for emergency contraception and STD prophylaxis, if required. They confirmed that victims of sexual abuse would be offered these services either at the emergency room or as a follow-up once returned to the facility.

There have been no allegations of sexual assault in the last 12 months requiring these services. The auditor reviewed the investigative reports and associated documentation for all allegations during the audit period and found that the facility acted in accordance with the standard and VADOC policy.

Agency policy states that forensic examinations will be performed by Sexual Assault Forensic Examiners (SAFE's) or Sexual Assault Nurse Examiners (SANE) at a local hospital without a financial cost to the victim. The Probationer would be transferred to Augusta Health Hospital for this service. Interviews with medical staff confirm that victims of sexual abuse would not be charged for services received due to a sexual abuse incident.



	<p>Interviews with Probationers indicated that while most all Probationers recall receiving PREA education and materials, including the Probationer handbook, some Probationers were unaware of crisis intervention services. Although many Probationers could not recall hearing or knowing about services available to sexual abuse victims, most stated they hadn't had a need to inquire about them.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.283</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 730.2, 720.7, 720.4</li> <li>3. Prison Rape Elimination Act (PREA) Psychology Associate Follow-up</li> <li>4. Interviews with Staff, including the following: <ol style="list-style-type: none"> <li>a. Mental Health Staff</li> <li>b. Medical Staff</li> </ol> </li> <li>5. Interviews with Probationers</li> </ol> <p>Findings:</p> <p>The VADOC Operating Procedure is written in compliance with the standard and states that the facility will offer medical and mental health evaluation and treatment to all Probationers who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility. The evaluation and treatment of such victims will include follow up services, treatment plans, and referrals for continued care following their transfer or release. Interviews with medical and mental health staff confirm that these services would be available to Probationers who have been victims of sexual abuse, and these services would be consistent with the community level of care. Interviews with medical and mental health staff reveal that they feel the care they provide the Probationers is much better than the community level of care due to availability and access of services.</p> <p>Agency policy requires QMHPs to attempt to conduct a mental health evaluation of all known Probationer-on-Probationer abusers within 60 days of learning of such abuse history, and offer treatment when deemed appropriate. An interview with mental health staff revealed that services are offered to Probationers that are at high risk of sexual victimization (HRSV), as well as Probationers that are at high risk of sexual abusiveness (HRSA). However, due to the classification of the facility,</p>

inmates identified as high risk of abusiveness would not be housed at the facility. Additionally, there were no inmates identified as high risk of abusiveness housed at the facility during the audit period.

Probationer victims of sexual abuse while in the facility will be offered tests for sexually transmitted infections as medically appropriate. Interviews with medical staff confirm that Probationer victims of sexual abuse would be offered tests for sexually transmitted infections and emergency prophylaxis. Female victims of sexual abusive vaginal penetration while incarcerated would be offered pregnancy tests. Cold Springs CCAP only holds male Probationers.

The auditor was not able to interview any Probationers that had reported sexual abuse. There were no allegations of sexual abuse during the audit period.

VADOC Operating Procedure states that all treatment services for sexual abuse will be provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident.

Interviews with medical staff confirm that these services would be provided to the Probationer at no cost. There are no costs for evaluations and treatments related to sexual victimization. If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual violence is made, first responders will take preliminary steps to protect the victim and shall immediately notify the shift supervisor. There have been no allegations of sexual assault in the last 12 months requiring these services. The auditor reviewed the investigative report and associated documentation for the one allegation of sexual harassment in the audit period and found that the facility acted in accordance with the standard and VADOC policy.

If an Probationer is identified as a high-risk victim or a high-risk abuser, a referral is made to mental health for follow-up. If the Probationer accepts services, mental health will meet with the Probationer and complete a "Prison Rape Elimination Act (PREA) Psychology Associate Follow-up" form to determine the level of services offered to the Probationer. If the Probationer is designate as high-risk, mental health staff will monitor them at a minimum of annually. There were no inmates identified as high risk housed at the facility during the audit period.

Staff interviews confirmed the presence of policies and procedures consistent with the standard and confirmed the medical and mental health staffs' knowledge of the policy and standard. Staff are well-versed in their responsibilities with respect to PREA related incidents. Interviews with Probationers confirm they are generally aware of the availability of services should they request or require them. Action Alliance is available for crisis counseling and/or advocacy services and Probationers can request to speak with psychology staff. Probationer interviews suggest that psychology staff are available and accessible to the Probationers if requested.

After a review, the Auditor determined the facility meets the requirements of the standard.

Corrective Action: None

<b>115.286</b>	<b>Sexual abuse incident reviews</b>
	<p data-bbox="280 188 983 221"><b>Auditor Overall Determination:</b> Meets Standard</p> <hr/> <p data-bbox="280 266 564 300"><b>Auditor Discussion</b></p> <p data-bbox="280 344 1102 378">Evidence Relied upon to make Compliance Determination:</p> <ol data-bbox="280 412 906 568" style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 038.3, 038.1</li> <li>3. Incident Reviews</li> <li>4. Interviews with Staff</li> </ol> <p data-bbox="280 613 408 647">Findings:</p> <p data-bbox="280 658 1477 1016">The VADOC has a policy that governs the review of all substantiated or unsubstantiated allegations of sexual abuse. Agency policy states that a sexual abuse incident review will be conducted within 14 days after the conclusion of every sexual abuse investigation unless the allegation has been determined to be unfounded. VADOC policy stipulates that: the review for sexual abuse and sexual harassment will be conducted on a PREA Report of Incident Review. The facility PREA Compliance Manager will forward the PREA Report of Incident Review to the Regional PREA Analyst for review and approval, prior to submission to the Regional Office.</p> <p data-bbox="280 1061 1477 1218">The review team will consist of upper-level management officials, supervisors, investigators, and medical/mental health personnel. During this review period there have been no allegations of sexual abuse at Cold Springs CCAP and therefore no documentation relative to this standard.</p> <p data-bbox="280 1263 1477 1666">In accordance with the standard, VADOC Operating Procedure states that the review team will consider a need to change policy or practice to better prevent, detect, or respond to sexual abuse; if the incident or allegation was motivated by race, ethnicity, gender identity, lesbian, gay, bisexual, transgender, or intersex identification, status, perceived status, gang affiliation or was motivated or otherwise caused by other group dynamics at the facility; examine the area in the facility where the alleged incident occurred to assess whether physical barriers in the area may permit or contribute to the abuse; the adequacy of staffing levels in that area during different shifts; and whether monitoring technology should be deployed or augmented to supplement supervision by staff.</p> <p data-bbox="280 1711 1477 2069">In addition, VADOC policy requires that the incident review team: provide a brief summary of the incident; clarify the original Incident Report or Internal Incident Report, as needed; provide an analysis of the causal factors and contributing circumstances; determine what can be done to limit the occurrence or reduce the severity of future incidents; consider whether there was a proper application of current procedure, practice, staffing and/or training; or whether there is a need to revise the current procedure, practice, staffing, and/or training; and develop an Action Plan to limit or mitigate similar future incidents.</p>

	<p>An interview with a member of the incident review team, as well as the Superintendent confirms if there was an incident that required a review, all these factors would be considered. The staff stated that the review team follows a formatted document to ensure all elements of the standard are considered. The staff stated the incident review team discusses recommendations for improvement and include those recommendations on the final report, which is approved by the Superintendent. An interview with the PCM confirms that a report of the findings, including recommendations for improvement, would be completed, and submitted for inclusion in the file. The Superintendent will review the recommendations. The PCM also stated any recommendations would be implemented, or the reasons for not doing so would be documented. Both members of the incident review team interviewed stated that the Superintendent takes into consideration any recommendations and generally will implement any recommendations by the review committee.</p> <p>The Cold Springs CCAP has appointed a team that conducts incident reviews at the conclusion of any sexual assault investigations as stipulated by the standard. This was confirmed by formal interview of the Superintendent and PCM. A written report of the findings is prepared and maintained by the PCM. He indicated that the reviews take place within 14 days of the conclusion of the investigation as required by DOC policy, which exceeds the 30-day requirement of the standard.</p> <p>Sexual Abuse Incident Reviews are conducted in a standardized method department wide. Team members meet to discuss the various components required by the standard and then this is documented on the PREA Report of Incident Review form. A copy is forwarded to the Regional PREA Analyst and Regional Office for review. This oversight and standardization are completed for all sexual abuse related abuse allegations.</p> <p>After a review, the Auditor determined the facility meets the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.287</b>	<b>Data collection</b>
	<p><b>Auditor Overall Determination:</b> Meets Standard</p> <p><b>Auditor Discussion</b></p> <p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ</li> <li>2. VADOC Operating Procedure 038.3</li> <li>3. Annual Report 2021, 2022</li> <li>4. Memo</li> <li>5. Interviews with Staff</li> </ol>

Findings:

The VADOC Operating Procedure is consistent with the requirements of the standard and states that the agency will collect annually accurate, uniform data for every allegation of sexual abuse necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice and complete an annual report based upon said data. The Auditor reviewed the Annual Reports available on the facility website, including aggregated sexual abuse data for calendar years 2021 and 2022. The data collected includes: Probationer-on-Probationer nonconsensual sexual acts; Probationer-on-Probationer abusive sexual acts; Probationer-on-Probationer sexual harassment; Staff-on-Probationer sexual victimization, and Staff sexual misconduct. The annual report is very comprehensive and lists all corrective actions taken. The report is approved by the Director and the PREA/ADA Supervisor prior to publishing on the agency's website. The agency's website includes annual reports published from 2014 through 2022.

The agency maintains, reviews, and collects data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews. Data from the previous calendar year is supplied to the Department of Justice no later than June 30th, if requested.

The agency is collecting and aggregating sexual abuse data on an annual basis as required by the standard for facilities under its direct control and private facilities with which it contracts. The report uses a standardized set of definitions, which are available on the agency website and in the VADOC Operating Procedure.

The PCM for each facility is responsible for reporting institutional data to the Regional PREA/ADA Analyst. The VADOC collects accurate, uniform data for every PREA related allegation using a standardized instrument and set of definitions.

The VADOC also obtains incident-based and aggregated data from the facilities with which it contracts for the confinement of its Probationers. This is collected and monitored by the PREA Coordinator's office. The agency contracts for the confinement of its Probationers with the GEO Group. The GEO Group operates a private prison in Lawrenceville, VA. A review of the agency's PREA Annual Report reveals the agency is collecting data from the Lawrenceville Correctional Center where VADOC Probationers are housed, although the LCC is not under the agency's direct control. LCC's PREA reports are also included on the agency website.

In addition, the Agency provided copies of Bureau of Justice Surveys of Sexual Violence submitted by the agency from 2014 to 2022. The VADOC PREA Hotline Coordinator compiles the data, completes the Survey of Sexual Violence and submits the completed form to the Bureau of Justice Statistics. All surveys are submitted by the PREA Hotline Coordinator before June 30th.

After a review, the Auditor determined the facility meets the requirements of the standard.

Corrective Action: None

<b>115.288</b>	<b>Data review for corrective action</b>
	<p data-bbox="280 188 1015 224"><b>Auditor Overall Determination:</b> Exceeds Standard</p> <hr/> <p data-bbox="280 264 564 300"><b>Auditor Discussion</b></p> <hr/> <p data-bbox="280 340 1104 376">Evidence Relied upon to make Compliance Determination:</p> <ol data-bbox="280 412 944 613" style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ with ADP</li> <li>2. VADOC Operating Procedure 038.3</li> <li>3. Annual Reports</li> <li>4. Website with sexual abuse data</li> <li>5. Interviews with Staff</li> </ol> <p data-bbox="280 649 408 685">Findings:</p> <p data-bbox="280 694 1477 1146">The VADOC Operating Procedure is consistent with the requirements of the standard and indicates that data collected pursuant to 115.87 for all facilities under its direct control and private facilities with which it contracts will be made readily available to the public through the agency website, excluding all personal identifiers after final approval. Per policy, the data review is conducted to: identify problem areas; take corrective action on an ongoing basis; and prepare an annual report of its findings and corrective actions for each facility, as well as the agency. VADOC policy requires the data review report include: a comparison of the current year's data and corrective actions with prior years; an assessment of the DOC's progress in addressing sexual abuse; approval by the Director; and must be readily available to the public through the agency's website.</p> <p data-bbox="280 1182 1477 1675">The Auditor reviewed the Annual Reports available on the agency website, including data for fiscal years 2021 and 2022. The reports indicate that the agency reviewed the data collected to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training. The report, entitled "PREA Annual Report" includes an overview of the facility's plan for addressing sexual abuse and aggregated data. The annual report will include a comparison of the current year's data and corrective actions with those from prior years and must provide an assessment of the VADOC's progress in addressing sexual abuse. The annual report indicates the agency's efforts to address sexual abuse include continually providing education and staff training, as well as evaluating processes and standardization. Interviews with the PREA Coordinator confirm these efforts.</p> <p data-bbox="280 1711 1477 1998">A review of the agency annual reports found them to be very detailed and thorough, suggesting that the agency is regularly reviewing the data collected to identify issues and correct them. The agency's annual report includes any corrective actions taken by the VADOC for each facility. Data is listed and compared for each facility, as well as each region. There appears to be a high level of transparency in the Department's efforts to prevent, detect and respond to sexual abuse and harassment.</p> <p data-bbox="280 2033 1436 2069">The report is signed by the Director and the PREA/ADA Supervisor and there is no</p>

	<p>personally identifying information in the report.</p> <p>After a review, the Auditor determined the facility exceeds the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.289</b>	<b>Data storage, publication, and destruction</b>
	<p><b>Auditor Overall Determination:</b> Meets Standard</p> <hr/> <p><b>Auditor Discussion</b></p> <p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Cold Springs CCAP Completed PAQ with ADP</li> <li>2. VADOC Operating Procedure 038.3</li> <li>3. Annual Reports</li> <li>4. Website with sexual abuse data</li> <li>5. Interviews with Staff</li> </ol> <p>Findings:</p> <p>The VADOC Operating Procedure is consistent with the requirements of the standard and indicates that data collected pursuant to 115.87 for all facilities under its direct control and private facilities with which it contracts will be made readily available to the public through the agency website, excluding all personal identifiers after final approval. Per policy, the data review is conducted to: identify problem areas; take corrective action on an ongoing basis; and prepare an annual report of its findings and corrective actions for each facility, as well as the agency. VADOC policy requires the data review report include: a comparison of the current year’s data and corrective actions with prior years; an assessment of the DOC’s progress in addressing sexual abuse; approval by the Director; and must be readily available to the public through the agency’s website.</p> <p>The Auditor reviewed the Annual Reports available on the agency website, including data for fiscal years 2021 and 2022. The reports indicate that the agency reviewed the data collected to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training. The report, entitled “PREA Annual Report” includes an overview of the facility’s plan for addressing sexual abuse and aggregated data. The annual report will include a comparison of the current year’s data and corrective actions with those from prior years and must provide an assessment of the VADOC’s progress in addressing sexual abuse. The annual report indicates the agency’s efforts to address sexual abuse include continually providing education and staff training, as well as evaluating processes and standardization. Interviews with the PREA Coordinator confirm these efforts.</p> <p>A review of the agency annual reports found them to be very detailed and thorough,</p>

	<p>suggesting that the agency is regularly reviewing the data collected to identify issues and correct them. The agency's annual report includes any corrective actions taken by the VADOC for each facility. Data is listed and compared for each facility, as well as each region. There appears to be a high level of transparency in the Department's efforts to prevent, detect and respond to sexual abuse and harassment.</p> <p>The report is signed by the Director and the PREA/ADA Supervisor and there is no personally identifying information in the report.</p> <p>After a review, the Auditor determined the facility exceeds the requirements of the standard.</p> <p>Corrective Action: None</p>
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<b>115.401</b>	<b>Frequency and scope of audits</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>Evidence Relied upon to make Compliance Determination:</p> <ol style="list-style-type: none"> <li>1. Previous Audit Report</li> <li>2. PAQ</li> <li>3. On-Site Review</li> </ol> <p>Interviews with the following:</p> <ul style="list-style-type: none"> <li>• PREA Coordinator</li> <li>• Superintendent</li> <li>• PCM</li> <li>• Random and Targeted Probationers</li> </ul> <p>Observation of the following:</p> <ul style="list-style-type: none"> <li>• Observation of, and access to all areas of the Cold Springs CCAP during the site review</li> </ul> <p>The Cold Springs CCAP had its last PREA Audit April 7-8, 2021. The Auditor reviewed the facility's previous PREA report dated November 2020. The Auditor was given full access to the facility. The facility administration was open to feedback and all recommendations were implemented immediately. The facility provided the Auditor with a detailed tour of the facility. The Auditor was provided and reviewed the relevant policies, procedures, and other documents to assist with rendering a decision on the facility's level of compliance with each of the PREA standards. The Auditor was able to request, review and receive all requested documents, reports, files, video, and other information requested, including electronically stored information. All requested documentation was provided in a timely manner.</p>



The auditor was provided extensive documentation prior to the on-site audit, for review to support a determination of compliance with PREA standards. During the pre-audit, onsite review and post audit phases, the auditor reviewed all PREA investigative files, staff/Probationer training records, Probationer risk screenings, background investigations, logbooks, program information, camera placement and other pertinent documentation.

All staff at Cold Springs CCAP cooperated with the Auditor and allowed the Auditor to conduct interviews with staff and Probationers in a private area. The auditor was permitted to conduct unimpeded, private interviews with Probationers at Cold Springs CCAP, both informally and formally. The Auditor was given private interview rooms to interview Probationers, which were convenient to Probationer housing areas. The Cold Springs CCAP staff facilitated getting the Probationers to the auditor for interviews in a timely and efficient manner. Informal interviews with Probationers confirm that they were aware of the audit and the ability to communicate with the auditor.

The auditor was able to observe both Probationers and staff in various settings.

Prior to the on-site review, letters were sent to the facility to be posted in all Probationer living areas which included the Auditor's address. The Auditor observed notices posted in each Probationer living unit that were emailed to the facility staff prior to the Audit. The Auditor received documentation that the notices to Probationers were posted six weeks in advance of the first day of the audit. The auditor did not receive any confidential letters from Probationers at Cold Springs CCAP, or any other interested party.

The Auditor communicated with a victim advocate with the Virginia Sexual and Domestic Violence Action Alliance to verify the services offered through the Memorandum of Understanding. The Auditor also verified that forensic exams and a qualified Sexual Assault Nurse Examiner (SANE) were available at Augusta Health Hospital.

There were no barriers to completing the audit at Cold Springs CCAP.

The facility had an onsite review and audit within the three-year period of the last audit and has completed the onsite review and audit process. Each facility under the direct control of the Virginia Department of Corrections has been audited at least once during the previous three-year audit cycle. During the previous audit cycle, the Virginia Department of Corrections ensured at least one-third of its facilities were audited each year.

After a review, the Auditor determined the facility meets the requirements of the standard.

Corrective Action: None

**Auditor Overall Determination:** Meets Standard

**Auditor Discussion**

Evidence Relied upon to make Compliance Determination:

1. Previous Audit Report
2. VADOC Website

Interviews with the following:

- PREA Coordinator

The Auditor reviewed the VADOC website which contains a link for the May 2021 PREA Audit Report. The reports are accessible through a drop-down menu on the "Probationers" tab. After reaching that page, the PREA reports are accessible through the "Prison Rape Elimination Act" hyperlink. This page includes a "PREA Reports" page. Each audit report for all VADOC facilities is accessible on the page.

After a review, the Auditor determined the facility meets the requirements of the standard.

Corrective Action: None

<b>Appendix: Provision Findings</b>		
<b>115.211 (a)</b>	<b>Zero tolerance of sexual abuse and sexual harassment; PREA coordinator</b>	
	Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment?	yes
	Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment?	yes
<b>115.211 (b)</b>	<b>Zero tolerance of sexual abuse and sexual harassment; PREA coordinator</b>	
	Has the agency employed or designated an agency-wide PREA Coordinator?	yes
	Is the PREA Coordinator position in the upper-level of the agency hierarchy?	yes
	Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its community confinement facilities?	yes
<b>115.212 (a)</b>	<b>Contracting with other entities for the confinement of residents</b>	
	If this agency is public and it contracts for the confinement of its residents with private agencies or other entities, including other government agencies, has the agency included the entity's obligation to adopt and comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of residents.)	yes
<b>115.212 (b)</b>	<b>Contracting with other entities for the confinement of residents</b>	
	Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of residents.)	yes
<b>115.212 (c)</b>	<b>Contracting with other entities for the confinement of residents</b>	
	If the agency has entered into a contract with an entity that fails to comply with the PREA standards, did the agency do so only in	yes

	emergency circumstances after making all reasonable attempts to find a PREA compliant private agency or other entity to confine residents? (N/A if the agency has not entered into a contract with an entity that fails to comply with the PREA standards.)	
	In such a case, does the agency document its unsuccessful attempts to find an entity in compliance with the standards? (N/A if the agency has not entered into a contract with an entity that fails to comply with the PREA standards.)	yes
<b>115.213 (a)</b>	<b>Supervision and monitoring</b>	
	Does the facility have a documented staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring to protect residents against sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The physical layout of each facility?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The composition of the resident population?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The prevalence of substantiated and unsubstantiated incidents of sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any other relevant factors?	yes
<b>115.213 (b)</b>	<b>Supervision and monitoring</b>	
	In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (NA if no deviations from staffing plan.)	yes
<b>115.213 (c)</b>	<b>Supervision and monitoring</b>	
	In the past 12 months, has the facility assessed, determined, and documented whether adjustments are needed to the staffing plan established pursuant to paragraph (a) of this section?	yes
	In the past 12 months, has the facility assessed, determined, and documented whether adjustments are needed to prevailing	yes

	staffing patterns?	
	In the past 12 months, has the facility assessed, determined, and documented whether adjustments are needed to the facility's deployment of video monitoring systems and other monitoring technologies?	yes
	In the past 12 months, has the facility assessed, determined, and documented whether adjustments are needed to the resources the facility has available to commit to ensure adequate staffing levels?	yes
<b>115.215 (a)</b>	<b>Limits to cross-gender viewing and searches</b>	
	Does the facility always refrain from conducting any cross-gender strip searches or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners?	yes
<b>115.215 (b)</b>	<b>Limits to cross-gender viewing and searches</b>	
	Does the facility always refrain from conducting cross-gender pat-down searches of female residents, except in exigent circumstances? (N/A if the facility does not have female inmates.)	na
	Does the facility always refrain from restricting female residents' access to regularly available programming or other outside opportunities in order to comply with this provision? (N/A if the facility does not have female inmates.)	na
<b>115.215 (c)</b>	<b>Limits to cross-gender viewing and searches</b>	
	Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches?	yes
	Does the facility document all cross-gender pat-down searches of female residents?	yes
<b>115.215 (d)</b>	<b>Limits to cross-gender viewing and searches</b>	
	Does the facility have policies that enable residents to shower, perform bodily functions, and change clothing without non-medical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	yes
	Does the facility have procedures that enable residents to shower,	yes

	perform bodily functions, and change clothing without non-medical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	
	Does the facility require staff of the opposite gender to announce their presence when entering an area where residents are likely to be showering, performing bodily functions, or changing clothing?	yes
<b>115.215 (e)</b>	<b>Limits to cross-gender viewing and searches</b>	
	Does the facility always refrain from searching or physically examining transgender or intersex residents for the sole purpose of determining the resident's genital status?	yes
	If the resident's genital status is unknown, does the facility determine genital status during conversations with the resident, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner?	yes
<b>115.215 (f)</b>	<b>Limits to cross-gender viewing and searches</b>	
	Does the facility/agency train security staff in how to conduct cross-gender pat down searches in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?	yes
	Does the facility/agency train security staff in how to conduct searches of transgender and intersex residents in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?	yes
<b>115.216 (a)</b>	<b>Residents with disabilities and residents who are limited English proficient</b>	
	Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Residents who are deaf or hard of hearing?	yes
	Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Residents who are blind or have low vision?	yes

	Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Residents who have intellectual disabilities?	yes
	Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Residents who have psychiatric disabilities?	yes
	Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Residents who have speech disabilities?	yes
	Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other (if "other," please explain in overall determination notes.)	yes
	Do such steps include, when necessary, ensuring effective communication with residents who are deaf or hard of hearing?	yes
	Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with residents with disabilities including residents who: Have intellectual disabilities?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with residents with disabilities including residents who: Have limited reading skills?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with residents with disabilities including residents who: Who are blind or have low vision?	yes
<b>115.216 (b)</b>	<b>Residents with disabilities and residents who are limited English proficient</b>	

	Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to residents who are limited English proficient?	yes
	Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
<b>115.216 (c)</b>	<b>Residents with disabilities and residents who are limited English proficient</b>	
	Does the agency always refrain from relying on resident interpreters, resident readers, or other types of resident assistants except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the resident's safety, the performance of first-response duties under §115.264, or the investigation of the resident's allegations?	yes
<b>115.217 (a)</b>	<b>Hiring and promotion decisions</b>	
	Does the agency prohibit the hiring or promotion of anyone who may have contact with residents who: Has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with residents who: Has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with residents who: Has been civilly or administratively adjudicated to have engaged in the activity described in the two questions immediately above ?	yes
	Does the agency prohibit the enlistment of the services of any contractor who may have contact with residents who: Has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the enlistment of the services of any contractor who may have contact with residents who: Has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of	yes



	force, or coercion, or if the victim did not consent or was unable to consent or refuse?	
	Does the agency prohibit the enlistment of the services of any contractor who may have contact with residents who: Has been civilly or administratively adjudicated to have engaged in the activity described in the two questions immediately above ?	yes
<b>115.217 (b)</b>	<b>Hiring and promotion decisions</b>	
	Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone who may have contact with residents?	yes
	Does the agency consider any incidents of sexual harassment in determining to enlist the services of any contractor who may have contact with residents?	yes
<b>115.217 (c)</b>	<b>Hiring and promotion decisions</b>	
	Before hiring new employees who may have contact with residents, does the agency: Perform a criminal background records check?	yes
	Before hiring new employees who may have contact with residents, does the agency, consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse?	yes
<b>115.217 (d)</b>	<b>Hiring and promotion decisions</b>	
	Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with residents?	yes
<b>115.217 (e)</b>	<b>Hiring and promotion decisions</b>	
	Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with residents or have in place a system for otherwise capturing such information for current employees?	yes
<b>115.217</b>	<b>Hiring and promotion decisions</b>	

<b>(f)</b>		
	Does the agency ask all applicants and employees who may have contact with residents directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions?	yes
	Does the agency ask all applicants and employees who may have contact with residents directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees?	yes
	Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct?	yes
<b>115.217 (g)</b>	<b>Hiring and promotion decisions</b>	
	Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination?	yes
<b>115.217 (h)</b>	<b>Hiring and promotion decisions</b>	
	Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.)	yes
<b>115.218 (a)</b>	<b>Upgrades to facilities and technology</b>	
	If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect residents from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012 or since the last PREA audit, whichever is later.)	na
<b>115.218 (b)</b>	<b>Upgrades to facilities and technology</b>	
	If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the	na

	agency's ability to protect residents from sexual abuse? (N/A if agency/facility has not installed or updated any video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012 or since the last PREA audit, whichever is later.)	
<b>115.221 (a)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal or administrative sexual abuse investigations.)	yes
<b>115.221 (b)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	Is this protocol developmentally appropriate for youth where applicable? (NA if the agency/facility is not responsible for conducting any form of criminal or administrative sexual abuse investigations.)	yes
	Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or similarly comprehensive and authoritative protocols developed after 2011? (NA if the agency/facility is not responsible for conducting any form of criminal or administrative sexual abuse investigations.)	yes
<b>115.221 (c)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate?	yes
	Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible?	yes
	If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)?	yes

	Has the agency documented its efforts to provide SAFEs or SANEs?	yes
<b>115.221 (d)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	Does the agency attempt to make available to the victim a victim advocate from a rape crisis center?	yes
	If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member?	yes
	Has the agency documented its efforts to secure services from rape crisis centers?	yes
<b>115.221 (e)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews?	yes
	As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals?	yes
<b>115.221 (f)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating agency follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.)	na
<b>115.221 (h)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (N/A if agency attempts to make a victim advocate from a rape crisis center available to victims per 115.221(d) above).	yes

<b>115.222 (a)</b>	<b>Policies to ensure referrals of allegations for investigations</b>	
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse?	yes
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment?	yes
<b>115.222 (b)</b>	<b>Policies to ensure referrals of allegations for investigations</b>	
	Does the agency have a policy in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior?	yes
	Has the agency published such policy on its website or, if it does not have one, made the policy available through other means?	yes
	Does the agency document all such referrals?	yes
<b>115.222 (c)</b>	<b>Policies to ensure referrals of allegations for investigations</b>	
	If a separate entity is responsible for conducting criminal investigations, does the policy describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for conducting criminal investigations. See 115.221(a).)	na
<b>115.231 (a)</b>	<b>Employee training</b>	
	Does the agency train all employees who may have contact with residents on: Its zero-tolerance policy for sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with residents on: How to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures?	yes
	Does the agency train all employees who may have contact with residents on: Residents' right to be free from sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with	yes

	residents on: The right of residents and employees to be free from retaliation for reporting sexual abuse and sexual harassment?	
	Does the agency train all employees who may have contact with residents on: The dynamics of sexual abuse and sexual harassment in confinement?	yes
	Does the agency train all employees who may have contact with residents on: The common reactions of sexual abuse and sexual harassment victims?	yes
	Does the agency train all employees who may have contact with residents on: How to detect and respond to signs of threatened and actual sexual abuse?	yes
	Does the agency train all employees who may have contact with residents on: How to avoid inappropriate relationships with residents?	yes
	Does the agency train all employees who may have contact with residents on: How to communicate effectively and professionally with residents, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming residents?	yes
	Does the agency train all employees who may have contact with residents on: How to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities?	yes
<b>115.231 (b)</b>	<b>Employee training</b>	
	Is such training tailored to the gender of the residents at the employee's facility?	yes
	Have employees received additional training if reassigned from a facility that houses only male residents to a facility that houses only female residents, or vice versa?	yes
<b>115.231 (c)</b>	<b>Employee training</b>	
	Have all current employees who may have contact with residents received such training?	yes
	Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures?	yes
	In years in which an employee does not receive refresher training,	yes

	does the agency provide refresher information on current sexual abuse and sexual harassment policies?	
<b>115.231 (d)</b>	<b>Employee training</b>	
	Does the agency document, through employee signature or electronic verification, that employees understand the training they have received?	yes
<b>115.232 (a)</b>	<b>Volunteer and contractor training</b>	
	Has the agency ensured that all volunteers and contractors who have contact with residents have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures?	yes
<b>115.232 (b)</b>	<b>Volunteer and contractor training</b>	
	Have all volunteers and contractors who have contact with residents been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with residents)?	yes
<b>115.232 (c)</b>	<b>Volunteer and contractor training</b>	
	Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received?	yes
<b>115.233 (a)</b>	<b>Resident education</b>	
	During intake, do residents receive information explaining: The agency's zero-tolerance policy regarding sexual abuse and sexual harassment?	yes
	During intake, do residents receive information explaining: How to report incidents or suspicions of sexual abuse or sexual harassment?	yes
	During intake, do residents receive information explaining: Their rights to be free from sexual abuse and sexual harassment?	yes

	During intake, do residents receive information explaining: Their rights to be free from retaliation for reporting such incidents?	yes
	During intake, do residents receive information regarding agency policies and procedures for responding to such incidents?	yes
<b>115.233 (b)</b>	<b>Resident education</b>	
	Does the agency provide refresher information whenever a resident is transferred to a different facility?	yes
<b>115.233 (c)</b>	<b>Resident education</b>	
	Does the agency provide resident education in formats accessible to all residents, including those who: Are limited English proficient?	yes
	Does the agency provide resident education in formats accessible to all residents, including those who: Are deaf?	yes
	Does the agency provide resident education in formats accessible to all residents, including those who: Are visually impaired?	yes
	Does the agency provide resident education in formats accessible to all residents, including those who: Are otherwise disabled?	yes
	Does the agency provide resident education in formats accessible to all residents, including those who: Have limited reading skills?	yes
<b>115.233 (d)</b>	<b>Resident education</b>	
	Does the agency maintain documentation of resident participation in these education sessions?	yes
<b>115.233 (e)</b>	<b>Resident education</b>	
	In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to residents through posters, resident handbooks, or other written formats?	yes
<b>115.234 (a)</b>	<b>Specialized training: Investigations</b>	
	In addition to the general training provided to all employees pursuant to §115.231, does the agency ensure that, to the extent	yes



	the agency itself conducts sexual abuse investigations, its investigators receive training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of criminal or administrative sexual abuse investigations. See 115.221(a)).	
<b>115.234 (b)</b>	<b>Specialized training: Investigations</b>	
	Does this specialized training include: Techniques for interviewing sexual abuse victims?(N/A if the agency does not conduct any form of criminal or administrative sexual abuse investigations. See 115.221(a)).	yes
	Does this specialized training include: Proper use of Miranda and Garrity warnings?(N/A if the agency does not conduct any form of criminal or administrative sexual abuse investigations. See 115.221(a)).	yes
	Does this specialized training include: Sexual abuse evidence collection in confinement settings?(N/A if the agency does not conduct any form of criminal or administrative sexual abuse investigations. See 115.221(a)).	yes
	Does this specialized training include: The criteria and evidence required to substantiate a case for administrative action or prosecution referral? (N/A if the agency does not conduct any form of criminal or administrative sexual abuse investigations. See 115.221(a)).	yes
<b>115.234 (c)</b>	<b>Specialized training: Investigations</b>	
	Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? (N/A if the agency does not conduct any form of criminal or administrative sexual abuse investigations. See 115.221(a).)	yes
<b>115.235 (a)</b>	<b>Specialized training: Medical and mental health care</b>	
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in: How to detect and assess signs of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes

	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in: How to preserve physical evidence of sexual abuse? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in: How to respond effectively and professionally to victims of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in: How and to whom to report allegations or suspicions of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
<b>115.235 (b)</b>	<b>Specialized training: Medical and mental health care</b>	
	If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency does not employ medical staff or the medical staff employed by the agency do not conduct forensic exams.)	na
<b>115.235 (c)</b>	<b>Specialized training: Medical and mental health care</b>	
	Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
<b>115.235 (d)</b>	<b>Specialized training: Medical and mental health care</b>	
	Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.231? (N/A for circumstances in which a particular status (employee or contractor/volunteer) does not apply.)	yes
	Do medical and mental health care practitioners contracted by	yes

	and volunteering for the agency also receive training mandated for contractors and volunteers by §115.232? (N/A for circumstances in which a particular status (employee or contractor/volunteer) does not apply.)	
<b>115.241 (a)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Are all residents assessed during an intake screening for their risk of being sexually abused by other residents or sexually abusive toward other residents?	yes
	Are all residents assessed upon transfer to another facility for their risk of being sexually abused by other residents or sexually abusive toward other residents?	yes
<b>115.241 (b)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Do intake screenings ordinarily take place within 72 hours of arrival at the facility?	yes
<b>115.241 (c)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Are all PREA screening assessments conducted using an objective screening instrument?	yes
<b>115.241 (d)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: Whether the resident has a mental, physical, or developmental disability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: The age of the resident?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: The physical build of the resident?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: Whether the resident has previously been incarcerated?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization:	yes

	Whether the resident's criminal history is exclusively nonviolent?	
	Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: Whether the resident has prior convictions for sex offenses against an adult or child?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: Whether the resident is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming (the facility affirmatively asks the resident about his/her sexual orientation and gender identity AND makes a subjective determination based on the screener's perception whether the resident is gender non-conforming or otherwise may be perceived to be LGBTI)?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: Whether the resident has previously experienced sexual victimization?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: The resident's own perception of vulnerability?	yes
<b>115.241 (e)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	In assessing residents for risk of being sexually abusive, does the initial PREA risk screening consider, when known to the agency: prior acts of sexual abuse?	yes
	In assessing residents for risk of being sexually abusive, does the initial PREA risk screening consider, when known to the agency: prior convictions for violent offenses?	yes
	In assessing residents for risk of being sexually abusive, does the initial PREA risk screening consider, when known to the agency: history of prior institutional violence or sexual abuse?	yes
<b>115.241 (f)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Within a set time period not more than 30 days from the resident's arrival at the facility, does the facility reassess the resident's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening?	yes

<b>115.241 (g)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Does the facility reassess a resident's risk level when warranted due to a: Referral?	yes
	Does the facility reassess a resident's risk level when warranted due to a: Request?	yes
	Does the facility reassess a resident's risk level when warranted due to a: Incident of sexual abuse?	yes
	Does the facility reassess a resident's risk level when warranted due to a: Receipt of additional information that bears on the resident's risk of sexual victimization or abusiveness?	yes
<b>115.241 (h)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Is it the case that residents are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section?	yes
<b>115.241 (i)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive information is not exploited to the resident's detriment by staff or other residents?	yes
<b>115.242 (a)</b>	<b>Use of screening information</b>	
	Does the agency use information from the risk screening required by § 115.241, with the goal of keeping separate those residents at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments?	yes
	Does the agency use information from the risk screening required by § 115.241, with the goal of keeping separate those residents at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments?	yes
	Does the agency use information from the risk screening required by § 115.241, with the goal of keeping separate those residents at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Work Assignments?	yes

	Does the agency use information from the risk screening required by § 115.241, with the goal of keeping separate those residents at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Education Assignments?	yes
	Does the agency use information from the risk screening required by § 115.241, with the goal of keeping separate those residents at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments?	yes
<b>115.242 (b)</b>	<b>Use of screening information</b>	
	Does the agency make individualized determinations about how to ensure the safety of each resident?	yes
<b>115.242 (c)</b>	<b>Use of screening information</b>	
	When deciding whether to assign a transgender or intersex resident to a facility for male or female residents, does the agency consider on a case-by-case basis whether a placement would ensure the resident's health and safety, and whether a placement would present management or security problems (NOTE: if an agency by policy or practice assigns residents to a male or female facility on the basis of anatomy alone, that agency is not in compliance with this standard)?	yes
	When making housing or other program assignments for transgender or intersex residents, does the agency consider on a case-by-case basis whether a placement would ensure the resident's health and safety, and whether a placement would present management or security problems?	yes
<b>115.242 (d)</b>	<b>Use of screening information</b>	
	Are each transgender or intersex resident's own views with respect to his or her own safety given serious consideration when making facility and housing placement decisions and programming assignments?	yes
<b>115.242 (e)</b>	<b>Use of screening information</b>	
	Are transgender and intersex residents given the opportunity to shower separately from other residents?	yes
<b>115.242</b>	<b>Use of screening information</b>	

<b>(f)</b>		
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex residents, does the agency always refrain from placing: lesbian, gay, and bisexual residents in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I residents pursuant to a consent decree, legal settlement, or legal judgement.)	yes
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex residents, does the agency always refrain from placing: transgender residents in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I residents pursuant to a consent decree, legal settlement, or legal judgement.)	yes
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex residents, does the agency always refrain from placing: intersex residents in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I residents pursuant to a consent decree, legal settlement, or legal judgement.)	yes
<b>115.251 (a)</b>	<b>Resident reporting</b>	
	Does the agency provide multiple internal ways for residents to privately report: Sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for residents to privately report: Retaliation by other residents or staff for reporting sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for residents to privately report: Staff neglect or violation of responsibilities that may have contributed to such incidents?	yes
<b>115.251 (b)</b>	<b>Resident reporting</b>	

	Does the agency also provide at least one way for residents to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency?	yes
	Is that private entity or office able to receive and immediately forward resident reports of sexual abuse and sexual harassment to agency officials?	yes
	Does that private entity or office allow the resident to remain anonymous upon request?	yes
<b>115.251 (c)</b>	<b>Resident reporting</b>	
	Do staff members accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties?	yes
	Do staff members promptly document any verbal reports of sexual abuse and sexual harassment?	yes
<b>115.251 (d)</b>	<b>Resident reporting</b>	
	Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of residents?	yes
<b>115.252 (a)</b>	<b>Exhaustion of administrative remedies</b>	
	Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address resident grievances regarding sexual abuse. This does not mean the agency is exempt simply because a resident does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse.	no
<b>115.252 (b)</b>	<b>Exhaustion of administrative remedies</b>	
	Does the agency permit residents to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.)	yes
	Does the agency always refrain from requiring a resident to use any informal grievance process, or to otherwise attempt to resolve	yes



	with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.)	
<b>115.252 (c)</b>	<b>Exhaustion of administrative remedies</b>	
	Does the agency ensure that: a resident who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)	yes
	Does the agency ensure that: such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)	yes
<b>115.252 (d)</b>	<b>Exhaustion of administrative remedies</b>	
	Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by residents in preparing any administrative appeal.) (N/A if agency is exempt from this standard.)	yes
	If the agency determines that the 90-day timeframe is insufficient to make an appropriate decision and claims an extension of time (the maximum allowable extension is 70 days per 115.252(d)(3)), does the agency notify the resident in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.)	yes
	At any level of the administrative process, including the final level, if the resident does not receive a response within the time allotted for reply, including any properly noticed extension, may a resident consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.)	yes
<b>115.252 (e)</b>	<b>Exhaustion of administrative remedies</b>	
	Are third parties, including fellow residents, staff members, family members, attorneys, and outside advocates, permitted to assist residents in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.)	yes
	Are those third parties also permitted to file such requests on behalf of residents? (If a third party files such a request on behalf	yes

	of a resident, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.)	
	If the resident declines to have the request processed on his or her behalf, does the agency document the resident's decision? (N/A if agency is exempt from this standard.)	yes
<b>115.252 (f)</b>	<b>Exhaustion of administrative remedies</b>	
	Has the agency established procedures for the filing of an emergency grievance alleging that a resident is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance alleging a resident is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.)	yes
	Does the initial response and final agency decision document the agency's determination whether the resident is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	yes
	Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	yes
	Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	yes
<b>115.252 (g)</b>	<b>Exhaustion of administrative remedies</b>	
	If the agency disciplines a resident for filing a grievance related to	yes

	alleged sexual abuse, does it do so ONLY where the agency demonstrates that the resident filed the grievance in bad faith? (N/A if agency is exempt from this standard.)	
<b>115.253 (a)</b>	<b>Resident access to outside confidential support services</b>	
	Does the facility provide residents with access to outside victim advocates for emotional support services related to sexual abuse by giving residents mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations?	yes
	Does the facility enable reasonable communication between residents and these organizations, in as confidential a manner as possible?	yes
<b>115.253 (b)</b>	<b>Resident access to outside confidential support services</b>	
	Does the facility inform residents, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws?	yes
<b>115.253 (c)</b>	<b>Resident access to outside confidential support services</b>	
	Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide residents with confidential emotional support services related to sexual abuse?	yes
	Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements?	yes
<b>115.254 (a)</b>	<b>Third party reporting</b>	
	Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment?	yes
	Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of a resident?	yes
<b>115.261 (a)</b>	<b>Staff and agency reporting duties</b>	
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or	yes

	information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency?	
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against residents or staff who reported an incident of sexual abuse or sexual harassment?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual abuse or sexual harassment or retaliation?	yes
<b>115.261 (b)</b>	<b>Staff and agency reporting duties</b>	
	Apart from reporting to designated supervisors or officials, do staff always refrain from revealing any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions?	yes
<b>115.261 (c)</b>	<b>Staff and agency reporting duties</b>	
	Unless otherwise precluded by Federal, State, or local law, are medical and mental health practitioners required to report sexual abuse pursuant to paragraph (a) of this section?	yes
	Are medical and mental health practitioners required to inform residents of the practitioner's duty to report, and the limitations of confidentiality, at the initiation of services?	yes
<b>115.261 (d)</b>	<b>Staff and agency reporting duties</b>	
	If the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable persons statute, does the agency report the allegation to the designated State or local services agency under applicable mandatory reporting laws?	yes
<b>115.261 (e)</b>	<b>Staff and agency reporting duties</b>	
	Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators?	yes

<b>115.262 (a)</b>	<b>Agency protection duties</b>	
	When the agency learns that a resident is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the resident?	yes
<b>115.263 (a)</b>	<b>Reporting to other confinement facilities</b>	
	Upon receiving an allegation that a resident was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred?	yes
<b>115.263 (b)</b>	<b>Reporting to other confinement facilities</b>	
	Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation?	yes
<b>115.263 (c)</b>	<b>Reporting to other confinement facilities</b>	
	Does the agency document that it has provided such notification?	yes
<b>115.263 (d)</b>	<b>Reporting to other confinement facilities</b>	
	Does the facility head or agency office that receives such notification ensure that the allegation is investigated in accordance with these standards?	yes
<b>115.264 (a)</b>	<b>Staff first responder duties</b>	
	Upon learning of an allegation that a resident was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser?	yes
	Upon learning of an allegation that a resident was sexually abused, is the first security staff member to respond to the report required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence?	yes
	Upon learning of an allegation that a resident was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate,	yes

	washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	
	Upon learning of an allegation that a resident was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
<b>115.264 (b)</b>	<b>Staff first responder duties</b>	
	If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff?	yes
<b>115.265 (a)</b>	<b>Coordinated response</b>	
	Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in response to an incident of sexual abuse?	yes
<b>115.266 (a)</b>	<b>Preservation of ability to protect residents from contact with abusers</b>	
	Are both the agency and any other governmental entities responsible for collective bargaining on the agency's behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limits the agency's ability to remove alleged staff sexual abusers from contact with any residents pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted?	yes
<b>115.267 (a)</b>	<b>Agency protection against retaliation</b>	
	Has the agency established a policy to protect all residents and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other residents or staff?	yes

	Has the agency designated which staff members or departments are charged with monitoring retaliation?	yes
<b>115.267 (b)</b>	<b>Agency protection against retaliation</b>	
	Does the agency employ multiple protection measures, such as housing changes or transfers for resident victims or abusers, removal of alleged staff or resident abusers from contact with victims, and emotional support services for residents or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations?	yes
<b>115.267 (c)</b>	<b>Agency protection against retaliation</b>	
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of residents or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by residents or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of residents who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by residents or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor any resident disciplinary reports?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency:4. Monitor resident housing changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor resident program changes?	yes

	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignment of staff?	yes
	Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need?	yes
<b>115.267 (d)</b>	<b>Agency protection against retaliation</b>	
	In the case of residents, does such monitoring also include periodic status checks?	yes
<b>115.267 (e)</b>	<b>Agency protection against retaliation</b>	
	If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation?	yes
<b>115.271 (a)</b>	<b>Criminal and administrative agency investigations</b>	
	When the agency conducts its own investigations into allegations of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.221(a). )	yes
	Does the agency conduct such investigations for all allegations, including third party and anonymous reports? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.221(a). )	yes
<b>115.271 (b)</b>	<b>Criminal and administrative agency investigations</b>	
	Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.234?	yes
<b>115.271 (c)</b>	<b>Criminal and administrative agency investigations</b>	
	Do investigators gather and preserve direct and circumstantial	yes



	evidence, including any available physical and DNA evidence and any available electronic monitoring data?	
	Do investigators interview alleged victims, suspected perpetrators, and witnesses?	yes
	Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator?	yes
<b>115.271 (d)</b>	<b>Criminal and administrative agency investigations</b>	
	When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution?	yes
<b>115.271 (e)</b>	<b>Criminal and administrative agency investigations</b>	
	Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as resident or staff?	yes
	Does the agency investigate allegations of sexual abuse without requiring a resident who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding?	yes
<b>115.271 (f)</b>	<b>Criminal and administrative agency investigations</b>	
	Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse?	yes
	Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings?	yes
<b>115.271 (g)</b>	<b>Criminal and administrative agency investigations</b>	
	Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible?	yes
<b>115.271</b>	<b>Criminal and administrative agency investigations</b>	

<b>(h)</b>		
	Are all substantiated allegations of conduct that appears to be criminal referred for prosecution?	yes
<b>115.271 (i)</b>	<b>Criminal and administrative agency investigations</b>	
	Does the agency retain all written reports referenced in 115.271(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years?	yes
<b>115.271 (j)</b>	<b>Criminal and administrative agency investigations</b>	
	Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the facility or agency does not provide a basis for terminating an investigation?	yes
<b>115.271 (l)</b>	<b>Criminal and administrative agency investigations</b>	
	When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.221(a).)	na
<b>115.272 (a)</b>	<b>Evidentiary standard for administrative investigations</b>	
	Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated?	yes
<b>115.273 (a)</b>	<b>Reporting to residents</b>	
	Following an investigation into a resident's allegation that he or she suffered sexual abuse in an agency facility, does the agency inform the resident as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded?	yes
<b>115.273 (b)</b>	<b>Reporting to residents</b>	
	If the agency did not conduct the investigation into a resident's allegation of sexual abuse in an agency facility, does the agency	na

	request the relevant information from the investigative agency in order to inform the resident? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.)	
<b>115.273 (c)</b>	<b>Reporting to residents</b>	
	Following a resident's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer posted within the resident's unit?	yes
	Following a resident's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer employed at the facility?	yes
	Following a resident's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility?	yes
	Following a resident's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility?	yes
<b>115.273 (d)</b>	<b>Reporting to residents</b>	
	Following a resident's allegation that he or she has been sexually abused by another resident, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility?	yes
	Following a resident's allegation that he or she has been sexually abused by another resident, does the agency subsequently inform	yes

	the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility?	
<b>115.273 (e)</b>	<b>Reporting to residents</b>	
	Does the agency document all such notifications or attempted notifications?	yes
<b>115.276 (a)</b>	<b>Disciplinary sanctions for staff</b>	
	Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies?	yes
<b>115.276 (b)</b>	<b>Disciplinary sanctions for staff</b>	
	Is termination the presumptive disciplinary sanction for staff who have engaged in sexual abuse?	yes
<b>115.276 (c)</b>	<b>Disciplinary sanctions for staff</b>	
	Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories?	yes
<b>115.276 (d)</b>	<b>Disciplinary sanctions for staff</b>	
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies, unless the activity was clearly not criminal?	yes
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies?	yes
<b>115.277 (a)</b>	<b>Corrective action for contractors and volunteers</b>	

	Is any contractor or volunteer who engages in sexual abuse prohibited from contact with residents?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies?	yes
<b>115.277 (b)</b>	<b>Corrective action for contractors and volunteers</b>	
	In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with residents?	yes
<b>115.278 (a)</b>	<b>Disciplinary sanctions for residents</b>	
	Following an administrative finding that a resident engaged in resident-on-resident sexual abuse, or following a criminal finding of guilt for resident-on-resident sexual abuse, are residents subject to disciplinary sanctions pursuant to a formal disciplinary process?	yes
<b>115.278 (b)</b>	<b>Disciplinary sanctions for residents</b>	
	Are sanctions commensurate with the nature and circumstances of the abuse committed, the resident's disciplinary history, and the sanctions imposed for comparable offenses by other residents with similar histories?	yes
<b>115.278 (c)</b>	<b>Disciplinary sanctions for residents</b>	
	When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether a resident's mental disabilities or mental illness contributed to his or her behavior?	yes
<b>115.278 (d)</b>	<b>Disciplinary sanctions for residents</b>	
	If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to require the offending resident to participate in such interventions as a	yes

	condition of access to programming and other benefits?	
<b>115.278 (e)</b>	<b>Disciplinary sanctions for residents</b>	
	Does the agency discipline a resident for sexual contact with staff only upon a finding that the staff member did not consent to such contact?	yes
<b>115.278 (f)</b>	<b>Disciplinary sanctions for residents</b>	
	For the purpose of disciplinary action does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation?	yes
<b>115.278 (g)</b>	<b>Disciplinary sanctions for residents</b>	
	Does the agency always refrain from considering non-coercive sexual activity between residents to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between residents.)	yes
<b>115.282 (a)</b>	<b>Access to emergency medical and mental health services</b>	
	Do resident victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment?	yes
<b>115.282 (b)</b>	<b>Access to emergency medical and mental health services</b>	
	If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do security staff first responders take preliminary steps to protect the victim pursuant to § 115.262?	yes
	Do security staff first responders immediately notify the appropriate medical and mental health practitioners?	yes
<b>115.282 (c)</b>	<b>Access to emergency medical and mental health services</b>	
	Are resident victims of sexual abuse offered timely information	yes

	about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate?	
<b>115.282 (d)</b>	<b>Access to emergency medical and mental health services</b>	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
<b>115.283 (a)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all residents who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility?	yes
<b>115.283 (b)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody?	yes
<b>115.283 (c)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Does the facility provide such victims with medical and mental health services consistent with the community level of care?	yes
<b>115.283 (d)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Are resident victims of sexually abusive vaginal penetration while incarcerated offered pregnancy tests? (N/A if “all-male” facility. Note: in “all-male” facilities, there may be residents who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	na
<b>115.283 (e)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	If pregnancy results from the conduct described in paragraph § 115.283(d), do such victims receive timely and comprehensive	na

	information about and timely access to all lawful pregnancy-related medical services? (N/A if “all-male” facility. Note: in “all-male” facilities, there may be residents who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	
<b>115.283 (f)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Are resident victims of sexual abuse while incarcerated offered tests for sexually transmitted infections as medically appropriate?	yes
<b>115.283 (g)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
<b>115.283 (h)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Does the facility attempt to conduct a mental health evaluation of all known resident-on-resident abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners?	yes
<b>115.286 (a)</b>	<b>Sexual abuse incident reviews</b>	
	Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded?	yes
<b>115.286 (b)</b>	<b>Sexual abuse incident reviews</b>	
	Does such review ordinarily occur within 30 days of the conclusion of the investigation?	yes
<b>115.286 (c)</b>	<b>Sexual abuse incident reviews</b>	
	Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners?	yes



<b>115.286 (d)</b>	<b>Sexual abuse incident reviews</b>	
	Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse?	yes
	Does the review team: Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; gang affiliation; or other group dynamics at the facility?	yes
	Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse?	yes
	Does the review team: Assess the adequacy of staffing levels in that area during different shifts?	yes
	Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff?	yes
	Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.286(d)(1)-(d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager?	yes
<b>115.286 (e)</b>	<b>Sexual abuse incident reviews</b>	
	Does the facility implement the recommendations for improvement, or document its reasons for not doing so?	yes
<b>115.287 (a)</b>	<b>Data collection</b>	
	Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions?	yes
<b>115.287 (b)</b>	<b>Data collection</b>	
	Does the agency aggregate the incident-based sexual abuse data at least annually?	yes
<b>115.287</b>	<b>Data collection</b>	

<b>(c)</b>		
	Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice?	yes
<b>115.287 (d)</b>	<b>Data collection</b>	
	Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews?	yes
<b>115.287 (e)</b>	<b>Data collection</b>	
	Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its residents? (N/A if agency does not contract for the confinement of its residents.)	yes
<b>115.287 (f)</b>	<b>Data collection</b>	
	Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.)	yes
<b>115.288 (a)</b>	<b>Data review for corrective action</b>	
	Does the agency review data collected and aggregated pursuant to § 115.287 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas?	yes
	Does the agency review data collected and aggregated pursuant to § 115.287 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Taking corrective action on an ongoing basis?	yes
	Does the agency review data collected and aggregated pursuant to § 115.287 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole?	yes

<b>115.288 (b)</b>	<b>Data review for corrective action</b>	
	Does the agency's annual report include a comparison of the current year's data and corrective actions with those from prior years and provide an assessment of the agency's progress in addressing sexual abuse?	yes
<b>115.288 (c)</b>	<b>Data review for corrective action</b>	
	Is the agency's annual report approved by the agency head and made readily available to the public through its website or, if it does not have one, through other means?	yes
<b>115.288 (d)</b>	<b>Data review for corrective action</b>	
	Does the agency indicate the nature of the material redacted where it redacts specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility?	yes
<b>115.289 (a)</b>	<b>Data storage, publication, and destruction</b>	
	Does the agency ensure that data collected pursuant to § 115.287 are securely retained?	yes
<b>115.289 (b)</b>	<b>Data storage, publication, and destruction</b>	
	Does the agency make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website or, if it does not have one, through other means?	yes
<b>115.289 (c)</b>	<b>Data storage, publication, and destruction</b>	
	Does the agency remove all personal identifiers before making aggregated sexual abuse data publicly available?	yes
<b>115.289 (d)</b>	<b>Data storage, publication, and destruction</b>	
	Does the agency maintain sexual abuse data collected pursuant to § 115.287 for at least 10 years after the date of the initial collection, unless Federal, State, or local law requires otherwise?	yes

<b>115.401 (a)</b>	<b>Frequency and scope of audits</b>	
	During the prior three-year audit period, did the agency ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once? (Note: The response here is purely informational. A "no" response does not impact overall compliance with this standard.)	yes
<b>115.401 (b)</b>	<b>Frequency and scope of audits</b>	
	Is this the first year of the current audit cycle? (Note: a "no" response does not impact overall compliance with this standard.)	no
	If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is not the second year of the current audit cycle.)	yes
	If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is not the third year of the current audit cycle.)	na
<b>115.401 (h)</b>	<b>Frequency and scope of audits</b>	
	Did the auditor have access to, and the ability to observe, all areas of the audited facility?	yes
<b>115.401 (i)</b>	<b>Frequency and scope of audits</b>	
	Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)?	yes
<b>115.401 (m)</b>	<b>Frequency and scope of audits</b>	
	Was the auditor permitted to conduct private interviews with residents?	yes
<b>115.401 (n)</b>	<b>Frequency and scope of audits</b>	
	Were inmates, residents, and detainees permitted to send confidential information or correspondence to the auditor in the	yes

	same manner as if they were communicating with legal counsel?	
<b>115.403 (f)</b>	<b>Audit contents and findings</b>	
	The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports. The review period is for prior audits completed during the past three years PRECEDING THIS AUDIT. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or, in the case of single facility agencies, there has never been a Final Audit Report issued.)	yes